



LGMSD 2021/22

Lwengo District

(Vote Code: 599)

| Assessment | Scores |
|---|---------------|
| Crosscutting Minimum Conditions | 90% |
| Education Minimum Conditions | 100% |
| Health Minimum Conditions | 100% |
| Water & Environment Minimum Conditions | 65% |
| Micro-scale Irrigation Minimum Conditions | 100% |
| Crosscutting Performance Measures | 74% |
| Educational Performance Measures | 72% |
| Health Performance Measures | 56% |
| Water & Environment Performance Measures | 70% |
| Micro-scale Irrigation Performance Measures | 68% |

**Crosscutting
Performance
Measures**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|--|---|--|----------|
| Local Government Service Delivery Results | | | | |
| 1 | <p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p> | <ul style="list-style-type: none"> • Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): • If so: Score 4 or else 0 | <p>There was evidence from the 3 selected projects that the LG completed DDEG projects were used by the beneficiaries</p> <ol style="list-style-type: none"> 1. District Administration block UX 20,510,000 ,100% completed 2. Fencing of District Administration UGX 41,491,000 ,100% Completed; and 3. Construction of two classroom block at Katovus CU UGX 75,000,000 ;100% | 4 |
| 2 | <p>Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p> | <p>a. If the average score in the overall LLG performance assessment increased from previous assessment :</p> <ul style="list-style-type: none"> o by more than 10%: Score 3 o 5-10% increase: Score 2 o Below 5 % Score 0 | N/A | 0 |
| 2 | <p>Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p> | <p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance</p> | <p>There was evidence that the LG funded DDEG investment projects were implemented in the previous FY and completed as per performance contract as follows:</p> <ol style="list-style-type: none"> 1. Procurement of Public address | 3 |

- contract (with AWP) by end of the FY.
- If 100% the projects were completed : Score 3
 - If 80-99%: Score 2
 - If below 80%: 0
1. system for District Headquarters UGX 7,000,000 page 40 of Quarter 4;
 2. Procurement of furniture and fixtures UGX 7,000,000 page 40 of quarter 4;
 3. Installation of CCTV camera on all administration building District Headquarters UGX 15,000,000 page 40 of quarter 4;
 4. District Administration block UX 20,510,000 page 40 of quarter 4;
 5. Fencing of District Administration UGX 41,491,000 page 40 of quarter 4;
 6. Construction of Staff house- Kyazanga HCIV UGX 100,000,000- page 71 quarter 4;
 7. Construction of two classroom block at Katovus CU UGX 75,000,000- page 76 quarter 4;
 8. Construction of Toilet at Katovu P/S 25,000,000- page 76 quarter 4;
 9. Extension of piped water at Kamenyamigo UGX 8,000,000- page 92 quarter 4;
 10. Demarcation of Kiyanja Wetland UGX 10,000,000 page 94 of quarter 4;
 11. Establishment of Nursery for trees UGX 10,000,000- page 95 quarter 4;
 12. Purchase of office furniture – planning UGX 9,000,000 quarter 4; and
 13. Monitoring and appraisal of projects UGX 20,000,000- page 114 quarter 4.

3

Investment
Performance

Maximum 4 points on
this performance

a. If the LG budgeted and spent all the DDEG for the previous FY on eligible

There was evidence that the LG funded DDEG investment projects were implemented in the previous FY and completed as per performance contract as follows:

2

| | | |
|---------|--|--|
| measure | <p>projects/activities as per the DDEG grant, budget, and implementation guidelines:</p> <p>Score 2 or else score 0.</p> | <ol style="list-style-type: none"> 1. Procurement of Public address system for District Headquarters UGX 7,000,000 page 40 of Quarter 4 and page 11 of AWP and Budget; 2. Procurement of furniture and fixtures UGX 7,000,000 page 40, and page 11 AWP and budget; 3. Installation of CCTV camera on all administration building District Headquarters UGX 15,000,000 page 40, and page 11 AWP and budget; 4. District Administration block UX 20,510,000 page 40, page 11 of AWP and budget ; 5. Fencing of District Administration UGX 41,491,000 page 40; page 11 AWP and budget; 6. Construction of Staff house- Kyazanga HCIV 100,000,000 page 71, page 26 AWP and budget; 7. Construction of two classroom block at Katovus CU UGX 75,000,000 page 76, page 35 AWP and Budget; 8. Construction of Toilet at Katovu P/S 25,000,000 page 76, page 36 AWP and budget; 9. Extension of piped water at Kamenyamigo UGX 8,000,000 page 92, page 30 AWP and budget; 10. Demarcation of Kiyanja Wetland UGX 10,000,000 page 94; page 52 AWP and Budget; 11. Establishment of Nursery for trees UGX 10,000,000 page 95, page 52 AWP and Budget; 12. Purchase of office furniture – planning UGX 9,000,000, page 61 AWP and Budget and 13. Monitoring and appraisal of projects UGX 20,000,000 page 114, page 61 AWP and Budget |
|---------|--|--|

Investment
Performance

Maximum 4 points on
this performance
measure

b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,

score 2 or else
score 0

There was evidence that the variation of the three sampled DDEG funded projects for the FY 2021/2022 were within the +/-20% of the LG engineers estimates as follows;

Project: Construction of 4-unit staff quarters at Kyazanga Health Centre IV, LWEN599/WRKS/2021-2022/00004

Contractor: Karobs Enterprises Limited

Contract amount = Ugx. 95,019,821

Engineers Estimate: Ugx. 95,000,000

Variation = -0.02%;

Project: Construction of 2 classroom block, office and store at Katovu Primary School in Malongo Town Council, LWEN599/WRKS/2021-2022/00002

Contractor: Karobs Enterprises Limited

Contract amount = Ugx. 95,019,821

Engineers Estimate: Ugx. 95,000,000

Variation = -0.02% and

Project: Construction of 5-Stance Latrine at Katovu C/U Primary School, LWEN599/WRKS/2021-2022/00018

Contractor: Tonny & Tonny General Construction Services Limited

Contract amount = Ugx. 24,996,569

Engineers Estimate: Ugx. 25,000,000

Variation = +0.01% among others

| | | | | |
|---|---|--|---|---|
| 4 | <p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p> | <p>a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,</p> <p>score 2 or else score 0</p> | <p>The staffing was not in place per the minimum standards for the 3 Sampled LLGS.</p> <p>Malongo SC:</p> <p>The structure is 14 positions, filled positions are 10</p> <p>Lwengo TC:</p> <p>The structure is 60 positions, filled positions are 17</p> <p>Lwengo SC:</p> <p>The structure is 14 positions, filled 13 filled positions</p> | 0 |
| 4 | <p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p> | <p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <p>• If 100 % in place: Score 2, else score 0.</p> <p><i>Note: if there are no reports produced to review: Score 0</i></p> | <p>There was evidence from the 3 selected projects that the LG completed DDEG projects were used by the beneficiaries</p> <p>1. District Administration block UX 20,510,000 ,100% completed</p> <p>2. Fencing of District Administration UGX 41,491,000 ,100% Completed; and</p> <p>3. Construction of two classroom block at Katovus CU UGX 75,000,000 ;100%</p> | 2 |

Human Resource Management and Development

| | | | | |
|---|--|--|---|---|
| 6 | Budgeting for and actual recruitment and deployment of staff | a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED. | The LG submitted its staffing requirements on 23rd September 2022. Summary as follows | 2 |
| | Maximum 2 points on this Performance Measure | Score 2 or else score 0 | Urban councils 48 positions, Local government 32 positions, Primary schools 249 positions and PHC 46 positions. | |
| 7 | Performance management | a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI): | There was evidence that the LG had conducted a tracking and analysis of staff attendance. | 2 |
| | Maximum 5 points on this Performance Measure | Score 2 or else score 0 | 2nd quarter was signed by mugumya Wilfred on 17th march 2022 | |
| | | | 3rd quarter was signed by Namaganda Florence on 22nd April 2022 | |
| | | | 1st quarter was signed on 17th /10/2021 by Mugumya Wilfred | |
| | | | 4th quarter was signed by Namaganda Florence on 22nd April 2022 | |

Performance management

Maximum 5 points on this Performance Measure

i. Evidence that the LG has conducted an appraisal with the following features:

HODs have been appraised as per guidelines issued by MoPS during the previous

FY: Score 1 or else 0

There was evidence that the 10 heads of department were appraised but late as follows:

1. Nandawula Allen DPO, was appraised by CAO on 22/7/2022;

2. Kagumire Wilson, DCO, was appraised by CAO on 3/8/2022;

3. Namaganda Florence PHRO was appraised by CAO on 12/8/2022;

4. Mutemba Godfrey SEO was appraised by CAO on 8/8/2022;

5. Kakembo Abdulgafar PHRO DSC was appraised by CAO on 31/8/2022;

6. Atukwase Robert, Principal internal auditor was appraised by CAO on 31/8/2022;

7. Kaweesi Robert was appraised by CAO on 29/7/2022;

8. Mazinga Joseph, DCDO, was appraised by CAO on 30/8/2022;

9. Barigye Nicholas, planner was appraised by CAO on 26/8/2022; and

10. Sserwadda Paul, CFO was appraised by CAO on 9/9/2022.

Performance management

Maximum 5 points on this Performance Measure

ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:

Score 1 or else 0

The LG had implemented the administrative sanctions and rewards.

- Lwengo DLG sanctions and rewards report for 1st quarter, 22/2/2022. Signed by HR

- Lwengo DLG sanctions and rewards report for 2ND quarter 22/2/22

- Lwengo DLG sanctions and rewards report 3rd quarter 5/5/2022 signed by George Ntulume

Lwengo DLG sanctions and rewards report 4th quarter 6/6/2022

Performance management

Maximum 5 points on this Performance Measure

iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.

Score 1 or else 0

The LG had a functional consultative committee as per the communication to the permanent secretary MOPS, dated 22/2/2022. Its members are:

1. Mr. Ntulume George CAO/ Chairperson
2. Ms. Nabakooza Afisha PAS
3. Ms. Namaganda Florence PHRO/Secretary
4. Mr. Nsereko Moses TC/ ULGWU Representative
5. Mr. Mweruka Dan Headteacher/ UNATU Representative
6. Mr. Mugabi David ADHO- Environmental health
7. Mr. Mazinga Joseph DCDO
8. Ms. Asaba Gladys Akiiki Senior Accountant
9. Mr. Ssekabira Peters Senior information technologist officer
10. Mr. Kiggundu Abdulsalaam, Labor officer
11. Ms. Nankuze Maria Senior probation and social welfare officer
12. Ms. Owamani Olive Entomologist officer

Minutes of CC minutes on 13/10/2022

Minutes of CC minutes on 3/10/2022

| | | |
|--|--|---|
| <p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p> | <p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p> | <p>The staff recruited were 22, some of them did not access the payroll in 2 months.</p> <p>Chelongoi Martin assumed duty on 11/4/2022, accessed pay roll may</p> <p>Boona baaana Miriya Goretti assumed duty 27/1/2022, accessed payroll February 22</p> <p>Nakimuli Raphaeline assumed duty 9/3/2022, assessed the payroll June</p> <p>Nalule Mwahijjah assumed duty on 24/1/2022, accessed the payroll April.</p> <p>Kenganzi Mackline assumed duty 24/1/2022, accessed the payroll June</p> |
|--|--|---|

Pension Payroll
management

Maximum 1 point on
this Performance
Measure or else score
0

a. Evidence that
100% of staff that
retired during the
previous FY have
accessed the
pension payroll not
later than two
months after
retirement:

Score 1.

There was evidence that the staff that
retired accessed the pension payroll in
two months

Nabiteko Mary Gorreti retired 15th
December 2021 accessed January
2022

Ssesanga Nasser retired on 22nd
march 2022, accessed May 2022

Muleme Mugaga retired on 22nd
November 2021, accessed January
2022

Namatovu jane Agnes retired on 27th
august accessed sept 2021

Nalukwago betty retired on 9th march
2022 accessed may 2022

Semuzungu Andrew retired on 4th
Aprill accessed on May 2022

Seremba willy retired on 24th may
2022 accesses June 2022

Bonabaana Percy lukwago retired
25th may 2022 accessed June 2022

Bonabaana Betrice retired on 1st
September 2021 accessed October
2021

Nabimanya Lillian retired 1st
September 2021 accessed October
2021

Management, Monitoring and Supervision of Services.

| | | | | |
|----|--|---|--|---|
| 10 | Effective Planning, Budgeting and Transfer of Funds for Service Delivery | a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY: | <p>There was evidence that the LG transferred direct DDEG in full to the LLG as follows:</p> <p>Quarter 1: Release was on 06/07/2022 of UGX 172,559,428 transfer on 28/07/2021;</p> <p>Quarter 2: Release was on 30/09/2021 of UGX172,559,225 Transfer on 20/10/2021; and</p> <p>Quarter 3: Release was on 22/12/2021 of UGX 172,559,225 Transfer on 20/01/2022.</p> | 2 |
| | Maximum 6 points on this Performance Measure | Score 2 or else score 0 | | |
| 10 | Effective Planning, Budgeting and Transfer of Funds for Service Delivery | b. If the LG did not timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget: (within 5 working days from the date of receipt of expenditure limits from MoFPED): | <p>The LG did not timely warrant the DDEG to the LLG within 5 working days as follows:</p> <p>Quarter 1: Release was on 06/07/2021; warranted on 13/07/2021; 6 days</p> <p>Quarter 2: Release won 30/09/ 2021, warrant was on 12/10/2021, after 9 days</p> <p>Quarter 3: Release was on 22/12/2021 warranted on 05/01/ 2022, after 10 days</p> | 0 |
| | Maximum 6 points on this Performance Measure | Score: 2 or else score 0 | | |

| | | | | |
|--|-------------------------|---|--|--|
| 10 | 0 | Effective Planning, Budgeting and Transfer of Funds for Service Delivery | c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter: | The LG did not invoice and communicate the DDEG to the LLG within 5 working days as follows: |
| Maximum 6 points on this Performance Measure | Score 2 or else score 0 | Quarter 1: Release was on 06/07/2021; | Invoiced and communicated on 13/07/2021 5 days | Quarter 2: Release was on 30/09/2021, invoiced and communicated on 12/10/2021, 9 days; |
| | | Quarter 3: Release was on 22/12/2022 | Invoiced and communicated on 05/01/2022; 10 days | |
| 11 | 2 | Routine oversight and monitoring | a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines: | There was evidence that the LG carried out supervision/ mentoring of the LLG as follows: |
| Maximum 4 points on this Performance Measure | Score 2 or else score 0 | Quarter 1: Report dated 05/11/2021, | Development plan, appointment of planner, following planning cycle, submission of development plan, | Challenges: |
| | | Budgets were being prepared without the input of CDOs and another stakeholder | Budget framework papers were not being follow; | Quarter 2. Report dated 25/02/2022; |
| | | Finalize the DDEG work plan | How to prepare statistical report | How to generate short birth notification; |
| | | Challenge; | Incomplete reports, late submission, planning is not prioritized, only expenditures; | |

Quarter 3. Report dated 07/01/2022;

DDEG progress, work plan and budget.

Challenge;

The LLG were still following the old guidelines, some LG were not following their work plan and implementation not backup by the council

Limited funding, late communications from the Center and HLG, poor means of transport; and

Quarter 4. Report dated 15/04/2022;

The progress of activities in the production departments, the role of the partners in complementing government programs at the LLG

Challenge;

The briefcase NGOs, no report. Only report when they need report from government.

11

2

Routine oversight and monitoring

Maximum 4 points on this Performance Measure

b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:

Score 2 or else score 0

There was evidence obtained that showed that the monitoring reports were discussed in the TPC meeting and corrective actions and follow ups were made as follows:

Quarter 1: Meeting held on 16/07/2021

Min 07/LGWWG/07/2021

The revenue collected was not being remitted, recommendation was to strengthen the revenue desk.

Planer supported the LLG on budgeting preparation process, planer to invite all the sub county chiefs, CDO and sub accountants and take them through the planning cycle;

Quarter 2: Meeting was held on

25/10/2021 Min 06/07/LWG/10/2021

Supervision of private surveys by private surveyors- should inform the district staff surveyor of their activities.

The issue of YLP was discussed and the recommendation was for the YLP to work with the RDC to ensure that those who had not paid pay in 3 months' time;

Quarter 3: The meeting was held on 23/02/2022 05/LWG/2/2022

The discussion was on completed projects, Nakatete Baptist primary school was not commissioned, it had defects, the SAS was tasked to budget for maintenance for projects especially those outside the liabilities period and

Quarter 4: meeting was held on 22/04/2022

Min 06/LWG/03/2022

School head count- allocation. Some schools had closed before school date, sanctions on the head teachers.

Deployment of teachers, some of the teachers refused their duty stations, the HR was to take action

Investment Management

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

a. Evidence that the District/Municipality maintains an updated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:

Score 2 or else score 0

Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0

There was evidence that the Lwengo LG updated the asset register 06/07/2022

The major assets included the following:

1. ICT equipment UGX 345,545,000- page 326 B;
2. Motor vehicle UGX 1,580,334,760 page 62;
3. Furniture and fittings UGX 232,571,365;
4. Buildings UGX 1,269,206,128 page 8;
5. Heavy plant UGX 3,980,000,000 page 147;
6. Medical Equipment UGX89,000,0000;
7. Land UGX 60,000,000 page 2; and
8. Machinery UGX 109,900,000 page 291

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:

Score 1 or else 0

The was evidence that the Board of survey report was reported on 23/07/2022 and the following were the recommendations as in page 61 of the report:

1. Disposal of the identified assets that no longer add value;
2. Engraving of new acquired assets;
3. Proving a safe and designated custody facilities for vehicles;
4. Allocation of more funds for acquisition and maintenance especially for motor vehicles;
5. Follow of recommendation on the implementation status on the board of survey recommendation for the previous year; and
6. Asset management training to equip asset users and stake holders with knowledge of management of various assets.

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.

There was evidence that the Lwengo LG had a functional physical planning committee in place and 4 sets of minutes of the previous FY were submitted as follows:

Quarter 1 was submitted on 13/10/2021;

Quarter 2 was submitted on 21/12/2021;

Quarter 3 was submitted on 04/04/2022; and

Quarter 4 was submitted on 08/07/2022.

The committee was fully constituted on 06/07/2014 as follows:

1. CAO- Chairperson;
2. District staff surveyor;
3. District Engineer;
4. District Water Engineer;
5. District Education Officer;
6. District Agricultural Officer;
7. DCDO;
8. DHO;
9. District Environmental Officer;
10. District Physical Planner - Secretary;
11. Town Clerks of the 4 (Lwengo, Kynzanga, Kinoni, Katovu, and sub county chiefs)

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

d. For DDEG financed projects;

Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:

Score 2 or else score 0

There was evidence that the LG carried out desk appraisal and the investments were derived from LG development plan as follows:

1. Fencing of District Administration UGX 41,491,000 page 40; page 11 AWP and budget, desk appraisal was on 20/5/2020;
2. Construction of Staff house- Kyazanga HCIV 100,000,000 page 165 of LGDP; desk appraisal was on 17/05/2020;
3. Construction of two classroom block at Katovu CU UGX 75,000,000 page 174 of LGDP, 17/05/2020; desk appraisal 17/05/2020; and
4. Construction of Toilet at Katovu P/S 25,000,000 page 76, page 36 AWP and budget; desk appraisal 18/05/2020.

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

For DDEG financed projects:

e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:

Score 2 or else score 0

There was no evidence that the LG carried out field appraisal and scrutiny for Technical feasibility environmental and social acceptability and designs customized for investment projects from the two sampled projects below:

1. Fencing of District Administration; and
2. Construction of Staff house- Kyazanga HCIV .

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:

Score 1 or else score 0.

The TPC held meeting on 10/10/2021 Minute TPC 04/10/021 and discussed the sampled projects below:

1. Construction of Staff house- Kyazanga HCIV 100,000,000 page 165 of LGDP;
2. Construction of two classroom block at Katovu CU UGX 75,000,000 page 174 of LGDP, 17/05/2020; and
3. Construction of Toilet at Katovu P/S 25,000,000 page 76 LGDP

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:

Score 2 or else score 0

There was evidence to show that the LG has screened for environmental and social risks/impact and put mitigation measures where required before projects funded by the DDEG are approved for construction

1) Construction of Classroom block and store at Kikoba Primary School, Malongo subcounty. Costed ESMP is dated 13/10/2021, developed by SDEO Mr. Mutemba Godfrey and CDO Mr. Mazinga Joseph. Anticipated Environmental and social risks were identified and mitigation measures put in place before approval of construction.

2) Construction of classroom block and store at Katovu Primary School, in Katovu Town Council. Costed ESMP is dated 13/10/2021, developed by SDEO Mr. Mutemba Godfrey and Mr. Mazinga Joseph. Anticipated Environmental and social risks were identified and mitigation measures put in place before approval of construction.

3) Construction of 5 stance pit latrine at Katovu Primary school, Katovu Town Council. Costed ESMP is dated 13/10/2021, developed by SDEO Mr. Mutemba Godfrey and Mr. Mazinga Joseph. Anticipated Environmental and social risks were identified and mitigation measures put in place before approval of construction

| | | | | |
|----|--|---|---|---|
| 13 | Procurement, contract management/execution Maximum 8 points on this Performance Measure | a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan Score 1 or else score 0 | There was evidence that the only infrastructure project under DDEG for FY2022-2023 was incorporated in the LG approved procurement plan on 30th May 2022 under minute number, Min. 09/LDC/05/2022 from Clerk to council; the project for the Proposed Spot Maintenance of Bunyere-Kirayangoma road is to be implemented under force account method of procurement. | 1 |
| 13 | Procurement, contract management/execution Maximum 8 points on this Performance Measure | b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0 | There was evidence that the only infrastructure project under DDEG for FY2022-2023 was incorporated in the LG approved procurement plan on 30th May 2022 under minute number, Min. 09/LDC/05/2022 from Clerk to council; the project for the Proposed Spot Maintenance of Bunyere-Kirayangoma road is to be implemented under force account method of procurement. | 1 |
| 13 | Procurement, contract management/execution Maximum 8 points on this Performance Measure | c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0 | There was evidence that the LG established the project implementation team on 10th August 2022. The memo entitled Appointment of Project Management Team for water projects 2021/22, nominated Mr. Kawesi Robert as project supervisor, Mr. Lugalambi Frerio as contract manager, Mr. Mutemba Godfrey as Environment Officer, Mr. Mazinga Joseph as the Community Development Officer and Ms. Bukenya Justine as the Labour Officer | 1 |
| 13 | Procurement, contract management/execution Maximum 8 points on this Performance Measure | d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: | There was evidence that the three sampled infrastructure projects implemented using DDEG for FY2021/2022 followed the standard technical designs provided by the LG engineers; The sampled Bills of Quantities, Drawings, site supervision and technical payment reports prepared by the district technical | 1 |

Score 1 or else
score 0

teams support the same.

This was also confirmed through a random site visit to the 4-unit staff quarters at Kyazanga Health Centre IV, two classroom block, office and store at Katovu Primary School in Malongo Town Council and 5-Stance Latrine at Katovu C/U Primary School, the site visit was jointly conducted by the assessor and the district engineer on Tuesday, 15th November 2022, that confirmed no defects on the infrastructures at the time of assessment.

The classroom block technical designs encompassed the sub structure details, building frame, wall details, roof structure, windows, doors, floor finishes, wall finishes, roof finishes, lightening protection and fixtures;

The 2-Classroom Block with an office and Store at Katovu Primary School was implemented following Standard technical designs with the Classroom Block, each class measuring 7800x6400mm on the interior, the Office and Store in Masonry brick walls of 230mm. The structure was roofed in Ordinary/Corrugated Maroon-colored Iron Sheets on treated timber trusses with fascia boards. The floor. The enclosures (steel casements), i.e., Doors (3No. each 900x2400mm) and glazed Windows – 1500mmx1200mm, the inner office/store door was a wooden timber door with a vent - 900x2400mm, then the general finishing works in Plastering, floor works in cement – sand screeding with dividing strips to mitigate cracking, Chalk Boards (4500mm wide by 1200mm high) and painting; all done as per the BoQs. The lightening arrestor was installed as well

The Latrine structure detailed as follows; sub structure details, walling and frame was made of brick, roof construction details were gabled and wooden trusses, doors were metallic,

internal finishes were fine screed floors and painted walls, external finishes were smartly done with emulsion paint and environmental issues were taken care of; and

The Staff house technical designs embraced the substructure details were Insitu and set concretes, roof details were wooden trusses with a high pitch, external finishes were smartly done with emulsion paint, windows and external doors were metallic and grilled, internal walls and partitions, internal doors were wooden, internal finishes, fittings and fixtures all properly done as per the designs.

The three projects had detailed progressive reports as follows;

For Construction of a 4-unit staff quarters at Kyazanga Health Centre IV; inspection report for staff house at Kyazanga dated 21st February 2022 was prepared by Mr. Kaweesi Robert, District Engineer; E&S joint inspection report dated 15th June 2021 was prepared by Mr. Mutemba Godfrey, Senior Environment Officer and Mr. Mazinga Joseph, Community Development Officer;

For Construction of 5-Stance Latrine at Katovu C/U Primary School; inspection report for staff house at Kyazanga dated 6th June 2022 was prepared by Mr. Kaweesi Robert, District Engineer; E&S joint inspection report dated 8th June 2021 was prepared by Mr. Mutemba Godfrey, Senior Environment Officer and Mr. Mazinga Joseph, Community Development Officer; and

For Construction of 2 classroom block, office and store at Katovu Primary School in Malongo Town; inspection report for staff house at Kyazanga dated 18st February 2022 was prepared by Mr. Kaweesi Robert, District Engineer; E&S joint inspection report dated 8th June 2021 was prepared by Mr. Mutemba Godfrey,

13

2

Procurement, contract
management/execution

Maximum 8 points on
this Performance
Measure

e. Evidence that
the LG has
provided
supervision by the
relevant technical
officers of each
infrastructure
project prior to
verification and
certification of
works in previous
FY. Score 2 or else
score 0

There was evidence that the technical
staff of Lwengo LG provided
supervision and work completion
reports for their infrastructural projects
prior to verification and certification of
works for the FY2021-2022 as follows;

The three projects had detailed site
meetings and reports as follows;

For Construction of a 4-unit staff
quarters at Kyazanga Health Centre
IV; site meeting dated 20th December
2021 was chaired by Dr. Kafumbe
Moses, District Health Officer among
other 9 members present; the agenda
of the meeting was as follows, arrival
of participants, site inspection, prayer,
self-introduction, communication from
chair, report from contractor, remarks
from the project supervisor, reactions
and way forward, remarks from CAO
and District Chairperson, closure and
departure;

For Construction of 2 classroom block,
office and store at Katovu Primary
School in Malongo Town; site meeting
dated 2nd February 2022 was chaired
by Mr. Mulumba Joseph, District
Education Officer among other 8
members present; the agenda of the
meeting was as follows, arrival of
participants, site inspection, prayer,
self-introduction, communication from
chair, report from the project manager,
remarks from S.E.O/NR, remarks from
DCDO, report from the contractor,
remarks from H/Tr, reactions and way
forward, remarks from CAO, Closure
and Departure; and

For Construction of 5-Stance Latrine
at Katovu C/U Primary School; site
meeting dated 27th May 2022 was
chaired by Mr. Mulumba Joseph,
District Education Officer among other

7 members present; the agenda of the meeting was as follows, arrival of participants, site inspection, prayer, self-introduction, communication from chair, report from the project manager, remarks from S.E.O/NR, remarks from DCDO, report from the contractor, remarks from H/Tr, reactions and way forward, remarks from CAO, Closure and Departure.

The Completion certificates were as follows;

Construction of 2 classroom block, office and store at Katovu Primary School in Malongo Town dated 28th February 2022 prepared by Mr. Kawesi Robert, District Engineer;

Construction of 5-Stance Latrine at Katovu C/U Primary School dated 6th June 2022, prepared by Mr. Kawesi Robert, District Engineer; and

Construction of a 4-unit staff quarters at Kyazanga Health Centre IV dated 21st February 2022 prepared by Mr. Kawesi Robert, District Engineer.

13

1

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):

Score 1 or else score 0

There was evidence that Lwengo LG had verified works and initiated payments of contractors within the given time frame; Most of the procurement files availed had complete documentations and reports clearing them for payments as detailed herein;

For the Construction of 4-unit staff quarters at Kyazanga Health Centre IV, LWEN599/WRKS/2021-2022/00004

Project commencement date: 18th November 2021

Project period: 4 months

Proposed Completion date: 18th March 2022

Project completion date: 21st February 2022

Payment date: 21st February 2022

Amount paid: Ugx. 90,268,830

For the Construction of 5-Stance Latrine at Katovu C/U Primary School, LWEN599/WRKS/2021-2022/00004

Project commencement date: 9th March 2022

Project period: 3 months

Proposed Completion date: 9th June 2022

Project completion date: 6th June 2022

Payment date: 17th June 2022

Amount paid: Ugx. 23,746,740; and

For the Construction of 2 classroom block, office and store at Katovu Primary School in Malongo Town Council, LWEN599/WRKS/2021-2022/00002

Project commencement date: 18th November 2021

Project period: 4 months

Proposed Completion date: 18th March 2022

Project completion date: 28th February 2022

Payment date: 22nd February 2022

Amount paid: Ugx. 70,940,775.

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:

Score 1 or else 0

There was evidence that Lwengo LG had a complete procurement file for the three sampled DDEG projects for FY2021-2022 in place. The contract register dated 30th June 2022, captured the three projects and their state of completion. The projects with complete files were sampled as follows;

For the Construction of 4-unit staff quarters at Kyazanga Health Centre IV, LWEN599/WRKS/2021-2022/00004, the procurement was approved on 20th October 2021 under contract committee minute no. 00005/DCC/21-22(a). The approved evaluation report dated 19th October 2021st was drafted and signed in a sitting chaired by Dr. Kafumbe Moses, District Health Officer among other 4 members of the evaluation committee. The bid acceptance letter dated 8th November 2021 was signed by Mr. Mulamira Aggrey, Chief Administrative Officer and the contract was signed on 11th November 2022; and

For the Construction of 2 classroom block, office and store at Katovu Primary School in Malongo Town Council, LWEN599/WRKS/2021-2022/00002, the procurement was approved on 20th October 2021 under contract committee minute no. 00005/DCC/21-22(a). The approved evaluation report dated 19th October 2021st was drafted and signed in a sitting chaired by Dr. Kafumbe Moses, District Health Officer among other 4 members of the evaluation committee. The bid acceptance letter dated 8th November 2021 was signed by Mr. Mulamira Aggrey, Chief Administrative Officer and the contract was signed on 11th November 2022.

Grievance redress mechanism operational.

Maximum 5 points on this performance measure

a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.

Score: 2 or else score 0

There was evidence to show that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant

A letter is available dated 22/01/2018 written by the Chief Administrative Officer, Mr. Kitembe Grace, appointing Mr. Ssekabira Peters, the senior Records Officer as the focal person for the grievance redress. The letter details the ToR of the assignment.

A letter dated 22/02/2022 written by Ms. Nabakoza Afisah on behalf of the Chief Administrative Officer addressed to the Permanent Secretary, Ministry of public service is available. The letter submits the name of the Lwengo District grievance consultative committee. The committee is composed of 12 members, with Mr. Ntulumbe George as Chairperson, Ms. Nabakooza Afisah - PAS. Others include Ms. Namaganda Florence – PHRO – as secretary to committee and departmental heads.

There is also a copy of the letter dated 01/07/2020 written by the CAO Mr. Muramira Aggrey Winston; appointing one of the members (M/s Mulumba Sumayyah, the Inspector of Schools) on the GRC.

Grievance redress mechanism operational.

Maximum 5 points on this performance measure

b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.

If so: Score 2 or else 0

There was evidence that the LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices

A register is available with the following columns; 1) Case ref., 2) Date, 3) Name of Complainant, 4) Location (of where the complainant comes from), 5) Details of the case, and 6) Action taken. First case was registered on 06/04/2016, the latest case (by the time of the assessment) dated 15/09/2022. A review of the register indicates that most of the grievances are staff related.

Minutes of the grievance redress committee meetings are also available, showing that the committee meets to discuss and resolve grievances. For example, the Minutes of the GRC meeting held on 30/07/2020; Minutes of the 2nd quarter grievance committee dated 17/10/2022 and minutes of the 1st quarter grievance committee meeting dated 03/10/2022. Min 03/GM/13/10/2022 of the 2nd quarter GRC meeting, contractor complains about the increasing prices commodities. Saying other contractors were adjusted for the contract reserve prices unlike water department in the FY 2021/2022.

| | | | | |
|---|--------------------------|---|--|--|
| 14 | 1 | Grievance redress mechanism operational. | c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress. | There was evidence to show that the District/Municipality publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress Notices are also displayed on School noticeboards. |
| Maximum 5 points on this performance measure | If so: Score 1 or else 0 | Website: www.lwengo.go.ug | On the website the GRM is publicized. An electronic copy of the GRM is available. | GRM was included on the District Notice Board website on 08/08/2021. |
| 15 | 1 | Safeguards for service delivery of investments effectively handled. | a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0 | <p>There was evidence that the Environment Social and Climate change interventions have been integrated in into LG Development Plan, AWP and Budgets, all were budgeted under page 190 of the LG Development Plans, page 34 of the AWP and budget.</p> <ol style="list-style-type: none"> 1. Construction of 3 classroom block at Lwettamu Baptism primary UGX 75,000,000 and 1,000,000 for environment social and climate change page 35; 2. Construction of 3 stance pit latrine at Katovu CU P/S UGX 25,000,000 and environmental UGX 1,000,000- page 36 approved budget; and 3. Extension of piped water in Kibuye UGX 8,000,000 and UGX 1,000,000 page 49. |
| Maximum 11 points on this performance measure | | | | |

| | | | | |
|---|-------------------------|---|---|--|
| 15 | 1 | Safeguards for service delivery of investments effectively handled. | <p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> | <p>The LG disseminated the DDEG guidelines on 12/11/2021, to the sub county chiefs and town clerks, STPC, accounting officer.</p> <p>District Planner, Senior Finance Officer, representative from the CAO office</p> |
| Maximum 11 points on this performance measure | score 1 or else 0 | | | |
| 15 | 3 | Safeguards for service delivery of investments effectively handled. | <p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p> | <p>There was evidence to show that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY.</p> <p>1. Construction of staff house at Kyazanga Health Centre IV. The contractor Ms Karobs Enterprises Limited, Contract ref no. LWEN599/WRKS/21-22/00004; incorporated Ugx115,000 into the BoQs dated 11/11/2021 to allow for environmental mitigation and social safe guard measurement issues.</p> |
| Maximum 11 points on this performance measure | score 3 or else score 0 | | | |

| | | | | |
|----|---|--|---|---|
| 15 | Safeguards for service delivery of investments effectively handled. | d. Examples of projects with costing of the additional impact from climate change. | There was no evidence of projects with costing of the additional impact from climate change | 0 |
| | Maximum 11 points on this performance measure | Score 3 or else score 0 | | |
| 15 | Safeguards for service delivery of investments effectively handled. | e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: | There was no evidence to show that all projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances. | 0 |
| | Maximum 11 points on this performance measure | Score 1 or else score 0 | Only two projects implemented under DDEG had land titles including: | |
| | | | <p>1) Project Name: Fencing of Lwengo District Headquarters, Nyenje Village, Church Ward, Lwengo Town Council. A land title for the land on which the project is located is available. The title was registered on 26/03/2014. Ref. msk00002576, in the names of Lwengo District Local government; found in Buddu County, Block 437, Plot No. 130.</p> | |
| | | | <p>2. Project Name: Installation of CCTV Camera at Lwengo District Headquarters, Nyenje Village, Church Ward, Lwengo Town Council. A land title for the land on which the project is located is available. The title was registered on 26/03/2014. Ref. msk00002576, in the names of Lwengo District Local government; Buddu County, Block 437, Plot No. 130.</p> | |
| | | | Five of the DDEG projects implemented had no proof of land ownership or access. | |

Safeguards for service delivery of investments effectively handled.

Maximum 11 points on this performance measure

f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:

Score 1 or else score 0

There was evidence to show that both Environmental Officer and CDO conducted support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports

1) Construction of Classroom block and store at Kikoba Primary School, Malongo subcounty. Costed ESMP is dated 13/10/2021. Supervisory and monitoring visit was undertaken on 08/06/2021 by both the SDEO Mr. Mutemba Godfrey and DCDO Mr. Mazinga Joseph to ascertain with ESMPs and provide monthly reports. The reports show progress was reviewed and contractor advised on plant the trees which he had not planted.

2) Construction of classroom block and store at Katovu Primary School, in Katovu Town Council. Costed ESMP is dated 13/10/2021. Supervisory and monitoring visit was undertaken on 08/06/2021 by both the SDEO Mr. Mutemba Godfrey and DCDO Mr. Mazinga Joseph to ascertain with ESMPs and provide monthly reports. The reports show progress was reviewed and contractor advised on site clearance of debris.

3) Construction of 5 stance pit latrine at Katovu Primary school, Katovu Town Council. Costed ESMP is dated 13/10/2021. Supervisory and monitoring visit was undertaken on 08/06/2021 by both the SDEO Mr. Mutemba Godfrey and DCDO Mr. Mazinga Joseph to ascertain with ESMPs and provide monthly reports. The reports show progress was reviewed and contractor advised on planting trees and palspalm to control erosion.

15

0

Safeguards for service delivery of investments effectively handled.

Maximum 11 points on this performance measure

g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:

Score 1 or else score 0

There was no evidence to show that the E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects.

Documents for DDEG payment vouchers were not available to verify

Financial management

LG makes monthly
Bank reconciliations

Maximum 2 points on
this Performance
Measure

a. Evidence that
the LG makes
monthly bank
reconciliations and
are up to-date at
the point of time of
the assessment:

Score 2 or else
score 0

There was evidence that the LG did
bank reconciliation of all the sampled
bank and were up to-date

Account Name: Lwengo DLG General
Fund

Account no: 216253123000010

Bank: Finance Trust

August was reconciled on 06/09/2022

September was reconciled on
07/10/2022

October was reconciled on
08/11/2022; and

Account Name: Lwengo District
Scaling up Account

Account no: 216253000012

Bank: Finance Trust

August was reconciled on 06/09/2022

September was reconciled on
07/10/2022

October was reconciled on
08/11/2022.

Name: Lwengo LGUWEP Recovery

Account: 216253000029

August Reconciled on 7/09/2022

Sept reconciled on 07/10/2022

October reconciled on 08/11/2022

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.

Score 2 or else score 0

There was evidence that the LG produced all quarterly internal audit reports for the previous FY as follows:

Quarter 1: Submitted on 20/12/2021

Issues raised were

- Non-tendering of markets
- Failure to collect revenues from District Hired tractors of 6.2million
- Under staffing in Health Centre
- Limited working space at OPD;

Quarter 2. submitted on 25/04/2022

- Local revenue under performance
- Delayed payment of warranted of warranted funds
- Lack of fire extinguishers in secondary school
- Expired of team of office Board of governors;

Quarter 3: Submitted on 25/07/2022

- Lack of school bursar Nakyengi SS
- Lack of men ward at Kyazanga Health centre
- Lack of appointment letter and induction of HUMIC members
- Inadequate and late supply of drugs at all health facilities in the District; and

Quarter 4: submission on 29/09/2022

- Unaccounted for funds
- Lack of unpdated revenue register
- Lack of money safe at Kyanzanga and Kingo Sub county

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.

Score 1 or else score 0

There was evidence that the LG provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous as follows:

Quarter1 date was on 23/12/2021;

Quarter 2 date was on 28/04/2022;

Quarter 3 date was on 27/07/2022; and

Quarter 4 date was on 05/10/2022. Some of the issues that were raised and discussed were ; Unaccounted for funds, the CAO to ensure the officers responsible account for the funds,

Lack of updated revenue register, the officer responsible was charged with the responsibility to ensure that the revenue asset register was updated.

There was the issue of in adequate staff in the Health center, a recommendation was made that recruitment be done urgently .

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:

Score 1 or else score 0

There was evidence that the LG provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous as follows:

Quarter1 date was on 23/12/2021;

Quarter 2 date was on 28/04/2022;

Quarter 3 date was on 27/07/2022; and

Quarter 4 date was on 05/10/2022.

The reviews were as follows: The Committee held meetings on 07/12/2022, 18/07/2022, 03/10/2022, and made the reviews as follows.

Where the issues of non-tendering of

markets was an issue but resolved

There was also the issue of Nugatory of UGX 4,500,000 that was reviewed, and recommendations made

There was the issue of funds to be recovered where committee observed that UGX 3,300,000 was recovered remain a balance of UGX 1,173,100 to be recovered under production department

Issue of lack of risk management policy for the district

Failure to automated revenue register, the committee observed that the automated registered was in place and cleared

There was the issue of diversion of USE fund, this was not yet cleared, the committee recommended the arrest of the head teacher responsible so that the funds are recovered

There was the issue to failure to comply with DDEG guidelines.

The Accounting Officer to ensure the guideline was followed.

There was the issue of unaccounted for fund of UGX27,554,400, under education department, the CAO to ensure the sector head accounts for the funds.

There was the delay in payment of incentives to the health sector staff at Nkoni Health III. It was recommended that the Accounting officer to quicken the process of payment

Local Revenues

| | | | | |
|---|---|--|--|---|
| 18 | 0 | LG has collected local revenues as per budget (collection ratio) | a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0. | The LG revenue Budget for FY 2021/2022 was UGX 701,952,305 page 35 of approved budget Actual revenue realised was UGX 326,423,620 page 35 of LG Final Account Revenue realised was only 46% |
| Maximum 2 points on this performance measure | | | | |
| 19 | 0 | The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one) | a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. | The LG in the FY 2020/2021 revenue collected was UGX 316,554,839 page 35 of FY Final Accounts 2020/2021. The LG in FY 2021/2022 realised UGX 326,423,620. The increase was only 3.1% |
| Maximum 2 points on this Performance Measure. | | | | |
| 20 | 0 | Local revenue administration, allocation, and transparency | a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0 | The LG sharable Revenue collected in the FY 2021/2022 was UGX 326,423,620 as per the LG Final Account: The transfer was: UGX 148,753,705- from the account analysis report printed on 14/11/2022 page 9 of 9 The LG remitted only 45.5% below the mandatory 65% |
| Maximum 2 points on this performance measure. | | | | |

Transparency and Accountability

LG shares information with citizens

Maximum 6 points on this Performance Measure

a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0

There was evidence that the procurement plan and awarded contracts and all the amounts for FY2021-2022 were published on the PDU notice board for 10 working days as detailed per project herein;

Construction of 5-Stance Latrine at Katovu C/U Primary School, LWEN599/WRKS/2021-2022/00004 awarded at Ugx. 24,996,569 and published from 15th February 2022 to 24th February 2022;

Construction of 4-unit staff quarters at Kyazanga Health Centre IV, LWEN599/WRKS/2021-2022/00004 awarded at Ugx. 95,019,821 and published from 20th October 2021 to 3rd November 2021; and

Construction of 2 classroom block, office and store at Katovu Primary School in Malongo Town Council, LWEN599/WRKS/2021-2022/00002 awarded at Ugx. 95,019,821 was published from 20th October 2021 to 3rd November 2021, among others

LG shares information with citizens

Maximum 6 points on this Performance Measure

b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0

There was evidence that the LG published the LGPA on 13/13/2022:

Cross cutting minimum 63%;

Education minimum 100 %;

Health Minimum condition 90%;

Water and Environment minimum condition 55 %;

Cross cutting performance measure 70%;

Education performance measure 51%;

Health performance measure 57%;

Water and environment performance measure 64%; and

Micro scale irrigation performance measure 83%.

| | | |
|--|--|--|
| <p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p> | <p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p> | <p>There was evidence of feedbacks as follows;</p> <p>03/05/2022. Malongo Sub county</p> <p>Issues raised;</p> <p>The department heads presented achievements of the implementation of the projects of the FY</p> <ol style="list-style-type: none"> 1. Supply of 90 3-seater desks to schools at the cost of UGX 17,000,000, the community suggested that the LG should only consider public schools. 2. Lack of Health center III in kingo Sub county, recommended that one of the Health center II be upgraded to health center III 3. Health and sanitation, they secure 10,000L water tank at Kaganda Health center II, 4. At Lwengo town council, there was the issue of maintenance of Mulwazawo Asad Njenj road 4 km at UGX 19,460,000, the roads are wasted quickly 5. There was revenue numeration, revenue source is few, local revenue is very small 6. Poor solid waste management by the town council, invest in mind change |
|--|--|--|

| | | | | |
|----|--|---|--|---|
| 21 | <p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p> | <p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p> | <p>The LG had a charging policy for revenue management that was pinned on the noticeboard 01/07/2021.</p> <p>The appeal is composed of sub-county chiefs, parish chiefs, sub accountant, CDO, Production extension officer, tax officers, CAO chairs the appeal committee, at the HLG CAO is the chairperson, CFO, DCDO, DPO, revenue person</p> | 1 |
| 22 | <p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p> | <p>a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p> | <p>There was no IGG issue</p> | 1 |

**Educational
Performance
Measures**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|---|---|--|-------|
| Local Government Service Delivery Results | | | | |
| 1 | <p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p> | <p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 | <p>2020 Results</p> <p>First grade 957 (14%)</p> <p>Second grade - 3677 (53%)</p> <p>Third 1126 (16%)</p> <p>Total that sat 7159</p> <p>Pass rate for 2020 was 80%</p> <p>2019 Results</p> <p>First grade 779</p> <p>Second grade - 3118</p> <p>Third 1299</p> <p>Total that sat 6995</p> <p>Pass rate for 2019 was 74%</p> <p>Percentage change = Pass Rate 2020 – 2019</p> <p style="text-align: right;">=80% -</p> <p>74% = 6%</p> <p>Therefore, the score is 4</p> | 4 |

1

3

Learning Outcomes:
The LG has improved
PLE and USE pass
rates.

Maximum 7 points on
this performance
measure

b) The LG UCE pass
rate has improved
between the previous
school year but one
and the previous year

- If improvement by more than 5% score 3
- Between 1 and 5% score 2
- No improvement score 0

2020 Results

First grade 240 (10%)

Second grade - 508 (20%)

Third 650 (26%)

Total that sat 2531

Pass rate for 2020 was 55%

2019 Results

First grade 131

Second grade - 435

Third 649

Total that sat 2670

Pass rate for 2019 was 46%

Percentage change = Pass Rate
2020 – 2019

=55% -

46% = 9%

Therefore the score is 3

2

0

Service Delivery
Performance: Increase
in the average score in
the education LLG
performance
assessment.

Maximum 2 points

a) Average score in the
education LLG
performance has
improved between the
previous year but one
and the previous year

- If improvement by more than 5% score 2
- Between 1 and 5% score 1
- No improvement score 0

Not applicable

| | | | | |
|---|--|--|--|---|
| 3 | <p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p> | <p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p> | <p>The MoES Sector Planning, Budgeting and implementation guidelines for the LG FY 2021-22 stipulated stipulated eligible expenditures under the development budget (page 26) as: (Construction, rehabilitation, renovation of: classrooms, administration blocks, Procurement of class and office furniture, construction of teachers' houses; sanitation facilities, rain water harvesting facilities, dormitories and other infrastructure for schools (offices, kitchen, and store, lighting conductors, fencing)</p> <p>The Project Implementation report for FY 2021/2022, dated 23/05/2022 reports the following:</p> <p>Construction of two classroom blocks with store and office at Lwetamu PS ,Katovu COU ,Kikoba Primary School</p> <p>Total of 75M each, for a total of 225m</p> <p>Construction of 5 stance latrine for Katovu COU, Kabwami RC PS, Kijajasi PS, and Kasozi PS each at 25m for a total of 100m.</p> <p>The total development grant for the FY 2021/2022 was UGX 1, 126,085,000.</p> | 2 |
| 3 | <p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p> | <p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p> | <p>There was evidence that LG made payments for sector infrastructure and executed as per contract as follows:</p> <p>Name of project: Construction of 5 stance pit lined latrine at Kayiirira P/s</p> <p>Project NO: LWEN/599/WKS/21-22/00017</p> <p>Contract Sum: UGX24,952,000</p> | 2 |

Requisition was on 20/06/2022

Signed by the DEO on 20/06/2022

District Engineer signed on
20/06/2022

CAO signed on 21/06/2022

Environment/CDO Officer signed
on 6/06/2022

Payment was on 29/06/2022

Amount paid was UGX
24,887,620;

Name of project: Construction of 5
stances pit lined latrine at
Kabwam P/S

Project NO: LWEN/599/WRKS/21-
22/00019

Contract Sum: UGX25,984,350

Requisition was on 22/06/2022

Signed by the DEO on 22/06/2022

District Engineer signed on
22/06/2022

CAO signed on 22/06/2022

Environment/CDO Officer signed
on 06/06/2022

Payment was on 29/06/2022

Amount paid was
UGX24,685,132; and

Name of project: Construction of 2
classroom block with office and
store at Kikoba Primary schools

Project NO: LWEN/599/WRKS/21-
22/00003

Contract Sum: UGX 74,816,000

Requisition was on 20/06/2022

Signed by the DEO on 20/06/2022

District Engineer signed on

20/06/2022

CAO signed on 21/06/2022

Environment/CDO Officer signed
on 06/06/2022

Payment was on 29/06/2022

Amount paid was UGX
71,075,200.

Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

c) If the variations in
the contract price are
within +/-20% of the
MoWT estimates score
2 or else score 0

There was evidence that the
variations of the only two sampled
contracts for education
infrastructure projects for the FY
2021/2022 were within the +/-20%
of the MoES engineers estimates
as follows;

Project: Construction of a 2-class
room block office and store at
Kikoba Primary School,
LWEN599/WRKS/2021-
2022/00003

Contractor: Tripah Engineering
Services Limited

Contract amount = Ugx.
74,816,000

Engineers Estimate: Ugx.
75,000,000

Variation = +0.25% and

Project: Construction of a 2-class
room block office and store at
Lwettamu Primary School,
LWEN599/WRKS/2021-
2022/00003

Contractor: SUHA Quality
Investments Limited

Contract amount = Ugx.
74,625,044

Engineers Estimate: Ugx.
75,000,000

Variation = +0.5%.

| | | | | |
|---|---|---|---|---|
| 3 | <p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p> | <p>d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 | <p>There was no evidence that Katovu Seed Secondary School was being implemented as per work plan since the construction of the Seed Secondary School had not commenced by the time of assessment. The Contract agreement was signed on 19th October 2022, the site handover and commencement dates were yet to be communicated</p> | 0 |
| 4 | <p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p> | <p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 – 79% score: 1 • Below 70% score 0 | <p>The establishment was 1300, filled positions are 242. 18%</p> | 0 |
| 4 | <p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p> | <p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 | <p>Basic Minimum Standards as per Guidelines are :</p> <p>1; At least 3 Permanent Classrooms complete with storage Lockers and Pupil: Classroom Ratio of 1:53.</p> <p>2; Desk to Pupil Ratio of 1:3 students.</p> <p>3; One Five stance latrine Block and Stance: Pupil ratio of 1:40.</p> <p>4; Accommodation of at least 4 Teachers.</p> <p>5; Water Tank of at least 10,000 Liters and</p> | 1 |

6; At least one changing Room per school.

From the list of registered UPE and USE schools and the consolidated Schools Asset Register (Format 1: Education Facility Register at LG Level), for both UPE and USE Schools from the previous two FYs.

Data for FY 2020/21 showed the Basic Requirements and minimum standards (BRMS) status as follows:

1; At least 3 Permanent Classrooms complete with storage Lockers and Pupil: Classroom Ratio of 1:53, 58% of the schools had 1:53 classroom:Pupil ration.

2; Desk to Pupil Ratio of 1:3 students.

51% of the schools had 1:3 Desk:Pupil ration.

3; One Five stance latrine Block and Stance: Pupil ratio of 1:40.

53% of the schools had 1:40 latrine:pupil ration.

4; Accommodation of at least 4 Teachers.

52 % schools had at least 4 teachers' houses

5; Water Tank of at least 10,000 Liters (no record) and

6; At least one changing Room per school

The data showed that the schools in the LG scored between 50% - 58% thus the score 1

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

a) Evidence that the LG has accurately reported on teachers and where they are deployed.

- If the accuracy of information is 100% score 2
- Else score: 0

Lwengo DLG accurately reported on Teachers and where they were deployed. From the sampled schools, teachers were confirmed to have been correctly deployed since their names tallied with those on the deployment list from the DEO's office. The staff list for schools', deployment and staff attendance registers were verified and below are the names:

Bishop Ssenyonjo PS

Mugabe William, Nabasumba Takia, Nabuwuufu Jane,, Nkamushaba Nicolas, Matovu John Rober, Sserwadda Daudi, Dambya Harriet, Namara Ruth, Kembabazi Teddy Joan, Nakale, mbe Cissy, Nakalinzi Jovia Kukiliza,

St Hermern Nkoni PS

Ddamulira Fred, Kasiba Isaac, Nabatyanga Sarah, Bagaala Milly, Namirimo Christine, Mujjabi Ronald, Ssekidde Samuel, Nansubuga Violet, Kweyunga Stephen, Kyalikunda Diana, Nantongo Juliet, Matovu Deus, Nalweyiso Cissy, Nanuuni Juliet, Mwangye Ramathan, Mweruka Mugga Daniel

Kyanukuzi PS.

Namirembe Josephine, Nalugo Gladys, Nankya Alice Jane, Mulumba Richard, Nanyomo Robinah, Nantume Josephine, Nuwatuha Edwig, Nakawombe Proscovia, Ahaisibwe Anamary, Kiganda Zeveriano

Accuracy of reported

b) Evidence that LG

Lwengo LG had a Consolidated

information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

has a school asset register accurately reporting on the infrastructure in all registered primary schools.

- If the accuracy of information is 100% score 2
- Else score: 0

Schools Asset Register that was accurately reporting on the infrastructure in all registered primary schools.

From the Sampled Schools the findings on 04/11/2022 during verification, the assets' register records were as indicated below:

Bishop Senyonyi PS (Urban)

School register: Number of Classrooms: 12, Number of Latrines: 18 stances, Number of Desks: 218, Number of Teachers Houses: 2.

DEO's office: Number of Classrooms: 11, Number of Latrines: 10 stances, Number of Desks: 171, Number of Teachers Houses: 4.

St Herman Nkoni PS (Semi urban)

School register Number of Classrooms: 6, Number of Latrines: 7 stances, Number of Desks: 90, and Number of Teachers Houses: 0.

DEO's office: Number of Classrooms: 14, Number of Latrines: 4 stances, Number of Desks: 100 , Number of Teachers Houses: 2.

Kyanukuzi PS

School register Number of Classrooms: 10, Number of Latrines stances 13, Number of Desks: 147, and Number of Teachers Houses: 4.

DEO's office: Number of Classrooms: 9, Number of Latrines: 10 stances, Number of Desks: 120 , Number of Teachers Houses: 7

During the verification, all the Sampled schools' asset registers on infrastructure and equipment,

were in place as per the Consolidated Asset Register and were found to have accurate information at 100%.

The LG was compliant.

6

4

School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 – 99% score: 2
- Below 80% score 0

There was evidence that the LG fully complied with the MOES Guidelines because all the schools followed the reporting guidelines. Samples seen indicate that Nantungo PS submitted on 16/6/2022, Kakolongo PS submitted on 20/5/2022, Rwebidaali PS submitted on 2/6/2022, Kibubbu PS on 1/6/2022, Namulanda5 PS submitted on 25/2/2022, Kyamaganda PS 6/3/2022

All signed by Chair persons of SMC and Chair persons school finance committee

the Head teacher and Approved by Jimmy Rwesabula the SMC Chairperson respectively

Acknowledgments sampled were obtained from Nakalenzi COU PS, submitted on 20/5/2022, Kabusirabo PS on 13/5/2020, Kalyamenvu PS on 9/5/2022

School compliance and performance improvement:

Maximum 12 points on this performance measure

b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:

- If 50% score: 4
- Between 30– 49% score: 2
- Below 30% score 0

There was evidence of supporting UPE schools to prepare and implement SIPs in line with Inspection recommendations as per the highlights below:

Bishop S Senyonjo PS

SIP was in place for FY 2021/2022 seen dated 18/3/2021. Compiled by Head Teacher Mr Kagore Fred Francis ??? but not signed by Chairperson SMC)

Key aspects were to Academic improvement, Provision of lunch, fencing the school, plastering classrooms, building school store, developing talents

St Herman Nkoni PS

SIP dated 12th August 2021

Putting up talking messages, curbing pupils misbehavior, time management, improve cleanliness of school premises, install security lights and provide lunch to pupils

Kyanukuza PS

SIP dated 27/02/2022

The following were the issues/actions to be undertaken:-

Purchasing utensils, interhouse competition, celebrating St Philips Day, Inter house completion, Renovating staff Quarters, Construct a demitory and Renovate water system and Verandor, School Fencing

| | | | | |
|---|--|---|---|---|
| 6 | <p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p> | <p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 – 99% score 2 • Below 90% score 0 | <p>There was no evidence of submission of EMIS data against the list of schools by the LG to MoES for FY 2021/22.</p> | 0 |
|---|--|---|---|---|

Human Resource Management and Development

| | | | | |
|---|--|---|--|---|
| 7 | <p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p> | <p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p> | <p>Lwengo LG budgeted for a Head Teacher and a minimum of 7 Teachers for P7 schools or a minimum of one teacher per class for schools with less than P.7 for the current FY.</p> <p>Evidence was seen at Malongo PS where more than 7 Teachers were budgeted for</p> <p>Kasozi PS budgeted for 9 Teachers</p> <p>St Jude Kiwumuro PS and Kabwami RC</p> <p>Further evidence is on Wage recurrent of 9,007,066,000/= generated on 26/6/2021 on the LG Approved workplan for Lwengo District</p> | 4 |
| 7 | <p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> | <p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p> | <p>There was evidence that teacher deployment in the schools matched what was on staff lists in the DEO's office. From the three sampled schools: Bishop Ssenyonjo, St Herman Nkoni and Kyanukuzi staff deployment for FY 2022/23 matched the DEO's list as highlighted below:</p> | 3 |

Maximum 8 points on
this performance
measure

Bishop Ssenyonjo PS

DEO: Mugabe
William, Nabasumba
Takia, Nabuwuufu Jane,,
Nkamushaba Nicolas, Matovu
John Rober, Sserwadda
Daudi, Dambya Harriet, Namara
Ruth, Kembabazi Teddy
Joan, Nakale, mbe Cissy, Nakalinzi
Jovia Kukiliza

School: Mugabe
William, Nabasumba
Takia, Nabuwuufu, Jane Nakalinzi
Jovia Kukiliza,, Nkamushaba
Nicolas, Matovu John
Rober, Sserwadda Daudi, Dambya
Harriet, Namara Ruth, Kembabazi
Teddy Joan, Nakale, mbe Cissy,

St Hermern Nkoni PS

Ddamulira Fred, Kasiba
Isaac, Nabatyanga Sarah, Bagaala
Milly, Namirimo Christine, Mujjabi
Ronald, Ssekidde
Samuel, Nansubuga
Violet, Kweyunga
Stephen, Kyalikunda
Diana, Nantongo Juliet, Matovu
Deus, Nalweyiso Cissy, Nanuuni
Juliet, Mwangye
Ramathan, Mweruka Mugga
Daniel

School: Ddamulira Fred, Kasiba
Isaac, Nabatyanga Sarah, Bagaala
Milly, Namirimo Christine, Mujjabi
Ronald, Ssekidde
Samuel, Nansubuga
Violet, Kweyunga
Stephen, Kyalikunda
Diana, Nantongo Juliet, Matovu
Deus, Nalweyiso Cissy, Nanuuni
Juliet, Mwangye
Ramathan, Mweruka Mugga
Daniel

Kyanukuzi PS.

Namirembe Josephine, Nalugo
Gladys, Nankya Alice Jane,
Nanyomo Robinah, Nantume
Josephine, Nuwatuha
Edwig, Nakawombe
Proscovia, Ahaisibwe
Anamary, Kiganda Zeveriano,
Mulumba Richard

School: Namirembe
Josephine, Nalugo Gladys, Nankya
Alice Jane, Mulumba
Richard, Nanyomo
Robinah, Nantume
Josephine, Nuwatuha
Edwig, Nakawombe
Proscovia, Ahaisibwe
Anamary, Kiganda Zeveriano

Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

c) If teacher deployment data has been disseminated or publicized on LG and or school notice board, score: 1 else, score: 0

From the Sampled Schools, Bishop Ssenyonjo PS, St Hermern Nkoni PS , Kyanukuzi PS, the lists of the Teachers deployed were displayed on the School Notice Boards.

St Hermern Nkoni PS: Ddamulira Fred, Kasiba Isaac, Nabatyanga Sarah, Bagaala Milly, Namirimo Christine, Mujjabi Ronald, Ssekidde Samuel, Nansubuga Violet, Kweyunga Stephen, Kyalikunda Diana, Nantongo Juliet, Matovu Deus, Nalweyiso Cissy, Nanuuni Juliet, Mwangye Ramathan, Mweruka Mugga Daniel

Bishop Ssenyonjo PS: Mugabe William, Nabasumba Takia, Nabuwuufu, Jane Nakalinzi Jovia Kukiliza,, Nkamushaba Nicolas, Matovu John Rober, Sserwadda Daudi, Dambya Harriet, Namara Ruth, Kembabazi Teddy Joan, Nakale, mbe Cissy

Kyanukuzi PS: Namirembe Josephine, Nalugo Gladys, Nankya Alice Jane, Mulumba Richard, Nanyomo Robinah, Nantume Josephione, Nuwatuha Edwig, Nakawombe Proscovia, Ahaisibwe Anamary, Kiganda Zeveriano

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO

Score: 2 or else, score: 0

There was evidence that the primary headteachers had been appraised.

Otim John STEVEN (Kekolongo ps) appraised by 11th December 2021

Tibalyebwa Judith (Lwemiyaga ps) appraised on 10th December 2021

KyamBADDE Godfrey, (St Haman) appraised on 19th December 2021

Nassuna Teddy (st Clare Nkoni) appraised on 19th December 2021

Kabogoza Gerome (Kyoko PS) appraised on 14th December 2021

Mwebe sempagala Samuel (Kasana SDA) appraised on 14th December 2021

Mugabi William (Kabwami CU) appraised on 14th December 2021

Luzundura Aisha (Kasanna Bukoto) appraised on 14th December 2021

Naluutaya Damalie (Kaganda CU) appraised on 14th december 2021

Ndagire Sophia (biganado PS) appraised on 14th December 2021

Namugerwa Jacent (Nzizi PS) Appraised on 14th December 2021

8

2

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM

Score: 2 or else, score: 0

There was evidence that the secondary school head teachers had been appraised.

Atusabire Doreen (Busibo SS) was appraised on 30/12/2021

Busuulwa Tabeera Fred, (Nakenyeni SS) was appraised on 1/2/2022

Magala Achillies (Kyamukuzi SS) was appraised on 30/12/2021

Tamale Ahmed (Sseke SS) was appraised on 30/12/2021

Maximum 8 points on this performance measure

8

2

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

c) If all staff in the LG Education department have been appraised against their performance plans

score: 2. Else, score: 0

There was evidence that the staff in the Education department had been appraised.

Mbazira Gonzaga (senior inspector) appraised by Mulumba joseph on 29th June 2022

Mulumba sumayiiya (inspector of schools) appraised by Mbaziira Gonzaga on 28th June 2022

Sempijja Musa ABBAS (EDUCATION OFFICER guidance and counselling) appraised by Mulumba joseph 30th June 2022

Kyambadde Godfrey (senior education officer) appraised by Mulumba Joseph 30th June 2022

Mukiiza Godfrey (special needs education) appraised by Mulumba joseph on 30th June 2022

Mukasa Lawrence (sports officer) appraised by Mulumba Joseph on 30th June 2022

Maximum 8 points on this performance measure

| | | | |
|---|--|--|---|
| 8 | <p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p> | <p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p> | <p>No evidence was provided for a training plan for FY 2021/22</p> <p style="text-align: right;">0</p> |
|---|--|--|---|

Management, Monitoring and Supervision of Services.

| | | | |
|---|---|---|--|
| 9 | <p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p> | <p>The list of schools for Lwengo District from the PBS VS School list submitted to MOES was the same at 130 GOU primary schools and 9 secondary schools. Their enrolment of 56868 Pupils was submitted on 12/6/2021</p> <p>The DLG was compliant</p> <p>The MLG was compliant.</p> <p style="text-align: right;">2</p> |
|---|---|---|--|

| | | | |
|---|---|--|---|
| 9 | <p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p> | <p>Non-wage recurrent (Grant item Code 078151</p> <p>The Education Sector April 2021 Guidelines FY2021/22, Pages 30-35, stipulates that:</p> <p>“Education Management Service and Monitoring” (Output 078401): Must include DEOs/MEOs expenses for monitoring All schools and institutions in the LG at least once per year, as well as activities to mobilize and attract</p> <p style="text-align: right;">0</p> |
|---|---|--|---|

children to school and improve learning outcomes (e.g. By supplementing procurement of MoES recommended scholastic materials to undeserved schools from a prequalified list of suppliers). At a minimum this function must be allocated a fixed rate of UGX. 4,500,000 per LG, plus UGX.100, 000 per School.”

“School Inspection” (Output 078402): Must cover all expenses for inspection of all schools and Institutions in the LG at least once per Term: At minimum, inspection must be allocated a fixed rate of UGX. 4,000,000 per LG, plus UGX.112, 000 (3 Inspections at Ugx. 37,333) per School for 3 Terms.”

Primary:

Minimum 4,500,000

Allocation per school 100,000
130 schools 3 terms
=39,000,000

Expected total per guidelines =
43,500,000

Secondary

Minimum 4,000,000

Allocation per school 9 *112,000 *
3 terms =3,024,000

Expected total per guidelines =
7,024,000

According to the approved budget estimates vote 078401 and 078401 generated on 01/0672021 08:53, total allocation for primary and secondary schools was: 26,269,000. This was below the expected budget allocation as per the MoES guidelines which should be 43,500,000 for primary and 7,024,000 for secondary. (All

together: 44,202,400 for Primary and for Secondary).

Therefore, the LG did not meet the minimum standards

9

0

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters

If 100% compliance, score: 2 else score: 0

The LG did not warrant within 5 working days as follows:

Quarter 1: Release was on 06/7/2021

Warrant was on 22/07/2022; after 13 days;

Quarter 2: Release was on 30/09/2021 warranted on 15/10/2021; after 13 days and

Quarter 3: Release was on 22/12/2021, communication by CAO was on 13/01/202

9

0

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.

If 100% compliance, score: 2 else, score: 0

There was no evidence that the DEO communicated and invoiced the capitation within 3 working days as follows:

Quarter 1: Release was on 06/07/2021 UGX 829,870,701 communication 13/07/2021; 5 days and

Quarter 3: Release was on 22/12/2021 UGX 829,870,701 5/01/2022/1; 11 days.

| | | | | |
|----|---|--|--|---|
| 10 | <p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p> | <p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <ul style="list-style-type: none"> • If 100% compliance, score: 2, else score: 0 | <p>Lwengo LG prepared an Inspection Plans for FY2021/2022 dated 8/9/2021,5/1/2022,4/5/2022 prepared by DIS,Checked by DEO,CFO approved by CAO</p> <p>by Meeting minutes seen dated 26/8/2021, 27/1/2021,and 10/5/2021. They wereu prepared by the Ag Inspector Of Schools and recieved the DEO on same dates.</p> <p>The Plans were implemented in all terms 1, 2 & 3 in the financial year.</p> | 2 |
|----|---|--|--|---|

| | | | | |
|----|---|--|--|---|
| 10 | <p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p> | <p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 – 99% score 1 • Below 80%: score 0 | <p>The LG carried out inspections in the previous three school terms as per reports with the following dates:</p> <p>1: 21/12/2021 130/130 UPE (100%)</p> <p>2: 10/04/2022 130/130 UPE (100%)</p> <p>3: 06/05/2022 1300/130 (UPE 100%)</p> <p>The average percentage of UPE Schools inspected and monitored during the three school terms was 100%. Therefore, the Score is 2.</p> | 2 |
|----|---|--|--|---|

Routine oversight and monitoring

Maximum 10 points on this performance measure

c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,

Score: 2 or else, score: 0

From the Sampled Schools, these were the findings which were discussed and used to recommend for corrective action.

Bishop Senyonjo PS

Meeting Minutes on 10/05/2022, Min 6. 07/09/2022 and 18/07/2022.,Min 3

The following were the issues:

Working hard to excel in PLE, HM to monitor services of Night watchman, Provide hand washing facilities

St Herman Nkoni PS

Minutes of staff meeting dated 29/11/2021, concerning UPE displays of capitation grants, improving on lesson planning, turn up was not being recorded daily, On 1/9/2021 Report of 11/9/2021 issues relate to UPE releases to be fully updated annually, classes near HM offices were not attended under staff meeting Min 2

Kyanukuzi PS.

Staff meeting minutes dated 15/6/2022

Discussed was repairing Latrines and trenches because water facilities were not operational(Min no 3)

Participating In education week

12/4/2022 The need for signing daily attendances

Importance of co-curricular activities for they help pupils develop their talents Min 6

Routine oversight and monitoring

Maximum 10 points on this performance measure

d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0

Copies of the Inspection Reports were left behind as evidenced from the three sampled Schools mentioned below:

Bishop Senyonyi PS

Inspected 14/9/2021, 18/01/2022, 21/1/2022, 18/2/2022, 10/6/2022

St Herman PS.

Inspected on 12/07/2021, 13/10/2021, 14/03/2022 and 1/9/2021

Kyanukuzi PS

Inspected on 14/02/2022, 8/05/2022

Submission to DES were received as follows

18/07/2022, 12/4/2022 SMC Meetings, 4/1/2022

Proposed SMC Members 26/4/2021

Routine oversight and monitoring

Maximum 10 points on this performance measure

e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0

There was evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings as per the report below:

Quarter 1: 27/01/2022; First quarter report, issues raised; verification of school infrastructure status, preparation of school re opening after COVI19, curriculum implementation workshop , transfer and deployment of staff,

Quarter 2: 20/05/2022

Discussion of the budget in education, priorities were picked, construction of pit latrine, classroom blocks in 3 primary schools,

Quarter 3: Meeting held on 23/11/2021. Issues discussed were; latrines and classrooms for discussion,

Quarter 4: Meeting held on 28/09/2021: Issues discussed were;

The cause of poor performances in government schools, community sports, status of un vaccinated teachers, list of all the management committees of government school, performance of s4 and s6 candidates.

Mobilization of parents to attract learners

Maximum 2 points on this performance measure

Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,

score: 2 or else score: 0

The LG Education Department conducted activities to mobilize and attract and retain children at school according sensitization report/minutes at the DEO's office dated 05/4/2022,(Min 5) that targeted all stake holders

Activity reports on awareness campaign at Kalyamyenvu PS and surrounding villages dated 24/3/2021 were provided, mainly to sensitize communities on the importance of Education and retention of Pupils in schools. Similar one was held on ,27/5/2021 at Nakatete PS with a call on community members to send children to school as enrolment levels were so low

Investment Management

Planning and budgeting for investments

Maximum 4 points on this performance measure

a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, *score: 2, else score: 0*

The following infrastructure and equipment is in place as per consolidated Asset Register for UPE /USE Schools FY2021/22 dated 18/6/2020 and Format 1: Education Facility Asset Register at LG.

From the Sampled Schools the findings were as follows;

Bishop Ssenyonjo PS (Urban)

School register: Number of Classrooms: 10, Number of Latrines: 10 stances, Number of Desks: 171, Number of Teachers Houses:4.

St Herman Nkoni PS (Semi urban)

School register Number of Classrooms: 14, Number of Latrines: 14 stances, Number of Desks: 100, and Number of Teachers Houses:2.Dated 25/3/2022

Kyanukuzi PS (Rural)

School register Number of Classrooms: 13, Number of Latrines: 2blocks, Number of Desks: 146, and Number of Teachers Houses: Not on the Assets register.

This information was verified from the schools Assets Registers and was found to be accurate.

| | | | | |
|----|--|--|---|---|
| 12 | <p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p> | <p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p> | <p>The LG carried out desk appraisals for all the projects on as follows: desk appraisal was on 18/05/2020</p> <ol style="list-style-type: none"> 1. Construction of two classroom block at Katovus CU UGX 75,000,000 page 76; 2. Construction of 3 stance pit Toilet at Katovu P/S 25,000,000 page 76; 3. Kabwam construction of UX 25,000,000; and 4. Kinjajasi Primary school UGX 25,000,000 page 175 of LGDP. | 1 |
| 12 | <p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p> | <p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i></p> | <p>There was evidence that LG conducted field Appraisal for (i) technical feasibility (ii) Environment and social acceptability and (iii) Customized designs over the previous FY for the projects below: Field appraisal was done on 25/10/2021</p> <ol style="list-style-type: none"> 1. Construction of two classroom block at Katovus CU UGX 75,000,000 page 76; 2. Construction of 3 stance pit Toilet at Katovu P/S 25,000,000 page 76; and 3. Kabwam - Construction of UX 25,000,000. | 1 |
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p> | <p>There was evidence that Katovu Seed Secondary School was incorporated in the LG procurement plan on 30th May 2022, the seed school was planned for Ugx. 3,000,000,000.</p> | 1 |

| | | | | |
|----|--|--|---|---|
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p> | <p>There was evidence that Katovu Seed Secondary School was approved on 15th February 2022 under contract committee minute no. 00005/DCC/21-22 (a), entitled, Approval of evaluation report for the construction of seed secondary schools in the districts of Lwengo, Kyotera and Rakai, and cleared by solicitor general on 6th October 2022 under reference AG/2022/2057</p> | 1 |
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p> | <p>N/A; There was no evidence of any implementation team on ground since the construction of the Seed Secondary School had not commenced by the time of assessment. The Contract agreement was signed on 19th October 2022, the site handover and commencement dates were yet to be communicated</p> | 1 |
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p> | <p>N/A; There was no evidence of any standard technical designs being followed since the construction of the Seed Secondary School had not commenced by the time of assessment. The Contract agreement was signed on 19th October 2022, the site handover and commencement dates were yet to be communicated</p> | 1 |
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p> | <p>N/A; There was no evidence of monthly site meetings conducted since the construction of the Seed Secondary School had not commenced by the time of assessment. The Contract agreement was signed on 19th October 2022, the site handover and commencement dates were yet to be communicated</p> | 1 |

| | | | | |
|----|--|--|--|---|
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i></p> | <p>N/A; There was no evidence of joint technical supervision conducted since the construction of the Seed Secondary School had not commenced by the time of assessment. The Contract agreement was signed on 19th October 2022, the site handover and commencement dates were yet to be communicated</p> | 1 |
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p> | <p>There was evidence that LG made payments for sector infrastructure and executed as per contract as follows:</p> <p>Name of project: Construction of 5 stance pit lined latrine at Kayiirira P/s</p> <p>Project NO: LWEN/599/WKS/21-22/00017</p> <p>Contract Sum: UGX24,952,000</p> <p>Requisition was on 20/06/2022</p> <p>Signed by the DEO on 20/06/2022</p> <p>District Engineer signed on 20/06/2022</p> <p>CAO signed on 21/06/2022</p> <p>Environment/CDO Officer signed on 6/06/2022</p> <p>Payment was on 29/06/2022</p> <p>Amount paid was UGX 24,887,620;</p> <p>Name of project: Construction of 5 stances pit lined latrine at Kabwam P/S</p> <p>Project NO: LWEN/599/WRKS/21-22/00019</p> <p>Contract Sum: UGX25,984,350</p> | 1 |

Requisition was on 22/06/2022

Signed by the DEO on 22/06/2022

District Engineer signed on
22/06/2022

CAO signed on 22/06/2022

Environment/CDO Officer signed
on 06/06/2022

Payment was on 29/06/2022

Amount paid was
UGX24,685,132; and

Name of project: Construction of 2
classroom block with office and
store at Kikoba Primary schools

Project NO: LWEN/599/WRKS/21-
22/00003

Contract Sum: UGX 74,816,000

Requisition was on 20/06/2022

Signed by the DEO on 20/06/2022

District Engineer signed on
20/06/2022

CAO signed on 21/06/2022

Environment/CDO Officer signed
on 06/06/2022

Payment was on 29/06/2022

Amount paid was UGX
71,075,200.

| | | | | |
|----|--|--|---|---|
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p> | <p>There was evidence of a timely submission of the sector procurement plan for FY2021-2022 from the District Education Officer to PDU on 19th March 2021 before the deadline date of 30th April 2021.</p> | 1 |
| 13 | <p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p> | <p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i></p> | <p>There was evidence that the procurement files for education infrastructure project (Katovu Seed Secondary School) that was planned in FY2021-22 are complete; the work contract that was awarded to HANAN CONTRACTORS (U) LIMITED, MOES/UGIFT/WRKS/21-22/00004, was approved on 15th February 2022 under contract committee minute no. 0005/DCC/21-22 (a) and an approved evaluation report dated 10th February 2022 was filed. The evaluation committee was chaired by Mr. Kigoye Mathias, District Education Officer, Kyotera District among other 10 members that were present.</p> <p>A bid acceptance letter dated 17th August 2022 was drafted and signed by Mr. Ntulume George, the Chief Administrative Officer, Lwengo District for the same procurement.</p> | 1 |

Environment and Social Safeguards

| | | | | |
|----|--|--|--|---|
| 14 | <p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p> | <p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p> | <p>There was no evidence to show that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework. The department uses the district centralized grievance redress system.</p> <p>Neither was the GRM publicized on the education department noticeboards</p> | 0 |
| 15 | <p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p> | <p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p> | <p>There was no evidence availed by the Environment Officer to prove that LG had disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> | 0 |

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, score: 2, else score: 0

There was evidence to show that LG has in place a costed ESMP and this was incorporated within the BoQs and contractual documents:

1. Construction of a 2-Classroom Block, Office and Store at Katovu Primary School in Malongo Trading Centre. Costed ESMP is in place and dated 13/10/2021. The contractor Rwenkuraijo Building Contractors Ltd. Contract ref: LWEN599/WRKS/21-22/00001 (project no. 00005/DCC/21-22(a); incorporated Ugx 150,000 into the BoQs dated 06/10/2021 to allow for environmental mitigation and social safe guard measures.

2. Construction of a 5stance lined Toilet at Kayirira Primary School. Costed ESMP is in place and dated 13/10/2021. The contractor Tripah Engineering Services Ltd Contract ref: LWEN599/WRKS/21-22/00017 (project no. 800022-Educ23-06-22), incorporated Ugx2,198,000 into the BoQs dated 01/03/2022 to allow for external finishes and environmental mitigation and social safe guard measures.

3. Construction of 5 stance lined pit latrine at Kabwami Primary School. Costed ESMP is in place and dated 13/10/2021. The Contractor Tahoor Designers and Civil Engineering, Contract ref. no.: LWEN599/WRKS/21-22/00019 incorporated Ugx 200,000 into the BoQs dated 01/03/2022 to allow for environmental restoration specifically planting of trees and paspalum.

| | | | | |
|----|---|---|---|--|
| 16 | 0 | <p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p> | <p>There was no evidence to show that there is proof of land ownership, access of - school construction projects.</p> <p>The District Education Officer indicated that documentation is with procurement. However, no document was presented</p> |
| 16 | 2 | <p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p> | <p>There was evidence to show that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring.</p> <ol style="list-style-type: none"> 1. Construction of 2 classroom and Office at Katovu Primary School, Katovu Village, Katovu Parish, Katovu Town Council. Contractor: Rwenkuraijo Contractors. Date of monitoring 17/05/2021. The report shows that the E&S team reviewed the status of the civil works at site for compliance with the ESMP. By the time of monitoring the works were 80% done. 2. Construction of 5-stance lined Toilet at Kasozi Community, Kasozi Village, Mpumudde Parish, Ndagwe Sub- County. Contractor: Tripah Engineering Services Limited. Date of monitoring 18/05/2021. The report shows that the E&S team reviewed the status of the civil works at site for compliance with the ESMP. By the time of monitoring the works were 90% done. 3. Construction of 5-stance Lined toilet at Kijajasi, Nanywa community, Kanyogoga village, Nanywa Parish, Ndagwe Subcounty. Contractor: Tripah |

Engineering Services Limited.
Date of monitoring 17/05/2021.
The report shows that the E&S team reviewed the status of the civil works at site for compliance with the ESMP. By the time of monitoring the works were 90% done.

4. Construction of 2 class room block, office and store at Kabwami community, Kasaana Kkingo Subcounty. Contractor: Tahoe Engineering and Designers. Date of monitoring 18/05/2021. The report shows that the E&S team reviewed the status of the civil works at site for compliance with the ESMP. By the time of monitoring the works were 90% done.

16

1

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

There was evidence to show that the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

1) Payment to Rwenkuraijo Building Contractors Ltd. Contract ref: LWEN599/WRKS/21-22/00001 (project no. 00005/DCC/21-22(a)); for the construction of a 2-Classroom Block, Office and Store at Katovu Primary School in Malongo TC. Payment voucher /EFT No. 42273065, dated 23/03/2022 of Ugx 13,311,865. Interim payment certificate was signed by DCDO Mr. Mazinga Joseph and SEO Mr. Mutemba Godfrey on 21/02/2022.

2) Payment to Tripah Engineering Services Ltd. Contract ref: LWEN599/WRKS/21-22/00017 (project no. 800022-Educ23-06-22); for the construction of a 5stance lined Toilet at Kayirira

Primary School. Payment voucher /EFT No. 44593782, dated 29/06/2022 of Ugx 23,026,262. Interim payment certificate was signed by DCDO Mr. Mazinga Joseph and SEO Mr. Mutemba Godfrey on 06/06/2022.

3) Payment to Tripah Engineering Services Ltd. Contract ref: LWEN599/WRKS/21-22/00003; for the construction of a 2 Classroom Block with Office at Kikoba Primary School. Payment voucher /EFT No. 44593782, dated 29/06/2022 of Ugx 64,030,974. Interim payment certificate was signed by DCDO Mr. Mazinga Joseph and SEO Mr. Mutemba Godfrey on 06/06/2022.

4) Payment to Tahoor Designers and Civil Engineering Contractor. Contract ref: LWEN599/WRKS/21-22/00019; for construction of 5 stance lined pit latrine at Kabwami Primary School. Payment voucher /EFT No. 44593841, dated 29/06/2022 of Ugx 22,314,244. Interim payment certificate was signed by DCDO Mr. Mazinga Joseph and SEO Mr. Mutemba Godfrey on 06/06/2022

Health Performance
Measures

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|--|---|--|-------|
| Local Government Service Delivery Results | | | | |
| 1 | New_Outcome: The LG has registered higher percentage of the population accessing health care services. Maximum 2 points on this performance measure | a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries). • By 20% or more, score 2 • Less than 20%, score 0 | The LG registered 15% increase in utilization of Health Care Services based on total deliveries in all the three sampled health facilities as explained below; 1. In Kiwangala HCIV, utilization of healthcare services reduced by 4 % from 895 in 2020/2021 to 859 in 2021/2022 2. In Naanywa HCIII, utilization of healthcare services increased by 35% from 235 in 2020/2021 to 360 in 2021/2022 and, 3. In Kyetume HCIII, utilization of healthcare services reduced by 4% from 421 in 2020/2021 to 404 in 2021/2022. | 0 |

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.

The LG budget for Health Development grant was UGX 1,197,092,000 page 23 of the approved budget

Spent as follows:

1. Fenced Lwengenyi Health centre II UGX 35,000,000 page 71 of quarter 4;

2. Upgrading of Kakuma Health Center II to III UGX 18,000,000 page 70;

3. Renovation of Kiwangula Health Center IV maternity ward UGX 60,000,000;

4. Shifting of District vaccine store from the Lwengo Health Center 4 to District Headquarter UGX 20,000,000;

5. Rehabilitation of Kalegera Health Center II OPD UGX 33,000,000;

6. Bought specialist Health equipment Machinery UGX 355,000,000; and

7. Upgrade of Lwengenyi Health center II to III UGX 665,817,000 page 25 and 26

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0

There was evidence that the LG DHO, CDO and Environmental officer certified all works for the previous FY as follows:

Name of project: Construction of staff house at Kyazanga Health Center IV

Project NO: LWEN/599/WRKS/21-22/00004

Contract Sum: UGX 95,019,821

Requisition was on 18/02/2022

Signed by the District Engineer on

DHO signed on 21/02/2022

CAO signed on 05/05/2022

Payment was on 21/02/2022

Signed by the CDO and
environment on 21/02/2022

Payment was on 06/05/2022; and

UGX 85,678,889

Contract period was 3 months

Commencement date was
18/11/2021.

Completion 21/02/2022;

Name of project: Renovation of
Kalegero Health Center II

Project NO: LWEN/599/WRKS/20-
21/00035

Contract Sum: UGX 31,983,310

Requisition 20/06/2022

Signed by the DHO on 30/05/2022

District Engineer signed on
30/05/2022

CDO and Environment signed on
30/05/2022

CAO signed on 30/05/2022

Paid on 29/06/2022 UGX 28,
839,188 and

Name: Shifting of District Vaccine
store from Lwengo Health Center
IV to District Head Quarters

Project NO; LWEN/599/WRKS/21-
22/00017

Contract Sum: UGX 18,092,060

Requisition: 17/06/2022

Signed by the DHO on 17/06/2022

District Engineer 07/06/2022

CDO and Environment 10/06/2022

CAO signed on 17/06/2022

Payment was on 29/06/2022

UGX 16,313,519.

3

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0

2

There was evidence that the variations of the only two sampled Health Infrastructure projects for the FY 2021/2022 were within the +/-20% of the MOH engineers estimates as follows;

Project: Renovation of Kalegero Health Centre II, LWEN599/WRKS/2021-2022/0000

Contractor: KAOBS Enterprises Limited

Contract amount = Ugx. 31,983,310

Engineers Estimate: Ugx. 33,329,833

Variation = +4.04% and

Project: Renovation of Maternity Ward at Kiwangala Health Centre IV, LWEN599/WRKS/2021-2022/00004

Contractor: TRIPAH Engineering Services Limited

Contract amount = Ugx. 57,041,200

Engineers Estimate: Ugx. 57,149,181

Variation = +0.19%.

| | | | | |
|---|---|---|--|---|
| 3 | <p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p> | <p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 | <p>There was evidence that the construction of the health project, Lwengenyi HC II Upgrade to HC III that was planned in FY2021/2022 had commenced. The construction contract that was awarded to M/s Flying Construction Limited on 4rd April 2022 is intended to be completed on 16th December 2023 under a period of 18 months. The works had just started with bush clearing and ground leveling, putting its physical progress at less than 5%.</p> | 0 |
| 4 | <p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p> | <p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 | <p>The establishment is 310, filled positions are 213 which is 69%</p> | 0 |
| 4 | <p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p> | <p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 | <p>N/A; There was no evidence that the health infrastructure conformed to the approved designs since the construction at site had not commenced by the time of assessment.</p> | 2 |

Accuracy of Reported Information: The LG maintains and reports accurate information

Maximum 4 points on this performance measure

a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0

There was evidence that information on positions of health workers filled was not accurate as detailed below;

1. Lwengo HCIII, all the health workers were in place as indicated both on the list of the DHO and that of the facility dated 7th July 2022

2. In Kyetume HCIII, the following staff were not in place as indicated on the facility list dated 30th Sept 2022

a) Namazi Rita (Assistant Public Health Nurse) transferred to Kyazanga HCIII

b) Nabakooza Josephine (Registered Midwife) transferred to Kyazanga HCIII

c) Muhindo Wisely (Porter) transferred to Lwengo HCIV

3. In Kinoni HCIII, all the health workers were in place as indicated on both the lists of the DHO and that of the health facility indicating 19 staff

| | | | | |
|---|--|---|---|---|
| 5 | <p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p> | <p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p> | <p>The information submitted in the PBS on construction status and functionality was accurate as indicated in the PBS report of 21st May 2021 indicating that there was ;</p> <p>1-Staff house construction and rehabilitation at Kyazanga HCIV</p> <p>2-Maternity ward renovation at Kiwangala HCIV</p> <p>3- Fencing of Lwengenyi HCII, and</p> <p>4. Renovation of OPD and latrine Kalegero HCII</p> | 2 |
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> • Score 2 or else 0 | <p>The health facilities sampled prepared and submitted Annual Work plans and budgets to the DHO by March 31st of the previous FY as detailed below;</p> <p>1.Naanywa HCIII submitted on 5th March 2022</p> <p>2.Kyatume HCIII submitted on 17th March 2022</p> <p>3.Kakoma HCII submitted on 25th March 2022</p> | 2 |

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :

- Score 2 or else 0

There was evidence that all the three sampled health facilities submitted the Annual budget performance reports for the previous FY by July 15th of the current FY as detailed below;

1. Lwengo HCIV submitted on 6th July 2022
2. Kyetume HCIII submitted on 1st July 2022
3. Naanywa HC III submitted I on 13th July 2022

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports

- Score 2 or else 0

There was evidence that health facility improvement plans (PIPs) for the current FY incorporated performance issues identified in DHMT monitoring and assessment reports as detailed below in the sampled health facilities;

1.Kiwangala HCIII

The PIP of Kiwangala HCIV dated 11th July 2022 included inadequate delivery beds. This challenge had been identified in the DHMT meetings of quarter 1 dated 27th June 2022 under MIN 5. In the quarterly DHMT meetings of quarter 2 dated 18th Aug 2021 under MIN 6, the budget for drug was inadequate. The meeting recommended the health facility in-charges to use RBF funds to purchase essential medicines and supplies. This issue appeared in the PIP approved on 11th July 2022.

2.St. Francis Mbirizi HCIII

The PIP of St. Francis Mbirizi HCIII dated 11th July 2022 included shortage of drugs, health supplies

and diagnostic equipment page 1. These issues had been identified during the DHMT monitoring and assessment reports of quarter 2 dated 18th Nov 2021 MIN 5. Under the same PIP, also included inadequate delivery beds. This issue had been identified in the Quarterly DHMT meeting of Quarter 3 MIN 7 dated 21st Feb 2022.

3 Nkoni HCIII

The PIP of Nkoni HCIII dated 15th July 2022 incorporated conducting post natal outreaches in communities to increase the uptake of post natal services. These issues had been identified in the DHMT quarterly performance review meeting of quarter 3, dated 9th Feb 2022.

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,
• score 2 or else score 0

All the health facilities sampled submitted 100% up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter). Details of the 3 sampled health facilities are presented below:

1. Kinoni HCIII submitted 100% of reports within 7 days following the end of each month and quarter. The DHIS2 report generated indicated 100% reporting rate;
2. Kakoma HCIII submitted 100% of reports within 7 days following the end of each month and quarter. The DHIS2 report generated indicated 100% reporting rate;
3. Katovu HCIII submitted 100% submitted 100% of reports within 7 days following the end of each month and quarter. The DHIS2 report generated indicated 100% reporting rate;

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0
Note: Municipalities submit to districts

There was evidence that all the sampled three health facilities did not submit RBF invoices timely (by 15th of the month following end of the previous quarter

1. Kyetume HCIII submitted on 11th Nov 2022
2. Kiwangala HCIV submitted on 19th Sept 2022
2. Lwengo HCIV submitted on 11th Oct 2022

6

0

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0

The LG did not timely verify, compile and submit to MoH facility RBF invoices for the health facility. The submission dates for all the 4 quarters were after the deadline of 28th of the month following end of the quarter as presented below:

In Quarter 1, submission was done on 28th Oct 2021

In the 2nd Quarter submission was done on, 28th Jan 2022

In the 3rd Quarter submission was done on 7th July 2022

In Quarter 4, submission date was missing

Maximum 14 points on this performance measure

6

1

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0

There was evidence that the LG timely compiled and submitted all the 4 quarterly budget performance reports as follows:

Quarter 1: Submitted on 14th October 2021;

Quarter 2: Submitted on 13th January 2022;

Quarter 3: Submitted on 15th April 2022; and

Quarter 4: Submitted on 14th July 2022.

Maximum 14 points on this performance measure

| | | | | |
|---|--|--|---|---|
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p> | <p>According to the assessment of the last quarter, there were no weakest health facilities, all of them performed above 75% as detailed below;</p> <ol style="list-style-type: none"> 1 Kyazanga HCIV 95% 2 Lwengo HCIV 96% 3 Kiwangala HCIV 96% 4 Kinoni HCIII 98% 5 Kyetume HCIII 99% 6 Naanywa HCIII 96% 7 Katovu HCIII 99% 8 Kyamaganda HCIII 93% 9 Nkoni HCIII 97% 10 St. Francis Mbirizi HCIII 97% 11 Mbirizi Muslim HCIII 92% 12 Kimwanyi HCIII 96% | 1 |
| 6 | <p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p> | <p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p> | <p>There was no performance improvement plan for implementation since there was no facility that performed below 75%.</p> | 1 |

| | | | | |
|---|---|---|---|---|
| 7 | <p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p> | <p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p> | <p>There was evidence that the LG budgeted for the health workers in accordance with the staffing norms as indicated on file. Approved budget for the DHOs office 2022/2023 was 4,337,229,000 UGX dated 30th May 2022</p> | 2 |
|---|---|---|---|---|

| | | | | |
|---|---|---|---|---|
| 7 | <p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p> | <p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p> | <p>The LG did deploy Health workers as per guidelines (all the health facilities to have at least 75% of staff required). Health facility deployment was at 68% (213 deployed out of 310 staff required) according to the District Health Service status report dated 14th July 2022.</p> | 0 |
|---|---|---|---|---|

7

3

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0

There was evidence that the health workers were working in the sampled health facilities where they were deployed as detailed in the three facilities sampled;

1. In Lwengo HCIV, all the health workers were working in health as deployed according to the deployment list from the DHOs dated 1st July 2022. This list indicated 30 staff all working in the facility.
2. In Kyetume HCIII, all the 16 health workers were working in this facility according to the deployment list for the current FY dated 1st July 2022
3. In Kinoni HCIII, all the staff were in place as indicated on both the DHOs list and that of the facility dated 16th Aug 2022 indicating 19 staff.

7

2

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

The LG had publicized health workers in the sampled facilities by displaying on the health facilities notice boards as detailed below;

1. Lwengo HCIV, the list for staff indicating 30 staff was pinned on the ART notice board dated 30th Sept 2022
2. Kyetume HCIII the list of staff indicating 13 staff was displayed on the General notice board dated 1st July 2022
3. Kinoni HCIII. The List of staff was displayed on the OPD notice board dated 16th Aug 2022 indicating 19 staff

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

There was evidence that the Health facility in charges were appraised.

Nankya Antonita (Kakoma HC3) by Mutebi Frederick on 15th June 2022

Katumba David (Katovu HC3) by Dr. Bwanika on 30th June 2022

Nabayinda Cissy (Lwengenyi HC2) by Dr. Kaye on 30th June 2022

Kabeja Sarah (Kinoni HC3) by Dr. Nuwamanya Yasin on 30th June 2022

Namusoke Cotrida (Kaganda HC2) By DR. Nuwamaya Yasin on 30th June 2022

Namwanga Edwig (Kyetume HC3) appraised by Dr. Mutyoguma Joseph on 30th June 2022

Mtyogoma Joseph (Lwengo HCIV) appraised by DHO on 30th June 2022

Kyabanabwe Betty (Kalegero HCII) appraised by DR. bwanika 30th June 2022

Musisi Moses (Nanywa HCIII) appraised Dr Mutyoogoma on 30th June

Bwanika John (Kyazanga HCIV) by DHO on 30th June 2022

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0

There was evidence that the health facility incharges appraised their staff

Nabagala mary Teddy (Enrolled midwife) appraised by Kabeja Sarah on 30th June 2022

Nabyonga Justine (enrolled midwife) appraised by Kabeja sarah 22nd June 2022

Nsaba joseph (public health nurse) appraised by Dr. Bwanika john on 20th June 2022

Kamakune Rachel (Nursing officer) appraised by kabeja sarah on 22 june 2022

Kansiime Annet (Lab assistant) appraised by Dr. Nuwamanya on 30th June 2022

Nabunjo mariam (enrolled Nurse) Appraised by Namyalo sylvia on 30th June 2022

Ssenabulya Ronald (enrolled Nurse) appraised by Kabeja Sarah on 30th June 2022

Kagoya Irene (enrolled mid wife) appraised by Nankya antonita 7th July 2022

Namuli Eva (enrolled nurse) appraised by Dr. Yasin on 22nd June 2022

Nampijja Grace (enrolled mid wife) appraised by Nawanga on 15th June 2022

| | | | | |
|---|---|---|---|---|
| 8 | Performance management: The LG has appraised, taken corrective action and trained Health Workers. | iii. Taken corrective actions based on the appraisal reports, score 2 or else 0 | There were no recommended corrective actions on appraisals | 2 |
| | Maximum 6 points on this performance measure | | | |
| 8 | Performance management: The LG has appraised, taken corrective action and trained Health Workers. | b) Evidence that the LG: i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0 | There was no evidence that LG conducted training of health workers (Continuous Professional Development) in accordance to the training plans at the LG level as there were no reports on file | 0 |
| | Maximum 6 points on this performance measure | | | |
| 8 | Performance management: The LG has appraised, taken corrective action and trained Health Workers. | ii. Documented training activities in the training/CPD database, score 1 or else score 0 | Training activities were not conducted and documented in the training database | 0 |
| | Maximum 6 points on this performance measure | | | |

Management, Monitoring and Supervision of Services.

| | | | |
|---|--|---|---|
| 9 | <p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p> | <p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p> | <p>There was no evidence that the CAO had written to MOH, confirming the list of health facilities receiving PHC funds NWR Grants for the current FY as there was no such letter on file</p> <p>0</p> |
| 9 | <p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p> | <p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p> | <p>The health budget for PHC-Non Wage was UGX 364,408,000 (Page 23 of the Approved Budget) ; allocation for Health monitoring output code 088302 UGX 8,272,000 on page 28 of the approved budget. This is only 2.2% below 15%.</p> <p>0</p> |

| | | | | |
|---|--|--|--|---|
| 9 | <p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p> | <p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p> | <p>The LG did not warrant timely as follows:</p> <p>Quarter 1: Release was on 06/07/2021 UGX 85,254,447 warranted on 27/07/2021; 12 days;</p> <p>Quarter 2: Release was on 30/09/2021 warranted on 15/10/2021 UGX 85,252,447; 12 days</p> <p>Quarter 3 Release was on 22/12/2022, warranted on 13/01/2021 UGX 87,761,402; 18 days</p> <p>Quarter 4 Release was on 04/05/2022, Warranted on 22/04/2022 UGX 225,386,787</p> | 0 |
| 9 | <p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p> | <p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p> | <p>The LG did not warrant the PHC with 5 working days as blow:</p> <p>Quarter 1: Release was on 06/07/2021 UGX 85,254,447 warranted on 27/07/2021; communication 13/07/2021, after 7 days.</p> <p>Quarter 2: Release was on 30/09/2021 warranted on 15/10/2021 UGX 85,252,447, invoiced 05/10/2021; 5days</p> <p>Quarter 3: Release was on 22/12/2021, warranted on 13/01/2021 UGX 87,761,402, 06/01/2022; 13 days and</p> <p>Quarter 4: Release was on 04/04/2022, Warranted on 22/04/2022 UGX 225,386,787, 14/04/2022 after 15days</p> | 0 |

Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0

There was no evidence that the LG publicized the quarterly functional releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED through writing letter to the health facility in charges as follows;

In quarter 1, the MoFPED released the non-wage recurrent grants on 6th July 2021, and the LG publicized all health facilities receiving non-wage recurrent grants on 13th July 2021,

In quarter 2 the MoFPED released the non-wage recurrent grants on 20th Oct 2021, and the LG publicized all health facilities receiving non-wage recurrent grants on 5th Oct 2021, 6 days

In quarter 3, the MoFPED released the non-wage recurrent grants on 25th Jan 2021, and the LG publicized all health facilities receiving non-wage recurrent grants on 6 Jan 2022, 8 days earlier and,

In quarter 4, the MoFPED released the non-wage recurrent grants on 4th April 2021, and the LG publicized all health facilities receiving non-wage recurrent grants on 14th April 2022, 3 days late

| | | | | |
|----|---|--|--|---|
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p> | <p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p> | <p>There was no evidence that the actions recommended by the DHMT quarterly performance review meetings held during the previous FY were implemented as per Quarterly performance Review meetings.</p> | 0 |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p> | <p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p> | <p>As per Quarterly performance Review meetings held on;</p> <p>1st quarter on 18th Aug 2021</p> <p>2nd quarter 18th Nov 2021</p> <p>3rd Quarter on 21st Feb 2022</p> <p>4th Quarter on 27th June 2022</p> <p>Quarterly performance review meetings involved all health facilities in charges, implementing partners (CHAI), Accountant and Chief Administrative Officer</p> <p>However, most of these meeting had not been attended by, Water Engineer, Human resource and Water Engineer, CDO and DEO according to the guidelines.</p> | 0 |

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0

If not applicable, provide the score

There was evidence that the LG supervised 100% of HSDs at least once every quarter in the previous FY as detailed below;

In Quarter 1, support supervision was conducted for all the three HSD; Bukoto West on 19th, July 2021, and Bukoto South on 1st Sept 2021 and Mid Wet-Bukoto on 9th July 2021

In Quarter 2, support supervision was conducted for all the three HSD; Bukoto West on 22nd Nov 2021, Bukoto South on 17th Nov 2021 and Mid Wet-Bukoto on 14th Dec 2021

In Quarter 3, support supervision was conducted for all the three HSD; Bukoto West on 29th March 2022, and Bukoto South on 10th Feb 2022 and Mid Wet-Bukoto on 9th Feb 2022

In Quarter 4, support supervision was conducted for all the three HSD; Bukoto West on 29th May 2022, and Bukoto South on 1st June 2022 and Mid Wet-Bukoto on 29th June 2022

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0

• If not applicable, provide the score

There was evidence that LG ensured that HSDs carried out support supervision of lower level health facilities within the previous FY as follows;

Bukoto South

In quarter 1, support supervision was conducted as per report dated 6th Oct 2021. Health facilities supported included Kinoni HCIII, Kaganda HCII, Kisansala HCII, Kasaana HCII, Kikenene HCII, Nakatete HCII, Ssenya HCII, Kyamaganda HCIII, Nkoni HCIII and Kiwangala HCIV

In quarter 2, support supervision was conducted as per report dated 13th July 2022. Health facilities supported included Kinoni HCIII, Kaganda HCII, Kisansala HCII, Kasaana HCII, Kikenene HCII, Nakatete HCII, Ssenya HCII, Kyamaganda HCIII, Nkoni HCIII and Kiwangala HCIV

In quarter 3, support supervision was conducted as per report dated 13th July 2022. Health facilities supported included Kinoni HCIII, Kaganda HCII, Kisansala HCII, Kasaana HCII, Kikenene HCII, Nakatete HCII, Ssenya HCII, Kyamaganda HCIII, Nkoni HCIII and Kiwangala HCIV

In quarter 4, support supervision was conducted as per report received in the DHOs office on 13th July 2022. Health facilities supported included Kinoni HCIII, Kaganda HCII, Kisansala HCII, Kasaana HCII, Kikenene HCII, Nakatete HCII, Ssenya HCII, Kyamaganda HCIII, Nkoni HCIII and Kiwangala HCIV

Bukoto West HSD

In quarter 1, support supervision was conducted as per report dated 30th Sept 2021. Health facilities supported included Katovu HCIII, Kakoma HCIII, St.Peters Busibo HCIII,

In quarter 2, support supervision was conducted as per report dated 31st Dec 2021. Health facilities supported included Katovu HCIII, Kakoma HCIII, St.Peters Busibo HCIII,

In quarter 3, support supervision was conducted as per report dated 31st March 2022. Health facilities supported included Katovu HCIII, Kakoma HCIII, St.Peters Busibo HCIII,

In quarter 4, support supervision was conducted as per report dated 30th June 2022. Health facilities supported included Katovu HCIII, Kakoma HCIII, St.Peters Busibo HCIII,

Bukoto Mid-West

In quarter 1, support supervision was conducted as per report dated 27th Sept 2021. Health facilities supported included Kyetume HCIII, St.Aloysious Ngobya HCII, Francis Mbirizi HCIII, Naanywa HCIII and Mbirizi Moslem HCIII

In quarter 2, support supervision was conducted as per report dated 31st Dec 2021. Health facilities supported included Kyetume HCIII, St.Aloysious Ngobya HCII, Francis Mbirizi HCIII, Naanywa HCIII and Mbirizi Moslem HCIII

In quarter 3, support supervision was conducted as per report dated 31st March 2022. Health facilities supported included Kyetume HCIII, St.Aloysious Ngobya HCII, Francis Mbirizi HCIII, Naanywa HCIII and Mbirizi Moslem HCIII

In quarter 4, support supervision was conducted as per report dated 27th June 2022. Health facilities supported included Kyetume HCIII, St.Aloysious Ngobya HCII, Francis Mbirizi HCIII, Naanywa HCIII and Mbirizi Moslem HCIII

| | | | | |
|----|---|---|--|---|
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p> | <p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p> | <p>There was no evidence that the LG used results/ reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY,</p> | 0 |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p> | <p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p> | <p>There was no evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY.</p> | 0 |
| 11 | <p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p> | <p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p> | <p>The health budget for PHC-Non Wage was UGX 364,408,000 (Page 23of the Approved Budget) ; allocation for promotion output code 088101 UGX 13,000,000 on page 23 of the approved budget.</p> <p>=3.6%</p> | 0 |

| | | | | |
|----|---|--|---|---|
| 11 | <p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p> | <p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p> | <p>There was evidence that the LG led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY as detailed below;</p> <p>1-Report on community health dialogue on malaria and COVID-19 prevention, report dated 10th Oct 2021</p> <p>2- Report on prevention and control of COVID-19 and other related diseases among the community, report dated 29th Jan 2022</p> <p>3-Community health sensitization on COVID-19 prevention and other related preventable diseases, report dated 7th July 2022</p> | 1 |
| 11 | <p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p> | <p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p> | <p>There was no evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports</p> | 0 |

Investment Management

Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards:
Score 1 or else 0

There was an updated asset register that detailing health facilities and equipment in the LG, relative to the medical equipment list and service dated 1st July 2022 that indicates the facilities and equipment's in the Health centers.

1.Outpatient department (8)

2.Operation theatre (3)

3.General Ward (4)

5.Maternity ward (8)

6.Mortury was (0)

7. Placenta Pit and Medical Waste Pit 8

8. Staff houses (8)

9.incinerator (0)

Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.

Maximum 4 points on this performance measure

b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII);

(ii) desk appraisal by the LG; and

(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG));

score 1 or else score 0

There was evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan and desk appraisal carried out as follows.

DTPC meeting was on 09/03/2021

1. Fenced Lwengenyi Health center II UGX 35,000,000 LGDP page 162;

2. Upgrading of Kakuma Health Center II to III UGX 18,000,000 LGDP 162;

3. Renovation of Kiwangula Health Center IV maternity ward UGX 60,000,000;

4. Kyanzanga UGX 100,000,000 page 165;

5. Shifting of District vaccine store from the Lwengo Health Center 4 to District Headquarter UGX 20,000,000;

6. Rehabilitation of Kalegera Health Center II OPD UGX 33,000,000;

7. Bought specialist Health equipment Machinery UGX 355,000,000;

8. Upgrade of Lwengenyi Health center II to III UGX 665,817,000 page 25 and 26

| | | | | |
|----|--|---|--|---|
| 12 | <p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p> | <p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p> | <p>There was evidence that the LG conducted field appraisals for the projects were carried out on 5/07/2021;</p> <ol style="list-style-type: none"> 1. Construction of Staff house-Kyazanga HCIV 100,000,000; 2. Construction of two classroom block at Katovu CU UGX 75,000,000; and 3. Construction of Toilet at Katovu P/S 25,000,000. | 1 |
| 12 | <p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p> | <p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p> | <p>There was evidence to show that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist.</p> <ol style="list-style-type: none"> 1. Construction of maternity Ward at Kiwangala, Kiwangala Parish, Kiseka subcounty. The project was screened for environmental and social risks on 08/07/2021 and costed ESMP dated 13/10/2021 developed by SDEO Mr. Mutemba Godfrey and Mr. Mazinga Joseph; highlighting the anticipated environmental and social risks/impacts and the mitigation measures to be put in place before approval of construction activities. There was no report to indicate site visit to check for compliance to the risk mitigation plan before construction was approved. 2. Construction of Lwengo district vaccine store at Nyenje Village, Church Ward, Lwengo Town Council. The project was screened on 08/07/2021 and costed ESMP dated 13/10/2021, developed by SDEO Mr. Mutemba Godfrey and Mr. Mazinga Joseph, highlighting the anticipated environmental and | 1 |

social risks/impacts and the mitigation measures to be put in place before approval of construction activities. There was no report to indicate site visit to check for compliance to the risk mitigation plan before construction was approved.

3. Fencing of Lwengenyi Health Centre II, Lwengenyi village, Lwengenyi Ward, Malongo subcounty. The project was screened on 08/07/2021 and costed ESMP dated 13/10/2021, developed by SDEO Mr. Mutemba Godfrey and Mr. Mazinga Joseph; highlighting the anticipated environmental and social risks/impacts and the mitigation measures to be put in place before approval of construction activities. There was no report to indicate site visit to check for compliance to the risk mitigation plan before construction was approved.

13

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0

There was evidence of a timely submission of the Health Department procurement plan for FY2022/2023 from the District Health Office to PDU on 28th April 2022 before the deadline date of 30th April 2022

1

| | | | | |
|----|--|--|--|---|
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p> | <p>There was evidence of a timely submission of the Health Department procurement request form for FY2022/2023 from the District Health Office to PDU on 13th July 2022 before the deadline date of 30th September 2022</p> | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p> | <p>There was evidence that Lwengenyi Health Centre II Upgrade to Health Centre III was approved by the contracts committee under Minute no. 015/DCC/2021-2022 and was cleared by Solicitor general on 13th January 2021 under reference ADM/7/148/03/MBL</p> | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p> | <p>There was no evidence that the project implementation team was appropriately established by the time of assessment.</p> | 0 |

| | | | | |
|----|--|---|---|---|
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p> | <p>There was no evidence that the health infrastructure followed the standard technical designs since there was no health centre upgrade project.</p> | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p> | <p>There was no evidence of any weekly reports consolidated from the daily site reports since there was no clerk of works.</p> | 0 |

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0

If there is no project, provide the score

There was no evidence that the site meetings were held monthly since there was no Health Centre upgrade project for FY2021/2022.

| | | | | |
|----|---|--|---|--|
| 13 | 1 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p> | <p>There was evidence that the LG teams supervised monthly; The sampled projects had detailed progressive reports as follows; For Renovation of Kalegero Health Centre II; inspection report for staff house at Kyazanga dated 21st February 2022 was prepared by Mr. Kaweesi Robert, District Engineer; E&S joint inspection report dated 15th June 2021 was prepared by Mr. Mutemba Godfrey, Senior Environment Officer and Mr. Mazinga Joseph, Community Development Officer; and For Renovation of Maternity Ward at Kiwangala Health Centre IV; inspection report for staff house at Kyazanga dated 6th June 2022 was prepared by Mr. Kaweesi Robert, District Engineer; E&S joint inspection report dated 8th June 2021 was prepared by Mr. Mutemba Godfrey, Senior Environment Officer and Mr. Mazinga Joseph, Community Development Officer;</p> |
| 13 | 1 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p> | <p>The was evidence obtained from 3 sampled contracts that the LG certified and recommended payments within 10 working days as followings:</p> <p>Name of project: Construction of staff house at Kyazanga Health Center IV</p> <p>Project NO: LWEN/599/WRKS/21-22/00004</p> <p>Contract Sum: UGX 95,019,821</p> <p>Requisition was on 18/02/2022</p> <p>Signed by the District Engineer on</p> <p>DHO signed on 21/02/2022</p> <p>CAO signed on 05/05/2022</p> <p>Payment was on 21/02/2022</p> |

Signed by the CDO and environment on 21/02/2022

Payment was on 06/05/2022; and
UGX 85,678,889

Contract period was 3 months

Commencement date was
18/11/2021.

Completion 21/02/2022;

Name of project: Renovation of
Kalegero Health Center II

Project NO: LWEN/599/WRKS/20-
21/00035

Contract Sum: UGX 31,983,310

Requisition 20/06/2022

Signed by the DHO on 30/05/2022

District Engineer signed on
30/05/2022

CDO and Environment signed on
30/05/2022

CAO signed on 30/05/2022

Paid on 29/06/2022 UGX 28,
839,188 and

Name: Shifting of District Vaccine
store from Lwengo Health Center
IV to District Head Quarters

Project NO; LWEN/599/WRKS/21-
22/00017

Contract Sum: UGX 18,092,060

Requisition: 17/06/2022

Signed by the DHO on 17/06/2022

District Engineer 07/06/2022

CDO and Environment 10/06/2022

CAO signed on 17/06/2022

Payment was on 29/06/2022

| | | | |
|----|--|---|--|
| 13 | <p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p> | <p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p> | <p>There was evidence of complete contract files for each Health infrastructure projects. The sampled files were for:</p> <p>LWEN599/WRKS/2021-2022/00006, Renovation of Kalegero Health Centre II, whose: procurement requisition was made on 13th August 2021, with an estimate of Ugx 33,329,833; the procurement approval was made on 5th January 2022; tender advert was made on 17th January 2022; Evaluation was concluded on 14th February 2022; Contract was signed on 1st March 2022 at an award amount of Ugx 31,983,310; and</p> <p>LWEN599/WRKS/2021-2022/00004, Renovation of Maternity Ward at Kiwangala Health Centre IV, whose: procurement requisition was made on 13th August 2021, with an estimate of Ugx 54,149,181; the procurement approval was made on 14th September 2021; tender advert was made on 16th September 2021; Evaluation was concluded on 19th October 2021; Contract was signed on 11th November 2021 at an award amount of Ugx 57,041,200.</p> |
|----|--|---|--|

Environment and Social Safeguards

| | | | | |
|----|---|---|--|---|
| 14 | <p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p> | <p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p> | <p>There was no evidence to show that the Local Government have recorded, investigated, responded and reported in line with the LG grievance redress framework. The department uses the district centralized grievance redress system.</p> <p>Neither was the GRM publicized on the education department noticeboards</p> | 0 |
| 15 | <p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p> | <p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p> | <p>There was no evidence to show that the LG disseminated guidelines on health care / medical waste management to health facilities.</p> <p>The ADHO in charge of environmental health indicated that the medical waste management guidelines were disseminated through email messages to the line managers of the different Health Centre's in the district.</p> <p>However, no evidence was presented.</p> | 0 |

Safeguards for service delivery: LG Health Department ensures safeguards for service delivery

Maximum 5 points on this performance measure

b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0

There was evidence to show that the LG has in place a functional system for medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider).

The LG has a service provider Green Label Services Ltd. The Assistant District Health Officer indicated that Lwengo District has an MoU with Green Label Services Ltd. A copy of the MoU could not be traced. However, carbon copies of the District Waste Collection Forms by Green Label Services Ltd were available showing how the service provider has been collecting the waste from the district e.g. the latest was one dated 3rd – 21st October, 2022. The service provider picks the wastes from Lwengo Health Centre IV and Kinoni Health Centre – III.

Other health Centre's, have a system in place for management of health waste. They have placenta pits, where to dispose of the placenta from the delivery wards. They also have ash pits where they burn waste related to paper. This could not be verified though.

Safeguards for service delivery: LG Health Department ensures safeguards for service delivery

Maximum 5 points on this performance measure

c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0

There is evidence to show that the LG has conducted training (s) and created awareness in healthcare waste management

A report dated 01/12/2021 reporting on the training held on the Health Care waste management in health facilities is available. Health facilities represented during the training included: Kimwanyi HC-III; Nkoni HC-III; Kinoni HC-III; Kyamaganda HC-III; St. Francis Mbirizi HC-III; Mbirizi Moslem and Kyetume. Training emphasized the need to prioritize health care waste management practices and the role health workers have to play in the management of Health Wastes. During the training they looked at management of sharps, Blood, Human body parts, chemical wastes, pharmaceutical wastes and medical devices. Training also focused on challenges of health cares waste management and the mitigation measures. The report was compiled by David Mugabi the ADHO-EH (Assistant District Health Officer, Environmental Health

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0

There was no evidence to show that all costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY.

1. Construction of staff house at Kyazanga Health Centre IV. Costed ESMP is in place and dated 08/07/2021. The Contractor Kerobs Enterprises Limited. Contract ref: LWEN599/WRKS/21-22/00004 incorporated Ugx 115,000 into the BoQs dated 02/08/2021 to cater for environmental issues mitigation measures.

2. Renovation of Kalegero Health Centre-II. Costed ESMP is in place and dated 08/07/2021. The contractor Kerobs Enterprises Limited. Contract ref: LWEN599/WRKS/21-22/00035. No costs were incorporated into the BoQs dated 02/08/2021 to cater for environmental issues mitigation measures.

3. Shifting of District vaccine store. Costed ESMP is in place and dated 08/07/2021. The contractor Tripah Engineering Services Ltd. Contract ref: LWEN599/WRKS/21-22/00017 incorporated Ugx100,000 into the BoQs dated 02/08/2021 to allow for environmental mitigation and social safe guard measures.

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0

There was no evidence to show that documentation on land acquisition status for all projects under health were available.

Fencing and upgrading of Lwengenyi HC-II to Health Centre-III. The land title is registered in the names of Lwengenyi Malongo, located in Buddu County, Block 471, Plot 10. Land title was registered on 23/02/2022. Ref: MSK-00039854.

Documents for other projects were not presented despite the ADHO indicating that they are available.

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.

There was evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports

1. Fencing of Lwengenyi Health Centre II, Lwengenyi Village, Lwengenyi Parish, Malongo Subcounty. Contractor: Matsazan Co. Ltd. Date of monitoring was 17/05/2021. The report indicates that the E&S team reviewed what the contractor had done so far by the time of the inspection in compliance with the ESMP. By the time of monitoring the works were 80% done.

2. Construction of the Lwengo District Local Government Vaccine Store, at Nyenje Village, Church Ward, Lwengo Town Council. Contractor: Tripah Engineering Services. Date of monitoring was 17/05/2021. The report indicates that the E&S team reviewed what the contractor had done so far by the time of the inspection in compliance with the ESMP. By the time of monitoring the works were 80% done.

3. Construction of staff Houses at Kyazanga Town Council community, Central Cell, Central ward, Kyazanga Town Council. Contractor: Karobi Enterprises Ltd. Date of monitoring was 17/05/2021. The report indicates that the E&S team reviewed what the contractor had done so far by the time of the inspection in compliance with the ESMP. By the time of monitoring the works were 80% done.

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0

There was evidence to show that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects;

1) Payment to MS Kerobs Enterprises Limited. Contract ref: LWEN599/WRKS/21-22/00004; for the construction of staff house at Kyazanga Health Centre IV. Payment voucher /EFT No. 43223285, dated 06/05/2022 of Ugx 85,678,889. Interim payment certificate was signed by DCDO Mr. Mazinga Joseph and SEO Mr. Mutemba Godfrey on 21/02/2022.

2) Payment to Ms Kerobs Enterprises Limited. Contract ref: LWEN599/WRKS/21-22/00035; for the Renovation of Kalegero Health Centre-II. Payment voucher /EFT No. 44593768, dated 29/06/2022 of Ugx 28,839,188. Interim payment certificate was signed by DCDO Mr. Mazinga Joseph and SEO Mr. Mutemba Godfrey on 30/05/2022.

3) Payment to Ms Tripah Engineering Services Ltd. Contract ref: LWEN599/WRKS/21-22/00017; for Shifting of District vaccine store. Payment voucher /EFT No. 44593782, dated 29/06/2022 of Ugx 16,313,519. Interim payment certificate was signed by DCDO Mr. Mazinga Joseph and SEO Mr. Mutemba Godfrey on 10/06/2022.

**Water &
Environment
Performance
Measures**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|---|--|---|--------------|
| Local Government Service Delivery Results | | | | |
| 1 | <p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p> | <p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 | <p>There was evidence obtained from the Ministry of Water & Environment MIS which showed that water sources functionality in Lwengo DLG for 2021/22 was at 80%.</p> | 1 |
| 1 | <p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p> | <p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 | <p>There was evidence obtained from the Ministry of Water & Environment MIS which showed that functional WSCs in Lwengo DLG for 2021/22 was at 90%.</p> | 2 |

| | | | | |
|---|--|--|---|---|
| 2 | <p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p> | <p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY.</p> <p>If LG average scores is</p> <p>a. Above 80% score 2</p> <p>b. 60 -80%: 1</p> <p>c. Below 60: 0</p> <p>(Only applicable when LLG assessment starts)</p> | <p>There was no assessment in the LLGs in the previous year but one. The assessment of the LLGs only started in the assessment year and so this indicator is not applicable.</p> | 0 |
| 2 | <p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p> | <p>b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.</p> <p>o If 100 % of water projects are implemented in the targeted S/Cs: Score 2</p> <p>o If 80-99%: Score 1</p> <p>o If below 80 %: Score 0</p> | <p>It was established from the DWO that the district average water coverage for 2021/2022 was at 71%. The tabulation of the coverage of the rural LLGs was as follows:</p> <p>Kkingo - 95%, Kisekka - 95%, Kyazanga - 71%, Malongo - 49%, Ndagwe - 88% and Lwengo - 52%. It was observed that the sub counties below the district average were Malongo (49%) and Lwengo (52%). The evidence obtained from the Annual Performance Report dated 20/07/2022 showed that Lwengo DLG implemented 19 projects in the year 2021/2022 and only 7 of them were in the low coverage sub counties (i.e. 37% which is below the threshold of 80%.</p> | 0 |
| 2 | <p>Service Delivery Performance: Average score in the water and</p> | <p>c. If variations in the contract price of sampled WSS</p> | <p>There was evidence that the variations of the only two sampled water supply and public sanitation</p> | 2 |

environment LLGs performance assessment

Maximum 8 points on this performance measure

infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

infrastructural projects for the FY 2021/2022 were within the +/-20% of the LG engineers estimates as follows;

Project: Installation of one motorized solar powered borehole at Kibuye in Ndagwe Sub County, LWEN599/WRKS/2021-2022/00008

Contractor: KAROBS Enterprises Limited

Contract amount = Ugx. 88,951,800

Engineers Estimate: Ugx. 90,000,000

Variation = +1.16%;

Project: Construction of a 50 cubic Mansory tank at Bijjaba Primary School, LWEN599/WRKS/2021-2022/00011

Contractor: KAROBS Enterprises Limited

Contract amount = Ugx. 25,931,267

Engineers Estimate: Ugx. 26,000,000

Variation = +0.26%; and

Project: Construction of 2 300cubic metre valley tanks in the sub counties of Lwengo and Malongo, LWEN599/WRKS/2021-2022/00005

Contractor: DADASA Services Limited

Contract amount = Ugx. 107,504,000

Engineers Estimate: Ugx. 110,000,000

Variation = +2.27% among others

| | | | | |
|---|--|---|---|---|
| 2 | <p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p> | <p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <ul style="list-style-type: none"> o If 100% projects completed: score 2 o If 80-99% projects completed: score 1 o If projects completed are below 80%: 0 | <p>The evidence obtained from the AWP and budget FY 2021/22 showed that Lwengo DLG implemented 19 projects (i.e. 1 mini solar powered borehole supply, drill 1 deep borehole, build 2 valley tanks of 3,000 m3 capacity, build 2 masonry rain water harvesting tanks of capacity 30 m3 and 4 of 50 m3 capacity, construct 1 3-stance public latrine and 1 5-stance public latrine, rehabilitate 6 old boreholes and make 1 piped water extension). The evidence from the Annual Performance Report 2021/2022 of Lwengo DLG (page 10) showed that all the above projects were implemented which is 100%. It was noted that the 3-stance latrine was later turned into a 5 – stance water borne toilet built at the DLG head office while the deep borehole was drilled up to a depth of 100 m but was dry.</p> | 2 |
| 3 | <p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p> | <p>a. If there is an increase in the % of water supply facilities that are functioning</p> <ul style="list-style-type: none"> o If there is an increase: score 2 o If no increase: score 0. | <p>The evidence obtained from the Ministry of Water and Environment MIS showed that rural water sources functionality in Lwengo DLG for the year 2020/21 was at 79% and it is now at 80% in the assessment year. Therefore there was an increase of 1%,</p> | 2 |

New_Achievement of Standards:

The LG has met WSS infrastructure facility standards

Maximum 4 points on this performance measure

b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).

o If increase is more than 1% score 2

o If increase is between 0-1%, score 1

o If there is no increase : score 0.

The evidence obtained from the Ministry of Water & Environment MIS showed that the functional WSCs in Lwengo DLG for the year 2020/21 was at 90%. This is the same in the year 2021/2022 and so there was no increase during the assessment year.

Performance Reporting and Performance Improvement

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

Maximum 3 points on this performance measure

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3

The evidence from the Annual Performance report of Lwengo DLG on page 10 showed that 2 valley tanks (capacity 3,000 m³) were constructed, 1 mini solar powered borehole scheme was built, 2 brick masonry tanks (capacity 30 m³) and 4 (capacity 50 m³) tanks were built, 1 water borne public toilet and 1 public latrine were constructed, 1 deep borehole was drilled but was dry, one piped water supply system was extended and six deep boreholes were rehabilitated. From the field visit made by the assessor on 15th November, 2022, the following were observed:

- The 5-stance public toilet was built at the head office and is functioning well. Its water supply is derived from the underground reservoir of the DLG.
- St. Paul Kyamukuzi Secondary School rain water harvesting tank was completed and is being used by the students. There was a rubbish pit very close to this tank which could undermine the integrity of the foundations of the tank and cause it to crack. The head Teacher was advised to backfill and compact this pit for the safety of the water source.
- Kibuye village mini solar powered borehole scheme was completed and found under use by the community who make a monthly contribution of Ugx. 1,000 per household. The care taker who doubles as the chairman WSC said the WSC had been trained and he also showed a good recollection of the responsibilities taught in the training. He was advised to keep the entire area clean of rubbish, wild grass and ponding water.

| | | | | |
|---|--|--|--|---|
| 5 | <p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p> | <p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p> | <p>There was evidence which showed that the DWO collected water sources data using tailor-made forms for Lwengo DLG but the data was not compiled. The information captured on these forms included functionality, source type, WSCs functionality, etc. In addition, there was evidence of data collection using the Form 4 standard format which showed that data on 57 forms (i.e. 1,653 sources) had been collected and submitted to the Ministry of water & Environment by a letter from the DWO dated 20/07/2022. This showed that the DWO collected and compiled information on sub county water supply and sanitation, functionality of facilities and WSCs and community involvement, albeit not on quarterly basis.</p> | 2 |
| 5 | <p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p> | <p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p> | <p>There was no evidence of a comprehensive MIS data base. The DWO used a spread sheet to keep data on new sources, coverage and functionality. The spreadsheet at the time of the assessment had data regarding the new facilities built during the assessment year. Some of the entries in the data base were: Kyetume Trinity Primary School and Nursery rain water harvesting tank in Lwengo sub county; Kyassenya/Kito valley tank of 3,000 m3 in Lwengo sub county; and Nambirizi/Katindo 5-stance public latrine. However, the details of the data captured on the facilities was scanty and the DWO was advised to include all the relevant information fields like depth of boreholes, yield and water quality and to seek assistance from the Ministry of Water & Environment in the development of a suitable DLG MIS for the water sector.</p> | 3 |

| | | | | |
|---|--|--|--|---|
| 5 | <p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p> | <p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p> | <p>There was no assessment in the LLGs in the previous year but one. The assessment of the LLGs only started in the assessment year and so this indicator is not applicable.</p> | 0 |
|---|--|--|--|---|

Human Resource Management and Development

| | | | | |
|---|---|--|--|---|
| 6 | <p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p> | <p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p> | <ul style="list-style-type: none"> • There was evidence that the DWO had budgeted for the critical staff in the DWO as per the budget 2022/23 at 40,539,000/= | 2 |
|---|---|--|--|---|

| | | | | |
|---|---|---|---|---|
| 6 | <p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p> | <p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p> | <p>There was evidence that the Natural resources officer had budgeted for the critical staff as per the budget 2022/23 at 119,616,000/=</p> | 2 |
| 7 | <p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p> | <p>There was no evidence that the DWO had appraised DWO staff during the previous FY year on time. Instead the staff were appraised as follows:</p> <p>Namutinda Charles, Appraised by Lugalambi on 1st July 2022; and</p> <p>Lugalambi Fredio Thaddeus appraised by Kawesi Robert, date 1st July 2022.</p> | 0 |
| 7 | <p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p> | <p>There was evidence of a capacity needs assessment report by the DWO dated 30/06/2022 addressed to the CAO for the attention of the PHRO. However, there was no training plan and none of the sector staff received any training during the assessment year.</p> | 3 |

Management, Monitoring and Supervision of Services.

8

0

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
-
- • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- • If 80-99%: Score 2
- • If 60-79: Score 1
- • If below 60 %: Score 0

The projects planned for implementation in the low coverage sub counties were:

Lwengo - 1 mini solar powered borehole water scheme (Ugx. 120 million) and 1 brick masonry tank of 50 m3 (ugx. 30 million);

Malongo - 1 brick masonry tank of 50 m3 (Ugx. 30 million) and 1 brick masonry tank of capacity 30 m3 (Ugx. 19 million).

The above evidence from the AWP and budget 2022/23 showed that the allocations of water and sanitation projects to the low coverage sub counties of Lwengo and Malongo was Ugx 239 million against a total investment budget of 542 million (44%) and this is below the threshold of 60%.

8

3

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

There was evidence obtained from the minutes of the joint extension & coordination meeting held at the district headquarters dated 29/06/2022 (Min 01/CORD & EXT/06/2022 with attachment of the report of the DWO) which showed that there was communication to the LLGs regarding their respective allocations for water projects for the year 2022/2023.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

Maximum 8 points on this performance measure

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

- If 95% and above of the WSS facilities monitored quarterly: score 4

- If 80-94% of the WSS facilities monitored quarterly: score 2

- If less than 80% of the WSS facilities monitored quarterly: Score 0

The evidence obtained from the software reports shows that the DWO monitors the water facilities quarterly but always in different sub counties based on release of funds from the central government. Lwengo DLG has 82 boreholes, 93 tap stands, 71 water kiosks and 366 rain water harvesting tanks, a total of 612 water sources. Evidence obtained from the Form 4 submissions to the Ministry of Water & Environment showed that the DWO registered 1,653 entries of monitored sources in the year 2021/2022 which gives a quarterly average of 413 monitored sources (67%). This is below the threshold level of 80%. The DWO was advised to put emphasis on monitoring and engage the extension workers who are always on the ground. It was also advised to him to focus on the standard Form 4 other than spreading to the use of the local formats that spreads the resources and sometimes results in duplicity of work.

| | | | | |
|----|---|--|---|---|
| 9 | <p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p> | <p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p> | <p>There evidence presented in form of minutes in the quarterly reports which showed that the DWO conducted the four quarterly DWSCC meetings in the assessment year and issues from monitoring were discussed as indicated below:</p> <ul style="list-style-type: none"> • Dated 24/9/2021; Min 3/LWS/CORD/Sep/2021. • Dated 13/12/2021; Min 5/LWS/CORD/12/2021 • Dated 16/2/2022; Min 5/LWS/CORD/02/2022 and • Dated 14/6/2022; Min 6/LWS/CORD/06/2022. | 2 |
| 9 | <p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p> | <p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p> | <p>There was evidence presented in form of a letter to all the sub county chiefs dated 20th July, 2022 by the DWO showing the allocations for all the water projects investments for the current FY with a copy placed at the DLG notice board.</p> | 2 |
| 10 | <p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p> | <p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 | <p>There was evidence from the AWP which showed that mobilization activities in Lwengo DLG were allocated Ugx 33 million in FY 2021/22 against a total NWR rural water and sanitation budget of Ugx 70 million (47%). This is above the minimum of 40% stipulated in the sector guidelines.</p> | 3 |

Mobilization for WSS is conducted

Maximum 6 points on this performance measure

b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.

There was evidence from the quarterly software reports which showed that the DWO formed and trained the WSCs of the various facilities implemented in the assessment year as follows:

- Malongo LLG: report dated 22/10/2021 (3 WSCs trained).
- Kiseka LLG: report dated 18/10/2021 (WSC trained).
- Kyazanga LLG: report dated 9/10/2021 (1WSC trained).
- Ndagwe LLG: report dated 6/10/2021 (2 WSCs trained).
- Kingo LLG: Piped water extension done by the Water Umbrella Organisation.
- Lwengo LLG: report dated 4/10/2021 (2 WSCs trained).

From the field visit made by the assessor on 15/11/2022 to check on the facilities that were implemented in the assessment year, the Head Teacher of St. Paul Secondary School, Kyamukuzi who is the chairman of the WSC informed that the system was working well and the WSC was active. He displayed high level of knowledge of the responsibilities of the WSC; The Kibuye mini solar water supply system WSC chairman found on the site was busy attending to the facility and showed a good recall of the training received. The public toilet at the DLG offices is managed under the office system.

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:

Score 4 or else 0

There was evidence presented of a computer based database Asset Register which was very limited in the fields of data relevant to the water sector. The boreholes did not have drilling depths, yield and associated water quality columns. In addition, there was also presented a manual assets register for the entire DLG which on examination exhibited similar shortfalls in the degree of detail relevant to the water sector. Both registers contained entries of the facilities implemented in the assessment year. It was recommended to the DWO to seek assistance of the Ministry of Water to develop a new MIS for the office. Some of the facilities in the register were:

- Kyasenya village valley tank in Kitto parish, Lwengo sub county, capacity: 3,000 m3.
- Nyantungo Primary School brick masonry tank, capacity 50 m3 (source no. 599RWHT0374PAF22) in Kigeye parish, Malongo sub county and
- Mini solar powered borehole water supply scheme (no.599MSPBH009) in Kibuye Trading Centre, Ndagwe parish, Ndagwe sub county.

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:

Score 4 or else score 0.

There was evidence that the LG DWO conducted a desk appraisal for all WSS Projects prioritized Investment were derived from the approved LG Development Plans and are eligible for expenditure under sector guidelines as follows: Desk appraisals were done on 20/05/2020

1. Extension of piped water at Kamenyamigo UGX 8,000,000 page 92, page 30 AWP and budget;
2. Demarcation of Kiyanja Wetland UGX 10,000,000 page 94; page 52 AWP; and
3. Construction of 50m³ water tank at Kyetume Trinity.

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2

There was evidence obtained from the AWP 2022/2023 which showed that Lwengo DLG has planned to implement 11 new construction projects, i.e. 4 community water tanks of 50 m³, 2 community water tanks of 30 m³, 1 valley tank of 3,000 m³, 1 mini solar powered scheme, 2 drilled deep boreholes and 1 public latrine. The evidence on the applications file showed that all the 11 communities to benefit from the interventions had submitted their applications to the DWO. Some of these applications were:

1. Busumbi village LC1 in Bijaaba parish, Kyazanga sub county dated 1/7/2017;
2. Kikoba village LC1, Katovu parish, Malongo sub county dated 14/10/2021;
3. Kyasenya village LC1, Kito parish, Lwengo sub county dated 3/2/2021 and
4. Maira village LC1, Nkunyu parish, Lwengo sub county dated 15/4/2022.

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2

There was no evidence the LG Conducted field Appraisal to check for: (i) technical feasibility: (ii) environmental social acceptability: and (iii) Customised designs for WSS Projects for Current FY for the projects below.

1. Extension of piped water at Kamenyamigo

2. Demarcation of Kiyanja Wetland

3. Construction of 50m³ water tank at Kyetume Trinity; and Construction of valley tank at Kyasenyo

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2

There was evidence to show that all water infrastructure projects for the Current FY were screened for environmental and social risks etc. before being approved for Construction. A total of ten projects for the current FY were screened.

1) Construction of 50 Cubic Metre Masonary Water Tank at Musterd Seed Primary School, at Kikenene Village, Kikenene Parish, Kiseka Subcounty. Project screened on 26/05/2022 by Mr. Mutemba Godfrey Senior Environment Officer.

2) Construction of 5 stance pit latrine at Lubaaale Katuulo Primary School, Lubaale Village, Katuulo ward, Kyazanga subcounty. Project was screened on 25/10/2022 by Mr. Mutemba Godfrey Senior Environment Officer.

3) Construction of Valley tank at Nakalago Village, Nakalago ward, Kyazanga Subcounty. Project screened on 25/10/2022 by Mr. Mutemba Godfrey Senior Environment Officer.

4) Construction of Mini Solar Powered borehole at Maira village, Nkunyuu Parish, Lwengo Subcounty. Screening carried on 25/10/2022

| | | | | |
|----|---|---|--|---|
| 12 | 2 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p> | <p>There was evidence that the water and sanitation infrastructure projects were incorporated in the consolidated procurement plan that was received by Public Procurement and Disposal of Public Assets Authority on 28th September 2022. The sampled projects were: Construction of a valley tank at Kyazanga S/C planned at Ugx. 60,000,000; Construction of 4-50 cubic meters rain water masonry tank in Lwengo planned at Ugx. 114,000,000; and Construction of 2-30 cubic meters rain water masonry tank in Malongo planned at Ugx. 36,000,000</p> |
| 12 | 2 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> | <p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p> | <p>There was evidence that the water supply and public sanitation infrastructure projects for the YR2021/2022 were approved by the contracts committee before commencement of construction as follows;</p> <p>For the project of Installation of one motorized solar powered borehole at Kibuye in Ndagwe Sub County, LWEN599/WRKS/2021-2022/00008; the contracts committee sat on 20th October 2021 and approved the project under minute no. 00005/DCC/21-22(a). The evaluation report dated 19th October 2021 was drafted and signed by the chairperson of the committee, Mr. Kaweesi Robert, District Engineer and other 4 other members of the committee;</p> <p>For the project of Construction of a 50 cubic Mansory tank at Bijjaba Primary School, LWEN599/WRKS/2021-2022/00011; the contracts committee sat on 15th February 2022 and approved the project under minute no. 00005/DCC/21-22(b). The evaluation report dated</p> |

15th February 2022 was drafted and signed by the chairperson of the committee, Mr. Namutinda Charles, Assistant Water Officer among 4 other members of the committee; and

For the project of Construction of 2 300cubic metre valley tanks in the sub counties of Lwengo and Malongo, LWEN599/WRKS/2021-2022/00005; the contracts committee sat on 20th October 2021 and approved the project under minute no. 00005/DCC/21-22(a). The evaluation report dated 19th October 2021 was drafted and signed by the chairperson of the committee, Mr. Kaweesi Robert, District Engineer and other 4 other members of the committee.

12

2

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:

There was evidence that the LG established the project implementation team on 20th December 2021. The memo entitled Appointment of Project Management Team for water projects 2021/22, nominated Mr. Kawesi Robert as project supervisor, Mr. Lugalambi Frerio as contract manager, Mr. Mutemba Godfrey as Environment Officer, Mr. Mazinga Joseph as the Community Development Officer and Ms. Bukenya Justine as the Labour Officer.

| | | | | |
|----|--|--|---|---|
| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p> | <p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p> | <p>The evidence of the standard technical drawings for the water and sanitation facilities implemented were presented and from the field visit conducted by the assessor on 15/11/2022 the following were observed:</p> <ul style="list-style-type: none"> • St. Paul Kyamukuzi Secondary School 50 m3 tank; the masonry wall was well built and finished with a rough cast. The gutters were laid well and the water flowed into the tank. There was a rubbish pit very close to the tank which was instructed to be filled and compacted to ensure ground stability for the heavy tank. The students accessed the water from a tap in a manhole. The manhole was built too small and the students have to fetch the water while bending down. This issue arose from error in the design. • The mini solar powered water scheme of Kibuye village was built according to the design which included a submersible water pump, pump control house on top of which four solar panels are fixed, elevated reservoir on a steel structure and four water dispensing taps. The entire set up was fenced and operational. • The public toilet at the office was built according to the design with 2 stances and a urinal for males, 2 stances for females and 1 stance for the disabled. There were included the attendant hand washing facilities. The toilet was functioning well and still in the defects liability period of the construction contract. | 2 |
|----|--|--|---|---|

| | | | | |
|----|---|---|---|---|
| 12 | <p>Procurement and Contract Management/execution:</p> | <p>e. Evidence that the relevant technical officers carry out</p> | <p>There was evidence that the district engineer, District Water Officer, Environment officer and Community</p> | 2 |
|----|---|---|---|---|

The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

monthly technical supervision of WSS infrastructure projects: Score 2

Development Officer participated in supervising the WSS projects as detailed herein;

There were contract management plans prepared by Mr. Lugalambi Frerio, District Water officer for all the three sampled projects.

There were joint monthly reports as follows;

For Construction of 2 300cubic metre valley tanks in the sub counties of Lwengo and Malongo; inspection report for water sources dated 15th June 2022 was prepared by Mr. Namutinda Charles, Assistant Engineering Officer; E&S joint inspection report dated 8th June 2021 was prepared by Mr. Mutemba Godfrey, Senior Environment Officer and Mr. Mazinga Joseph, Community Development Officer;

For Installation of one motorized solar powered borehole at Kibuye in Ndagwe Sub County; inspection report for water sources dated 21st February 2022 was prepared by Mr. Namutinda Charles, Assistant Engineering Officer; E&S joint inspection report dated 8th June 2021 was prepared by Mr. Mutemba Godfrey, Senior Environment Officer and Mr. Mazinga Joseph, Community Development Officer; and

For Construction of a 50 cubic Mansory tank at Bijjaba Primary School; inspection report for water sources dated 6th June 2022 was prepared by Mr. Namutinda Charles, Assistant Engineering Officer; E&S joint inspection report dated 8th June 2021 was prepared by Mr. Mutemba Godfrey, Senior Environment Officer and Mr. Mazinga Joseph, Community Development Officer

There were site meeting reports

seen and there was evidence of technical exchanges between the engineer and contractor as follows;

Installation of one motorized solar powered borehole at Kibuye in Ndagwe Sub County, LWEN599/WRKS/2021-2022/00008; site meeting dated 21st May 2022 chaired by Mr. Lugalambi Frerio, District Water Officer among other 4 members present; the agenda of the meeting was as follows, arrival of participants, site inspection, prayer, self introduction, communication from the chairman, report from DWO, report from the contractor, reactions and way forward, closure and departure; and

Construction of 2 300cubic metre valley tanks in the sub counties of Lwengo and Malongo, LWEN599/WRKS/2021-2022/00005; site meeting dated 15th February 2022 chaired by Mr. Hamman Ssentongo, Resident District Commissioner among other 7 members present; the agenda of the meeting was as follows, arrival of participants, prayer, site inspection, self introduction, communication from DWO, report from the contractor, remarks from the RDC, reactions and way forward, closure and departure

| | | | | |
|----|--|---|--|---|
| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p> | <p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p> <p>o If 100 % contracts paid on time: Score 2</p> <p>o If not score 0</p> | <p>There was evidence that the LG payment requests for water projects were certified and recommended for payment as per contract and payment requests:</p> <p>Name of project: Construction of 3 stance water borne latrine at Lwengo District Headquarter</p> <p>Project NO: LWEN/599/DCC/21-22/00001</p> <p>Contract Sum: UGX 50,092,652</p> | 2 |
|----|--|---|--|---|

Requisition was on 15/06/2022

Signed by the DWO on 15/06/2022

District Engineer signed on
15/06/2022

Signed by the CDO and
environment on 03/06/2022

CAO signed on 17/06/2022

Payment was on 29/06/2022;

UGX 42,761,285;

Name of project: Construction of 5
stance line pit latrine with urinal at
Katindo primary school

Project NO: LWEN/599/DCC/21-
22/00002

Contract Sum: UGX 22,957,927

Requisition was on 10/06/2022

Signed by the DWO 10/06/2022

CAO signed on 12/06/2022

Environment and DCDO
10/06/2022

Payment was on 29/06/2022

UGX 19,345,405; and

Name of project: Construction of
30m³ brick machinery tank at
Katovu and Kayikologo in Lwengo
Sub county

Project NO: LWEN/599/DCC/21-
22/00003

Contract Sum: UGX 31,999,358

Requisition was on 10/06/2022

Signed by the DWO 10/06/2022

CAO signed on 17/06/2022

Environment and DCDO
10/06/2022

Payment was on 29/06/2022

UGX 27,335,044.

| | | | | |
|----|--|---|---|---|
| 12 | <p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p> | <p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p> <p>Score 2, If not score 0</p> | <p>There was evidence that the contracts for water infrastructure investments had all relevant records as per the PPDA law as detailed in the sample project below;</p> <p>The Contractor, KAROBS Enterprises Limited was awarded the contract for the project of Installation of one motorized solar powered borehole at Kibuye in Ndagwe Sub County, LWEN599/WRKS/2021-2022/00008, the P/P form 1 was generated on 2nd August 2021, the Approval of bid notice date was on 14th September 2021, Approval of procurement method was on 14th September 2021, the project was advertised on 16th September 2021 on page 38 of New Vision, the record of issue of bid document was on 22nd September 2021, the record of receipt of bids(LG PP Form 9) was on 6th October 2021, the record of bid opening was on 6th October 2021, the approval of evaluation report by contracts committee was on 19th October 2021, the display of the best evaluated bidder for 10 working days was from 20th October 2021 to 3rd November 2021, the letter of award of contract was on 8th November 2021, the contract agreement was drafted and signed on 11th November 2021;</p> <p>The Contractor, DADASA Services Limited was awarded the contract for the project of Construction of 2 300cubic metre valley tanks in the sub counties of Lwengo and Malongo, LWEN599/WRKS/2021-2022/00005, the P/P form 1 was generated on 2nd August 2021, the</p> | 2 |
|----|--|---|---|---|

Approval of bid notice date was on 14th September 2021, Approval of procurement method was on 14th September 2021, the project was advertised on 16th September 2021 on page 38 of New Vision, the record of issue of bid document was on 22nd September 2021, the record of receipt of bids(LG PP Form 9) was on 6th October 2021, the record of bid opening was on 6th October 2021, the approval of evaluation report by contracts committee was on 19th October 2021, the display of the best evaluated bidder for 10 working days was from 20th October 2021 to 3rd November 2021, the letter of award of contract was on 8th November 2021, the contract agreement was drafted and signed on 11th November 2021; and

The Contractor, KAROBS Enterprises Limited was awarded the contract for the project of Construction of a 50 cubic Mansory tank at Bijjaba Primary School, LWEN599/WRKS/2021-2022/00011, the P/P form 1 was generated on 2nd August 2021, the Approval of bid notice date was on 5th January 2022, Approval of procurement method was on 5th January 2022, the project was advertised on 17th January 2022 on prequalification, the record of issue of bid document was on 25th January 2022, the record of receipt of bids(LG PP Form 9) was on 31st January 2022, the record of bid opening was on 31st January 2022, the approval of evaluation report by contracts committee was on 15th February 2022, the display of the best evaluated bidder for 10 working days was from 15th February 2022 to 24th February 2022, the letter of award of contract was on 28th February 2022, the contract agreement was drafted and signed on 2nd March 2022.

Environment and Social Requirements

| | | | | |
|----|---|---|---|--|
| 13 | 0 | <p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p> | <p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> | <p>There was no evidence to show that the DWO In Liaison with the District Grievances Redress Committee recorded grievances as per LG Grievance redress frame work.</p> <p>The department uses the district centralized grievance redress system.</p> |
| | | | <p>Score 3, If not score 0</p> | |
| 14 | 0 | <p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p> | <p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> | <p>There was no evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs.</p> <p>The DEO indicated that the water officer may have disseminated the guidelines but no evidence was presented.</p> |
| | | | <p>Score 3, If not score 0</p> | |
| 15 | 0 | <p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p> | <p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p> | <p>There was no evidence to show that water source protection plans and Natural resource management plans for WSS Facilities constructed in the previous FY were prepared and implemented</p> |
| 15 | 3 | <p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on</i></p> | <p>b. Evidence that all WSS projects are implemented on land where the LG has</p> | <p>Sample contracts</p> <ul style="list-style-type: none"> • Land agreements/titles and MOUs |

this performance measure

proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:

Score 3, If not score 0

- Signed E&S Compliance Certification forms
- Monthly monitoring reports 15b

PAGE 67 From a sample of 3 WSS projects determine whether they were implemented on land where the LG has proof of ownership. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 3, If not score 0 • 3 In the year 2021/2022, Lwengo DLG implemented 19 projects including 13 new facilities and 6 boreholes rehabilitated; of the new systems, the pipeline extension was on an existing scheme requiring no land agreement and the public toilet facility was built on the DLG premises. This meant that only 11 projects had the requirement to provide land agreements. From the agreements file, it was established that all the 11 projects had land agreements and some of these were by:

- Kananura Fredrick of Kitaziguluka village, Musubiro parish, Lwengo sub county dated 13/2/2022 for a valley tank.
- Kyababasira Madelene of Kyakulula village, Busubi parish, Kiseka sub county dated 23/11/2021 for a deep borehole which was dry and was back filled.
- John Turyamureba of Kaikolongo Seed Secondary School in Malongo sub county for a 50 m³ masonry ground tank dated 21/10/2021.

Safeguards in the Delivery of Investments

Maximum 10 points on this performance measure

c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:

Score 2, If not score 0

There was evidence to show that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects.

Payment to Matsazan Company Limited; Contract ref: LWEN599/WRKS/21-22/00013, for Construction of 50m3 Capacity Brick masonry Tank at Kyawagonya, Lwengo subcounty. Payment voucher /EFT No. 43847764, dated 10/06/22 of Ugx22,206,945. Interim Payment Certificate was signed by DCDO Mr. Mazinga Joseph and SEO Mr. Mutemba Godfrey on 19/05/2022.

Payment to Dadasa Services Limited. Project no. 098181-312101; for the construction of two 30 cubic metre Masonary rain water harvesting tanks at Keikolongo Primary school in Malongo subcounty and Kakoma Health Centre in Kyazanga Subcounty. Payment voucher /EFT No. 44593766, dated 29/06/2022 of Ugx 27,335,044. Interim payment certificate was signed by DCDO Mr. Mazinga Joseph and SEO Mr. Mutemba Godfrey on 10/06/2022.

Payment to Tahoor Designers and Civil Engineering services Limited, Project no. 1049304-water-43-06-22; for the construction of a 5-stance lined pit latrine at Katindo Trading Centre in Ndagwe Subcounty. Payment voucher /EFT No. 44593841, dated 29/06/2022 of Ugx 19,345,405. Interim payment certificate was signed by DCDO Mr. Mazinga Joseph and SEO Mr. Mutemba Godfrey on 10/06/2022.

Payment to Thorns Engineering Services Limited, Contract ref: 864467-Water35-06-22, (Project no. 00005/DCC/21-22(b) for the Construction of 5-stance water

borne Toilet at Lwengo District Headquarters. Payment voucher /EFT No. 44593840, dated 29/06/2022 of Ugx 42,761,285. Interim payment certificate was signed by DCDO Mr. Mazinga Joseph and SEO Mr. Mutemba Godfrey on 03/06/2022.

15

2

Safeguards in the Delivery of Investments

Maximum 10 points on this performance measure

d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:

Score 2, If not score 0

There was evidence to show that the CDO and Environment Officers undertook monitoring to ascertain compliance with ESMPs.

1) Construction of 5-stance lined toilet at Kasozi Primary school. Contractor: Tripah Engineering Services Limited. Monitoring report dated 08/06/2021 shows that the monitoring visit was undertaken on 18/05/2021 to verify whether there was compliance with ESMP provisions. The construction was about 90% complete. Interim Certificate No. NRC/2/06/2021 dated 08/06/2021 was signed and issued by SDEO Mr. Mutemba Godfrey and CDO Mr. Mazinga Joseph.

2) Construction of Valley Tank at Kyamparakata village, Malongo Parish, Malongo subcounty. Contractor: Dadasa Services Ltd. Monitoring report dated Date 19/05/2021 shows that the monitoring visit was undertaken on 19/05/2021 to verify whether there was compliance with ESMP provisions. The report indicates that the E&S team reviewed what had been done by the time of the monitoring in compliance with the ESMP. By the time of monitoring, the works were 100% done. Final (completion) certification No. NR 09/02/06/2021 dated 08/06/2021 was signed and issued by SDEO Mr. Mutemba Godfrey and CDO Mr. Mazinga Joseph.

3) Construction of water borne

Toilet at Lwengo District Headquarters. Contractor: Thorns Engineering Services Ltd. Date of monitoring was 19/05/2021. The report indicates that the E&S team reviewed what had been done by the time of the monitoring in compliance with the ESMP. By the time of monitoring the works were 95% done. Final certification No. NRC/15/06/2021 dated 08/06/2021 was signed and issued by SDEO Mr. Mutemba Godfrey and CDO Mr. Mazinga Joseph.

4) Construction of a 5-stance pit latrine at Katindo market place, Nambirizi Parish, Ndagwe subcounty. Contractor: Tahoe Engineering and Designers. Date of monitoring was 20/05/2021. The report indicates that the E&S team reviewed what had been done by the time of the monitoring in compliance with the ESMP. By the time of monitoring the works were 95% done. Final certification No. NRC/14/06/2021 dated 08/06/2021 was signed and issued by SDEO Mr. Mutemba Godfrey and CDO Mr. Mazinga Joseph.

**Micro-scale
Irrigation
Performance
Measures**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|--|--|--|-------|
| Local Government Service Delivery Results | | | | |
| 1 | <p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p> | <p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p> | <p>There was evidence that LG has up-date data on irrigated land for the last two FYs. There was available data from the District Irrigation Engineer for 2020/21 FY (953 acres) in a report ‘Lwengo District Irrigated Irriculture Data’ dated November 2020. There was no acreage under micro-scale irrigation. For 2021/22 FY (1,550 acres) were under irrigation in a report ‘Submission of Irrigated Agriculture Data and Mechanization Services in the District’ dated 10/08/2022. There were only 9 acres under micro scale irrigation beneficiaries and 1,541 acres were under non beneficiaries</p> | 2 |
| 1 | <p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p> | <p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 | <p>Percentage increase in acreage of newly irrigated land in the previous FY as compared to previous but one FY as can be seen from (1a) = $((1,550 \text{ (FY 2021/2022)} - 953 \text{ (FY 2020/2021)}) / 953) * 100 = 62.6\%$</p> | 2 |

| | | | | |
|---|---|--|---|---|
| 3 | <p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p> | <p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p> | <p>There was evidence that the development component of micro-scale irrigation grant has been used on eligible activities. From the senior Agricultural Engineer there is a 'Micro-scale Irrigation Sub-grant quarterly report' dated 15/07/2022. This report has budget performance report for FY 2021-2022 ' Lwengo DLG Micro-scale irrigation programme budget performance as at 30th June 2022'. The budgeted activities include; conducting farm visits, awareness raising of farmers and local leaders, FFS set up, procurement monitoring, Installation of irrigation equipment, Agric supplies for Irrigation Demo. The report also has pictures of the equipment and some of the activities. The amount of money released was 983,179,605/= and actual expenditure was 349,181,500/=</p> | 2 |
| 3 | <p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p> | <p>b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0</p> | <p>There was evidence that the approved farmer signed an Acceptance Form confirming that equipment was working well, before the LG made payments to the suppliers as follows:</p> <p>Names of project: LWEN/2020-09-03/m/1906</p> <p>Design supply and installation Micro irrigation systems,</p> <p>Farmer is Mukasa Henry</p> <p>UGX 21.700,000</p> <p>29/06/2022</p> <p>CFO signed on 23/06/2022</p> <p>CAO signed on 24/06/2022</p> <p>Farmer signed acceptance form on 24/06;</p> | 1 |

LWEN/2020-09-03-m-1906

Farmer: Sekwa Silver

UGX 20,100,000

Paid on 29/06/2022

CFO signed 22/06/2022

CAO signed on 23/06/2022

DPO signed on 22/06/2022

Farmer 24/06/2022; and

LWEN-2022-04-01-MALE- 25381

Former is Bukenya Joseph

Signed on 24/06/2022

CFO signed on 22/06/2022

CAO 23/06/2022

Auditor 23/06/2022

DPO 22/06/2022.

Investment
Performance: The LG
has managed the
supply and installation
of micro-scale
irrigations equipment as
per guidelines

Maximum score 6

Evidence that the
variations in the
contract price are
within +/-20% of the
Agriculture Engineers
estimates: Score 1 or
else score 0

There is evidence that the
variations in the contract price are
within +/-20% of the Agriculture
Engineers estimates. There is
data from the irrigation engineer's
office and Irritrat/MIS on supplier
contracts and engineer's
estimates. There is evidence that
contract variations are within +/-
20%. Examples;

- For farmer Sekwa Silver (Irritrat
farmer ID: LWENGO/2022-04-
12/Male/25670), Agricultural
engineer's average estimate is
23,350,000/= and the contract
price is 20,100,000/=. The
variation in price = $(23.35 - 20.1) / 20.1 * 100 = 16.17\%$

- For farmer Mulumba Joseph (
Irritrat ID: LWENGO/2022-03-
14/Male/24646), Agricultural
engineer's average estimate is
26,150,000/= and the contract
price is 23,000,000/=. The
variation in price = $(26.15 - 23) / 23 * 100 = 13.7\%$

| | | | | |
|---|---|--|---|---|
| 3 | <p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p> | <p>d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 | <p>There was evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed /completed within the previous FY. From agricultural engineer's office 7 contracts (5 for ADRITEX Uganda Ltd and 2 for NEC Agro Smc Ltd) were signed but 5 have completion certificates. ADRITEX has installed 5 irrigation systems. Two contracts for Nec Agro Smc Ltd were not installed. Completion certificates are available for 5 sites (Example:</p> <ol style="list-style-type: none"> 1. Farmer Sekwa Silver, dated 15/06/2022 2. Farmer Bukenya Josph, dated 15/06/2022 3. Farmer Mulumba Joseph, dated 15/06/2022 4. Farmer Mukas Henry, dated 15/06/2022 5. Farmer Kiseka Rashid, dated 15/06/2022 <p>Score = $(5/7) * 100 = 71.4 \%$</p> | 0 |
| 4 | <p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p> | <p>a) Evidence that the LG has recruited LLG extension workers as per staffing structure</p> <ul style="list-style-type: none"> • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0 | <p>Establishment is 37, filled positions are 31. Which is 83.7%</p> | 1 |
| 4 | <p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> | <p>b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF</p> | <p>There was evidence that micro scale irrigation equipment meet standards as defined by MAAIF. The senior agricultural has the inventory of all the installed sites.</p> | 2 |

Maximum score 6

• If 100% score 2 or else score 0

Sampling of 3 micro-scale irrigation facilities were carried on 15/11/2022. The 3 sampled installations meet standards as per the inventory and MAAIF Guidelines. The three sampled sites include:

i. Farmer Mulumba Joseph, Ndagwe SC, Makundo Parish. System installed for 2 acres for coffee, mangoes and orange fruits. The contractor was ADRITEX Uganda Ltd. The equipment installed include; solar pump (submersible), solar panels (2), plastic tank (10,000 l), 2 flexible horse pipes with nozzle, hydrants (7) and pipe lines. All the equipment meet standards on inspection. The farmer had a site book

ii. Farmer Mukasa Henry Ndagwe SC, Makondo Parish. System installed for 2.2 acres of coffee. The contractor was ADRITEX Uganda Ltd. The equipment installed include; solar pump (60m head), solar panels (3), flexible horse pipes (50m), hydrants (6), pipe lines and 10,000 l tank. The water source is a valley tank (20*25*4 m). The farmer had a site book

iii. Farmer Bukenya Joseph, Kkingo SC, Kaganda Parish. System installed (June 2022) for 0.7 acres for coffee and vegetables. The equipment installed include; petrol engine pump (72m head, Q=18 m³/hr), suction and delivery pipe lines, sprinkler (Rain gun), hydrants (3). The contractor was ADRITEX Uganda Ltd. There was no site book seen

4

2

Achievement of standards: The LG has met staffing and micro-scale irrigation standards

b) Evidence that the installed micro-scale irrigation systems during last FY are functional

There was evidence that the installed micro-scale irrigation systems during last FY are functional. The list of micro-scale irrigation installed was available in the inventory book from the Senior Agricultural Engineer's office.

Maximum score 6

• If 100% are functional score 2 or else score 0

The three sites sampled were as shown in 4(a). They were all functional. The pump was pumping up to the tank, the hydrants were opening and closing, the hose pipes were working, the tanks were not leaking

Performance Reporting and Performance Improvement

5

2

Accuracy of reported information: The LG has reported accurate information

a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0

The information on positions of extension workers filled was accurate as per the staff lists:

Maximum score 4

Kaliiro SC had 3 staff;

Kasagama SC had 2 staff; and

Lyantonde TC had 1 staff.

| | | | | |
|---|---|---|---|---|
| 5 | <p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p> | <p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p> | <p>There was evidence that information on Micro scale irrigation system installed and functioning is accurate.</p> <p>The list of micro-scale irrigation installed is available in the inventory book. Examples of inventory data:</p> <p>For Kaggwa Robert</p> <ul style="list-style-type: none"> o type of pump Submersible solar pump o Type of irrigation method; hose pipe o Tank size;10,000L <p>The sampled sites show information is accurate as per inventory and functionality on inspection on 15/11/2022</p> | 2 |
| 6 | <p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p> | <p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p> | <p>There was evidence that information is collected quarterly on newly irrigated land. There was Micro-scale irrigation sub-grant quarterly monitoring and supervision reports by District agriculture engineer dated 15/07/2022 (quarter 4), 27/05/2022 for Quarter 3, 27/01/2022 for Quarter 2 and 30/11/2021 for Quarter 1. These reports included information on newly irrigated land, functionality of irrigation equipment installed, provision of complementary services and farmer Expression of Interest</p> | 2 |

| | | | | |
|---|--|---|---|---|
| 6 | <p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> | <p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p> | <p>There was evidence that the LG has entered up to-date LLG information into MIS. There were printed MIS reports for FY 2021/22 and FY quarter 4, 3. We also logged into the MIS and checked. All 5 projects completed last FY were uploaded in the system. All successful 34 farm visits made between July and October 2022 were uploaded in the system. All farmer Expression of Interest (EOI) were uploaded in the system.</p> | 1 |
| | <p>Maximum score 6</p> | | <p>There was up to date information in the MIS</p> | |
| 6 | <p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> | <p>c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p> | <p>There was evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS. From the District Agricultural Engineer's office there are quarterly reports available dated 15/07/2022(quarter 4), 27/05/ 2022 for Quarter 3, 27/01/2022 for Quarter 2 and 30/11/ 2021 for Quarter 1. These reports were also available in the MIS online.</p> | 1 |
| | <p>Maximum score 6</p> | | | |
| 6 | <p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> | <p>d) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0</p> | <p>There was no evidence the LG has developed an approved Performance Implementation Plan (PIP). There was no performance improvement plan seen from the DPO's office</p> | 0 |
| | <p>Maximum score 6</p> | | | |

| | | | | |
|---|---|---|---|---|
| 6 | <p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p> | <p>ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0</p> | <p>There was no evidence the LG has implemented Performance Improvement Plan for lowest performing LLGs .There was no PIP report seen</p> | 0 |
|---|---|---|---|---|

Human Resource Management and Development

| | | | | |
|---|---|--|--|---|
| 7 | <p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p> <p>Maximum score 6</p> | <p>a) Evidence that the LG has:</p> <p>i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0</p> | <p>There was no evidence that the LG budgeted for extension workers. From the DPO's office the budget for extension workers for the FY 2021/22 was not available</p> | 0 |
|---|---|--|--|---|

| | | | | |
|---|---|--|---|---|
| 7 | <p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p> <p>Maximum score 6</p> | <p>ii Deployed extension workers as per guidelines score 1 or else 0</p> | <p>From the DPO's office there is data on Approved Staff Structure for Lwengo District Production Department FY 2022/23. There are a number of positions in the production department that are vacant. These include 6 vacant positions out of 37</p> | 0 |
|---|---|--|---|---|

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

b) Evidence that extension workers are working in LLGs where they are deployed:
Score 2 or else 0

There was evidence that the extension staff were working where they were deployed.

Malongo SC:

Report dated 10/02/22 fisheries quarter 3.

Training farmers on crop dynamics Qtr. 3

Training farmers on crop disease management/ Bee keeping 21/2/22.

Lwengo TC:

Mass awareness of farmers on irrigation program Qtr. 3 report dated 31/03/22

Mass awareness of farmers on UCDA report dated 23/6/22

Lwengo SC:

Report dated 22/12/21, Micro irrigation Program

Awareness and fisheries laws and regulations and awareness dated 11/8/21

Monitoring reports dated 20/11/21

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines

Maximum score 6

c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0

There was evidence that the extension staff had been publicized.

Malongo SC:

The extension staff were publicized and disseminated on 4/8/21

Lwengo TC:

The extension staff were publicized and disseminated on 4/8/21

Lwengo SC:

The extension staff were publicized and disseminated on 16/3/21

Performance management: The LG has appraised, taken corrective action and trained Extension Workers

Maximum score 4

a) Evidence that the District Production Coordinator has:

i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0

There was no evidence that the extension staff had been appraised on time.

Nyamijunbi Loy (AO) appraised by Lugemwa George appraised 28th may 2022

Nalugo Mariat (AST FISHERIES OFFICER) appraised by Kirya JULIUS 1st July 2022

Mwesigwa cyrus (Vermin control officer) appraised by Dr. Mukuye on 30th June 2022

Seremba George William (Assist AO) Appraised by Mftumukiza on 1st July 2022

Mujuzi Godfrey (Asst fisheries officer) Appraised by Lugemwa george on 28th may 2022

Nabanja resty (Fisheries officer) appraised by Nandaula Allen on 30th June 2022

Ahumuza Spenser (Assistant animal husbandry officer) appraised by Rukiga Julius on 29th July 2022

Walakira sekayiba Isaac (assistant vet officer) appraised by Kyomuhendo Rhona Mariam on 30th June 2022

Nakuya ANGELA (assistant fisheries officer) appraised by Sendagala George Nyanzi on 30th June 2022

Mukasa Alone Timothy (assistant vet) appraised by Nsubuga Paul on 30th June 2022

Semwogerere Gerversio (Agric office) Appraised by Nalwadda SHABILLAH 30th June 2022

| | | | | |
|---|--|---|---|---|
| 8 | Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4 | a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0 | There were no corrective actions recommended for action | 1 |
| 8 | Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4 | b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0 | There was no evidence that training activities were conducted in accordance to the training plans at District level. No training plan was seen | 0 |
| 8 | Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4 | ii Evidence that training activities were documented in the training database: Score 1 or else 0 | There was evidence that training activities were documented in the training database. From Senior Agricultural Engineer's office there was training report for extension staff in a quarterly report dated 15/07/2022. Another 'Farmer Training Report' date 05/07/20221 is available | 1 |

Management, Monitoring and Supervision of Services.

Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum score 10

a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0

There was evidence that the LG appropriately allocated the micro scale irrigation grant between (i) capital development; and (ii) complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services) as below:

The LG budget for Micro scale was UGX 950,000,000 and budget as follows:

Capital expenditure UGX 712,000,000 = 75%; and

Complimentary UGX 238,000,000- page 18 approved budget.

Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum score 10

b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0

There was evidence that budget allocations made towards complementary services was in line with the sector guidelines as follows:

Capacity building, awareness raising UGX 35,649,000;

FFS UGX 59,415,000 complementary;

Procurement UGX 23,000,000;

Awareness campaign UGX 92,523,000 page 18 of approved plan

| | | | | |
|-------------------------|---|--|--|---|
| 9 | <p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> | <p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p> | <p>Co-funding was not reflected in the LG Budget as 2021/2022 was first year of introduction of co-fund.</p> | 0 |
| <p>Maximum score 10</p> | | | | |
| 9 | <p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> | <p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p> | <p>Co-funding was not yet operational as 2021/2022 was first year of introduction of co-fund</p> | 0 |
| <p>Maximum score 10</p> | | | | |
| 9 | <p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> | <p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p> | <p>There is evidence that the LG has disseminated information on use of the farmer co-funding. From the Senior agricultural Engineer's office there is report on 'Awareness creation for LLG leaders and stakeholders Report' dated 12/10/2021</p> | 2 |
| <p>Maximum score 10</p> | | | | |

| | | | | |
|----|--|---|--|---|
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p> | <p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 | <p>There was no evidence that the DPO has monitored on monthly basis installed micro-scale irrigation equipment. There were no monthly monitoring report from DPO's office seen</p> | 0 |
| 10 | <p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p> | <p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p> | <p>There is evidence that the LG has overseen technical training and support to the Approved farmers. There is a 'Farmer Training Report' by Senior Agricultural Engineer for the beneficiaries of micro-scale irrigation project. The monitoring report is dated 05/07/2021</p> | 2 |

| | | | | |
|----|---|---|--|---|
| 10 | Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8 | c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0 | There is evidence that the LG has provided hands on support to the LLG extension workers within the previous year. From Senior Agricultural Engineer, there 'Training of Agricultural officers and other extension staff about micro scale irrigation program report' dated 13/04/2022. Twelve field staff were trained | 2 |
| 10 | Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8 | d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0 | There is evidence that the LG has established and run farmer field schools. There is an activity report on establishment and running of farmer field schools by DPO dated 12/05/2022. From the report 8 FFS were to be established. There is ' accountability report for farmer field schools in Kkingo sub county' dated 29/06/2022 | 2 |
| 11 | Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4 | a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0 | There is evidence that the LG has conducted activities to mobilize farmers. There is an activity report by DPO on farmer field schools dated 29/06/2022. The attendance by gender is 12 male and 18 female farmers | 2 |
| 11 | Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4 | b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0 | There is evidence that the District has trained staff and political leaders at District and LLG levels. From the Senior Agricultural engineer's office there is report on 'Micro scale irrigation sub grant quarterly report, dated 15/07/2022. The report has information on training of district staff and political leaders and LLG staff on micro-scale irrigation program | 2 |

Investment Management

| | | | | |
|-----------------|---|--|--|--|
| 12 | 2 | <p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> | <p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p> | <p>There is evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY .There is an inventory/register book 'Lwengo District Irrigation Equipment Register of micro-scale irrigation equipment' by the irrigation engineer for the last FY. In the register 2 demonstration site equipment and 5 micro-scale irrigation equipment have been registered. Farmer Kisekka Rashid, Sekwa Silver, Mulumba Joseph, Mukasa Henry and Bukenya Joseph irrigation systems were all installed in June 2022</p> |
| Maximum score 8 | | | | |
| 12 | 2 | <p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> | <p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p> | <p>There is evidence that the LG keeps an up-to-date database of applications at the time of the assessment .There is data base in the MIS for applications. The last date of update is 04/06/2022. Sampled applications:</p> <ol style="list-style-type: none"> 1. Sekabba John Mary (LWENGO/2021-09-22/Male/20442), EOI date 04/06/2022 2. Bwengye David(LWENGO/2022-01-30/Male/23406), EOI date 11/03/2022 3. Kasozi Waswa Gerald (LWENGO/2021-09-22/Male/20440, EOI date 13/04/2022 |
| Maximum score 8 | | | | |

| | | | | |
|-----------------|---|--|--|--|
| 12 | 2 | <p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> | <p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p> | <p>There is evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI). There is a farm visit report by Senior Agriculture officer dated 15/07/2022. From the report 152 farm visits were made out of which 129 were successful. There are agreements to proceed forms available. A few examples:</p> |
| Maximum score 8 | | | | <p>1. Luyinda John Ephrahim (LWENGO/2022-04-06/Male/25562)</p> <p>2. Ssewanyana Henry (LWENGO/2022-04-15/Male/24686)</p> |
| 12 | 0 | <p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> | <p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p> | <p>There was no evidence that the eligible farmers were publicized</p> <p>Malongo SC: The eligible farmers were not publicized.</p> <p>Lwengo TC: The eligible farmers were not publicized.</p> <p>Lwengo SC: The eligible farmers were not publicized.</p> |
| Maximum score 8 | | | | |

| | | | | |
|----|---|---|--|---|
| 13 | <p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p> | <p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p> | <p>There was evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the FY2022/2023. This was confirmed with a timely submission of the Production and Marketing department procurement plan to PDU on 20th April 2022 before the deadline date of 30th April 2022 and there was an allocation of Ugx. 687,628,281 for the establishment of a micro scale irrigation demonstration sites and PPEs as per the approved consolidated procurement plan.</p> | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p> | <p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p> | <p>There was no evidence that the LG had requested for quotations from irrigation equipment suppliers pre-qualified since MAAIF had not sent the list of prequalified suppliers for FY2022/2023 by the time of the assessment.</p> | 0 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p> | <p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p> | <p>There was no evidence that the LG had concluded the selection of the irrigation equipment supplier based on the set criteria since MAAIF had not sent the list of prequalified suppliers for FY2022/2023 by the time of the assessment.</p> | 0 |

| | | | | |
|----|---|--|---|---|
| 13 | <p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p> | <p>d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0</p> | <p>There was evidence that the micro scale irrigation systems for the FY2021/2022 were approved by the contracts committee on 18th April 2022 under minute no. MIN:00005/DCC/21-22</p> | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p> | <p>e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0</p> | <p>There was no evidence that the LG had signed the contract with the lowest priced technically responsive irrigation equipment supplier for the FY2022/2023 since MAAIF had not sent the list of prequalified suppliers for FY2022/2023 by the time of the assessment.</p> | 0 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p> | <p>f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0</p> | <p>There was evidence that the micro-scale irrigation equipment installed is in line with the design output sheet. The design is in line with the design output sheet generated from IrriTrack online and also per Technical Guidelines provided by MAAIF. Example of Irritrack Sheet is shown below:</p> <p>Farmer Details</p> <p>a. Name and Last name Kaggwa Robbert</p> <p>b. NIN or refugee number CM7410510243HD</p> <p>c. Unique Farmer ID LWENGO/2022-09-21/Male/28003</p> <p>d. Phone number 0751977659</p> <p>e. Wife name and last name Namukasa Robbert</p> | 2 |

f. Wife phone number 0754977659

2. Location of the farm

a. District LWENGO LWENGO

b. Sub-county NDAGWE

Subcounty---

c. Parish MAKONDO

Parish---

d. Village KIGULUKA

Option---

3. Contact details of the District and sub-county staff who carried out the farm visit

a. Name Kakooza Stephen

b. Telephone number 0779343013

4. Farm details

a. Date that the farm visit was carried out 2022-10-13 06:43:12

b. Area to be irrigated (acres) 2.5

c. The three main dry season crops expected to be irrigated
Coffee

d. The area of each crop (acres)
2.5

e. Flow rate for each crop area (m³/hr) 10.3 0.0 0.0

f. Total flow rate (m³/hr) 10.3

g. Soil type Sandy loam

h. Is land steeply sloping (yes/no)
No

5. Water source details

a. Height difference between area to be irrigated and water source

(metres), Above or Below 35
Below

b. Height difference between pump location and water source

(metres), Above or below 6 Below

c. Distance from farm to water source (metres) 210

d. Type of water source – river, stream, pond, lake, well, borehole
Pond

e. Submersible or not submersible pump

f. Name of water source, if known

g. Diameter and depth of borehole or well, water depth in dry

season (metres)

h. Flow rate of river/stream (m³/hr)

i. Volume of the water source when full (m³) 500

j. Visual quality of the water source Muddy

6. Irrigation system equipment

a. Type of pump (surface or submersible) Submersible pump

b. Type of power source

c. Type of irrigation method – drip, sprinkler (solid set or rain

gun), hosepipe Hosepipe

d. Tank size if required (litres)
10,000L

Three sites where visited as shown in (4b) and on inspection, they were found to have been installed as per design

| | | | | |
|----|---|--|--|---|
| 13 | <p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p> | <p>g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0</p> | <p>There was no evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers. There was no technical supervision report seen. From the 3 sites sampled, for example, farmer Mukasa Henry and Mulumba Joseph have Site Books with no tangible information on regular technical supervision by the district technical staff</p> | 0 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p> | <p>h) Evidence that the LG has overseen the irrigation equipment supplier during:</p> <p>i. Testing the functionality of the installed equipment: Score 1 or else 0</p> | <p>There was evidence that the LG has overseen the irrigation equipment supplier during testing the functionality of the installed equipment. The site books show that the senior irrigation engineer was at the site on 28/10/2022 of Mulumba Joseph</p> | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p> | <p>ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0</p> | <p>There was evidence that the LG has overseen the irrigation equipment supplier during hand-over of the equipment to the Approved Farmer. The Supplier was ADRITEX Ltd for the three sampled farmers. The site books show that the senior irrigation engineer was at the site.</p> | 1 |
| 13 | <p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p> | <p>i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0</p> | <p>There was evidence that the Local Government made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form as follows:</p> <p>Names of project: LWEN/2020-09-03/m/1906</p> <p>Design supply and installation Micro irrigation systems,</p> | 2 |

Farmer is Mukasa Henry
 UGX 21.700,000
 29/06/2022
 CFO signed on 23/06/2022
 CAO signed on 24/06/2022
 Farmer signed acceptance form on 24/06/2022;
 LWEN/2020-09-03-m-1906
 Farmer: Sekwa Silver
 UGX 20,100,000
 Paid on 29/06/2022
 CFO signed 22/06/2022
 CAO signed on 23/06/2022
 DPO signed on 22/06/2022
 Farmer signed on 24/06/2022;
 and
 LWEN-2022-04-01-MALE- 25381
 Former is Bukenya Joseph
 Signed on 24/06/2022
 CFO signed on 22/06/2022
 CAO 23/06/2022
 Auditor 23/06/2022
 DPO 22/06/2022.

13

Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines

Maximum score 18

j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0

There was evidence that the LG had a complete procurement file for each contract for FY2021/2022 and with all records required by PPDA law as follows;

For the project of Design, Supply and Installation of micro scale irrigation system in Lwengo District,

2

LWEN599/WRKS/IRR/2021-2022/00034; the contracts committee sat on 18th April 2022 and approved the project under minute no. MIN:00005/DCC/21-22. The evaluation report dated 28th December 2021 was drafted and signed in a meeting that was chaired by Ms. Nandaula Allen, the District Production officer among 4 other members of the committee. The approval of the evaluation report was on 18th April 2022 and the contract was signed on 15th June 2022.

There were five beneficiaries with the procurement details as follows;

For Mr. Kisekka Rashid with an irrigation system worth Ugx. 4,189,000, the contracts committee sat on 18th April 2022 and approved this specific procurement under minute No. MIN:00005/DCC/21-22. The works were executed by Adritex Uganda Limited;

For Mr. Mulumba Joseph with an irrigation system worth Ugx. 23,000,000, the contracts committee sat on 18th April 2022 and approved this specific procurement under minute No. MIN:00005/DCC/21-22. The works were executed by Adritex Uganda Limited;

For Mr. Mukasa Henry with an irrigation system worth Ugx. 21,700,000, the contracts committee sat on 18th April 2022 and approved this specific procurement under minute No. MIN:00005/DCC/21-22. The works were executed by Adritex Uganda Limited;

For Mr. Bukenya Joseph with an irrigation system worth Ugx. 5,400,000, the contracts committee sat on 18th April 2022 and approved this specific

procurement under minute No. MIN:00005/DCC/21-22. The works were executed by Adritex Uganda Limited; and

For Mr. Sekwa Silver with an irrigation system worth Ugx. 20,100,000, the contracts committee sat on 18th April 2022 and approved this specific procurement under minute No. MIN:00005/DCC/21-22. The works were executed by Adritex Uganda Limited.

Environment and Social Safeguards

| | | | | |
|----|---|---|--|----------|
| 14 | <p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p> | <p>a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0</p> | <p>There was no evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently. From the Production department notice board no display of Grievance Redress Mechanism(GRM) and avenues was seen</p> | 0 |
| 14 | <p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p> | <p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p> | <p>There was no evidence to show that micro-scale irrigation grievances were recorded. The department use the district centralized system.</p> | 0 |

| | | | | |
|----|---|--|--|---|
| 14 | <p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p> | <p>b) Micro-scale irrigation grievances have been:</p> <p>ii. Investigated score 1 or else 0</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p> | <p>There was no evidence to show that micro-scale irrigation grievances were investigated. The department use the district centralized system.</p> | 0 |
| 14 | <p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p> | <p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p> | <p>There was no evidence to show that micro-scale irrigation grievances were responded to. The department use the district centralized system.</p> | 0 |
| 14 | <p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p> | <p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p> | <p>There was no evidence to show that micro-scale irrigation grievances have been reported on in line with LG grievance redress framework</p> | 0 |

Environment and Social Requirements

| | | | | |
|----|--|--|---|---|
| 15 | Safeguards in the delivery of investments Maximum score 6 | a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0 | There was no evidence that LGs have disseminated Micro scale irrigation guidelines to provide for proper siting, land access. There was no evidence see from the senior agricultural engineer's office | 2 |
| 15 | Safeguards in the delivery of investments Maximum score 6 | b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0 | There was evidence to show that costed ESMP were incorporated into designs, BoQs, bidding and contractual documents 1) Contractor: Adritex Uganda Limited; Contract ref: 654630-Prod02-23jun2022 (Farmer ID no. LWE/2020-09-03/M/1906) for the design, supply and installation of a micro scale irrigation system for Mukasa Henry, Ndagwe subcounty. The contractor incorporated Ugx 150,000 into the BoQs dated 01/03/2022 to cater for the mitigation measures. 2) Contractor: Adritex Uganda Limited; Contract ref: 654630-Prod02-23jun2022 (Farmer ID no. LWENGO/2022-04-01/Male/25381) for the design, supply and installation of a micro scale irrigation system for Bukenya Joseph, Kkingo subcounty. The contractor incorporated Ugx 150,000 was incorporated into the BoQs dated 01/03/2022 to cater for the mitigation measures. 3) Contractor: Matsazan Company Limited; Contract ref: LWEN599/WRKS/21-22/00013, For Construction of 50m3 Capacity Brick masonry Tank at | 1 |

Kyawagonya, Lwengo subcounty. The contractor incorporated Ugx 150,000 into the BoQs dated 01/03/2022 to cater for the mitigation measures.

4) Contractor: Dadasa Services Limited. Contract ref: LWEN599/WRKS/21-22/??? (098181-312101); for the construction of two 30 cubic metre Masonary rain water harvesting tanks at Keikolongo Primary school in Malongo subcounty and Kakoma Health Centre in Kyazanga Subcounty. The contractor incorporated Ugx 150,000 into the BoQs dated 02/08/2021 to cater for the mitigation measures.

| | | |
|--|--|--|
| 15 Safeguards in the delivery of investments Maximum score 6 | ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0 | There was evidence to show that LG carried out monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers. 1) Installation of Solar Powered Horse Pipe irrigation Facility at Kiyiyi, Kyabogo Village, Kiteredde Parish, Kkingo Subcounty. Contractor: NEC Agro SMC Ltd. Date of monitoring 18/05/2021. The report indicates that the E&S team reviewed what had been done by the time of the monitoring in compliance with the ESMP. The works were 80% done. Interim certification No. NRC/16/05/2021 dated 08/06/2021 was signed and issued by SDEO Mr. Mutemba Godfrey and CDO Mr. Mazinga Joseph. 2) Construction of Solar Powered Horse Pipe irrigation facility at Makondo community, Luyiyi Kate, |
|--|--|--|

Makondo parish, Ndagwe Subcounty. Contractor: NEC Agro SMC Ltd. Date of monitoring 18/05/2021. The report indicates that the E&S team reviewed what had been done by the time of the monitoring in compliance with the ESMP. The works were 80% done. Interim certification No. NRC/15/05/2021 dated 08/06/2021 was signed and issued by SDEO Mr. Mutemba Godfrey and CDO Mr. Mazinga Joseph.

3) Construction of Rain Gun Sprinkler Irrigation Facility, Makondo Kiganjo Community, Makondo Parish Ndagwe Subcounty. Contractor: Adritex Contractors. Date of monitoring 18/05/2021. The report indicates that the E&S team reviewed what had been done by the time of the monitoring in compliance with the ESMP. The works were 80% done. Interim certification No. NRC/14/05/2021 dated 08/06/2021 was signed and issued by SDEO Mr. Mutemba Godfrey and CDO Mr. Mazinga Joseph.

4) Construction of Rain Gun Sprinkler Irrigation facility at Kabwami on Joseph Bukenya's Farm, Kabwami village, Kisansala Parish, Kkingo subcounty. Date of monitoring 18/05/2021. The report indicates that the E&S team reviewed what had been done by the time of the monitoring in compliance with the ESMP. The works were 80% done. Interim certification No. NRC/18/05/2021 dated 08/06/2021 was signed and issued by SDEO Mr. Mutemba Godfrey and CDO Mr. Mazinga Joseph.

| | | |
|---|---|---|
| <p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p> | <p>iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0</p> | <p>There was evidence to show that the E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects</p> <p>1) Payment to Ms. Dadasa Services Limited. Contract ref: LWEN599/WRKS/21-22/??? (098181-312101); for the construction of two 30 cubic metre Masonary rain water harvesting tanks at Keikolongo Primary school in Malongo subcounty and Kakoma Health Centre in Kyazanga Subcounty. Payment voucher /EFT No. 44593766, dated 29/06/2022 of Ugx 27,335,044. Interim payment certificate was signed by SEO Mr. Mutemba Godfrey on 10/06/2022.</p> <p>2) Payment to Ms. Tahoor Designers and Civil Engineering services Limited, Contract ref: LWEN599/WRKS/21-22/??? (1049304-water-43-06-22); for the construction of a 5-stance lined pit latrine at Katindo Trading Centre in Ndagwe Subcounty. Payment voucher /EFT No. 44593841, dated 29/06/2022 of Ugx 19,345,405. Interim payment certificate was signed by SEO Mr. Mutemba Godfrey on 10/06/2022.</p> <p>3) Payment to Ms. Thorns Engineering Services Limited, Contract ref: 864467-Water35-06-22, (project no. 00005/DCC/21-22(b) for the Construction of 5- stance water borne Toilet at Lwengo District Headquarters. Payment voucher /EFT No. 44593840, dated 29/06/2022 of Ugx 42,761,285. Interim payment certificate was signed by SEO Mr. Mutemba Godfrey on 03/06/2022.</p> |
|---|---|---|

| | | |
|---|--|--|
| <p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p> | <p>iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0</p> | <p>There was evidence to show that the E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects</p> <p>1) Payment to Ms. Dadasa Services Limited. Contract ref: LWEN599/WRKS/21-22/??? (098181-312101); for the construction of two 30 cubic metre Masonary rain water harvesting tanks at Keikolongo Primary school in Malongo subcounty and Kakoma Health Centre in Kyazanga Subcounty. Payment voucher /EFT No. 44593766, dated 29/06/2022 of Ugx 27,335,044. Interim payment certificate was signed by DCDO Mr. Mazinga Joseph and SEO Mr. Mutemba Godfrey on 10/06/2022.</p> <p>2) Payment to Ms. Tahoor Designers and Civil Engineering services Limited, Contract ref: LWEN599/WRKS/21-22/??? (1049304-water-43-06-22); for the construction of a 5-stance lined pit latrine at Katindo Trading Centre in Ndagwe Subcounty. Payment voucher /EFT No. 44593841, dated 29/06/2022 of Ugx 19,345,405. Interim payment certificate was signed by DCDO Mr. Mazinga Joseph and SEO Mr. Mutemba Godfrey on 10/06/2022.</p> <p>3) Payment to Ms. Thorns Engineering Services Limited, Contract ref: 864467-Water35-06-22, (project no. 00005/DCC/21-22(b) for the Construction of 5-stance water borne Toilet at Lwengo District Headquarters. Payment voucher /EFT No. 44593840, dated 29/06/2022 of Ugx 42,761,285. Interim payment certificate was signed by DCDO Mr. Mazinga Joseph and SEO Mr. Mutemba Godfrey on 03/06/2022.</p> |
|---|--|--|

**Micro-scale Irrigation
Minimum Conditions**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|--|--|---|--------------|
| Human Resource Management and Development | | | | |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation <i>Maximum score is 70</i> | If the LG has recruited; a. the Senior Agriculture Engineer <i>score 70 or else 0.</i> | The LG had a substantive senior Agriculture Engineer, Mr.Kashangeho Byron Appointment date 10th June 2021, Min no 14/2021(LDSC) (2) | 70 |

Environment and Social Requirements

New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.

Maximum score is 30

If the LG:
Carried out Environmental, Social and Climate Change screening score 30 or else 0.

There was evidence to show that the LG carried out Environmental, Social and Climate Change screening for the projects funded under micro scale irrigation.

A total of 19 projects were screened but only seven were implemented in the previous FY:

1) Micro Scale Irrigation Demonstration project at Gyaviira Muyingo's Farm, Katovu Village, Katovu ward, Katovu subcounty. Project was screened on 20/07/2021 by Mr. Mutemba Godfrey Senior Environment Officer.

2) Installation of micro scale irrigation Demonstration system at Atukwase Benards farm, Katumo Village, Kyazanga parish, Kyazanga subcounty. Project was screened on 23/07/2021 by Mr. Mutemba Godfrey Senior Environment Officer.

3) Setting up an irrigation demonstration site at Katugo Ramthan's Farm, Ndagwe Village, Ndagwe Ward, Ndagwe Subcounty. Screening was carried out on 14/07/2021 by Mr. Mutemba Godfrey Senior Environment Officer.

4) Installation of Irrigation Demonstration site at Bunjanso Posiano's Farm, Lwendulu Village, Kyazanga ward, Kyazanga subcounty. Screening carried out on 14/07/2021 by Mr. Mutemba Godfrey Senior Environment Officer.

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|--|---|---|-----------|
| Human Resource Management and Development | | | | |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i> | a. 1 Civil Engineer (Water), score 15 or else 0. | The LG had a substantive civil engineer (Water), mr. Lugalambi Fredio Thaddeus appointment date 23rd June 2017, min no 16/2017(LDSC) (3) | 15 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i> | b. 1 Assistant Water Officer for mobilization, score 10 or else 0. | The LG had substantive assistant water officer for mobilization, Mr. Namutinda Charles, appointment date 9th September 2005, Min no 38R/2005. | 10 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i> | c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0. | The LG did not have a Bore hole maintenance technician | 0 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i> | d. 1 Natural Resources Officer, score 15 or else 0. | The LG did not have a substantive Natural resource officer, | 0 |
| 1 | New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i> | e. 1 Environment Officer, score 10 or else 0. | The LG had a substantive enviromental officer, Mutemba Godfrey appointment date 20th October 2018, Min 4/8-9/2014(LDSC) (d) | 10 |

1

New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.

f. Forestry Officer, score 10 or else 0.

The LG did not have a substantive forestry officer.

0

Maximum score is 70

Environment and Social Requirements

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

There was evidence to show that the LG carried out Environmental, Social and Climate Change screening/Environment. A total of eight projects were screened by the DEO in the previous FY.

1) Drilling of a borehole at Busuubi village, Busuubi Parish, Kiseka Subcounty. Project Screened on 12/07/2021 by Mr. Mutemba Godfrey Senior Environment Officer.

2) Installation of Mini Solar Powered Borehole at Kibuuye Village, Makundo Parish, Ndagwe Subcounty. Project Screened on 12/07/2021 Mr. Mutemba Godfrey Senior Environment Officer.

3) Construction of valley Water tank in Kyamparakata village, Kyamparakata parish, Malongo subcounty. Project screened on 14/07/2021 by Mr. Mutemba Godfrey Senior Environment Officer.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

There was no evidence to show that the LG carried out Social Impact Assessments (ESIAs)

The projects that were implemented are categorized under schedule 4 part 2 of the National Environment Act No. 5 of 2019. They do not require undertaking further studies. No Environment and Social Impact Assessment (ESIAs) was necessary.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

There was no evidence to show that the LG ensured that contractors got abstraction permits issued by DWRM

The projects that were implemented are of small magnitude and did not require abstraction permits. The projects include:

1. Drilling of a borehole at Busuubi village, Busuubi Parish, Kiseka Subcounty.

2. Installation of Mini Solar Powered Borehole at Kibuuye Village, Makundo Parish, Ndagwe Subcounty.

3. Construction of valley Water tank in Kyamparakata village, Kyamparakata parish, Malongo subcounty.

**Health Minimum
Conditions**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|--|--|---|--------------|
| Human Resource Management and Development | | | | |
| 1 | New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i> | a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0. | The LG had a substantive District Health Officer, Mr. Kafumbe Moses appointment date 9th March 2020, Min no 05/2020(LDSC) (1) | 10 |
| 1 | New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i> | b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0 | The LG had a substantive assistant DHO maternal, child health and Nursing. Ms. Nakityo Constansia appointment date 10th June 2021, Min no 15/2021(LDSC)(b)(7) | 10 |
| 1 | New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i> | c. Assistant District Health Officer Environmental Health, score 10 or else 0. | The LG had a substantive assistant DHO enviromental health, Mr. Mugabi David appointment date 24th January 2022, min no 02/2022(LDSC) | 10 |

| | | | | |
|---|---|--|--|-----------|
| 1 | <p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p> | <p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p> | <p>The LG had a substantive Principal health inspector, Mr. Mwanane Charles appointment date 30th may 2022, Min no 25/2022(LDSC) (1)</p> | 10 |
| 1 | <p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p> | <p>e. Senior Health Educator, score 10 or else 0.</p> | <p>The LG had a substantive senior health educator, Ms. Nasozzi Deborah Kakooza appointment date 4th January 2018, Min no 49/2017(LDSC)</p> | 10 |
| 1 | <p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p> | <p>f. Biostatistician, score 10 or 0.</p> | <p>The LG had a substantive Biostatiscian, Ms. Nakawoya Joanita appointment date 5th may 2016, Min no 07/2016(LDSC)(i)</p> | 10 |
| 1 | <p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p> | <p>g. District Cold Chain Technician, score 10 or else 0.</p> | <p>The LG had a substantive District Cold Chain Technician, Okello Alfred, appointment date 9th December 2019, min no 42/2019/(LDSC) (1)</p> | 10 |

1

New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.

h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.

Applicable to MCs only.

Maximum score is 70

1

New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.

i. Principal Health Inspector, score 20 or else 0.

Applicable to MCs only.

Maximum score is 70

1

New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.

j. Health Educator, score 20 or else 0

Applicable to MCs only.

Maximum score is 70

Environment and Social Requirements

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There was evidence showing that Environmental, Social and Climate Change screening / Environment was undertaken for project funded under health. Five projects were screened under health by the DEO in the previous FY including;

1) Fencing of Lwengenyi Health Centre II, Lwengenyi village, Lwengenyi Ward, Malongo subcounty. Project screened on 08/07/2021 by Mr. Mutemba Godfrey Senior Environment Officer.

2) Construction of Lwengo District Vaccine Store, Nyenje Village, Church Ward, Lwengo Town Council. Screening carried on 08/07/2021 by Mr. Mutemba Godfrey Senior Environment Officer.

3) Renovation of maternity Ward at Kiwangala Health Centre IV, Kiwangala Village, Kiwangala Ward, Kiseka Subcounty. Screening carried out on 08/07/2021.

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

There was no evidence to show that LG carried out Social Impact Assessments (ESIAs in the previous FY.

The projects that were implemented are categorized under schedule 4 part 2 of the National Environment Act No. 5 of 2019. They do not require undertaking further studies. No Environment and Social Impact Assessment (ESIAs) was necessary.

**Education Minimum
Conditions**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|------------|--------------------------------|---------------------------------|---------------------------------|--------------|
|------------|--------------------------------|---------------------------------|---------------------------------|--------------|

Human Resource Management and Development

| | | | | |
|---|---|---|--|-----------|
| 1 | New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. | a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0 | The LG had a substantive District Education officer, Mulumba Joseph appointment date 9th November 2021, min no 32/2021(LDSC) | 30 |
|---|---|---|--|-----------|

The Maximum Score of 70

| | | | | |
|---|---|---|--|-----------|
| 1 | New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. | b) All District/Municipal Inspector of Schools, score 40 or else 0. | The LG had the two inspectors of schools as per their structure. | 40 |
|---|---|---|--|-----------|

The Maximum Score of 70

Mbaziira Gonzaga,
appointment 17th November 2021, Min no 37/2021(LDSC)

Mulumba SUMAYIYYA
appointment date 17th December 2015, Min no 07/November /2015(c)

Environment and Social Requirements

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There was evidence to show that the LG carried out Environmental, Social and Climate Change screening/Environment. A total of five projects were screened previous FY by the DEO.

1) Construction of 5-satnce pit latrine at Kabwami Roman Catholic church, Kabwami Village, Kasaana Ward, Kkingo Subcounty. Project was screened on 06/07/2021 by Mr. Mutemba Godfrey Senior Environment Officer

2) Construction of 5-stance pit latrine at Kijajasi Primary school, Kijajasi Village, Nanjwa ward, Ndagwe subcounty. Project screened on 05/07/2021 by Mr. Mutemba Godfrey Senior Environment Officer.

3) Construction of Classroom block, store and Office at Kabalungi Primary School, Kabalungi Village, Kabalungi ward, Lwengo Town Council. Project screened on 05/07/2021.

4) Construction of classroom block, office and store at Lwentamu Primary School, Lwentamu Village, Kyawagonya Ward, Lwengo subcounty. Project screened on 06/07/2021.

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

There was no evidence to show that LG carried out Social Impact Assessments (ESIAs in the previous FY)

The projects that were implemented are categorized under schedule 4 part 2 of the National Environment Act No. 5 of 2019. They do not require undertaking further studies. No Environment and Social Impact Assessment (ESIAs) was necessary.

**Crosscutting Minimum
Conditions**

| No. | Summary of requirements | Definition of compliance | Compliance justification | Score |
|--|---|--|---|----------|
| Human Resource Management and Development | | | | |
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p> | <p>a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0</p> | <p>The LG had a Substantive CFO, Mr. Sserwada Paul appointment date 4th January 2018, DSC min no 46/2017(LDSC) (a)</p> | 3 |
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p> | <p>b. District Planner/Senior Planner, score 3 or else 0</p> | <p>The LG had a substantive District planner Mr. Barigye Nicholas, appointment date 9th December 2019, Min no 44/2019(LDSC) (2)</p> | 3 |
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p> | <p>c. District Engineer/Principal Engineer, score 3 or else 0</p> | <p>The LG did not have a substantive District Engineer</p> | 0 |

| | | | | |
|---|---|--|---|---|
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p> | <p>d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0</p> | <p>The LG did not have a substantive District Natural Resources Officer</p> | 0 |
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p> | <p>e. District Production Officer/Senior Veterinary Officer, score 3 or else 0</p> | <p>The LG had a substantive District production officer Nandaula Allen Appointment date 26th October 2021, Min 30/2021(LDSC) (2)</p> | 3 |
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p> | <p>f. District Community Development Officer/Principal CDO, score 3 or else 0</p> | <p>The LG had a substantive District Community Development Officer, Mazinga Joseph appointment date 4th January 2018. Min 47/2017(LDSC) (1)</p> | 3 |
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p> | <p>g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0</p> | <p>The LG had a substantive District Commercial officer, Mr. Kagumire Wilson appointment date 5th November 2018, Min 41/2018(LDSC) (1)</p> | 3 |

| | | | | |
|---|---|--|---|---|
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p> | <p>i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.</p> | <p>The LG had a substantive senior procurement officer, Mr. Baine Frank appointment 10th august 2017 Min 29/2017(LDSC) (1)</p> | 2 |
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p> | <p>ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0</p> | <p>The LG had a substantive procurement officer, Mr. Busingye Oscar appointment date 5th November 2018 DSC MIN no 40/2018(LDSC)</p> | 2 |
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p> | <p>i. Principal Human Resource Officer, score 2 or else 0</p> | <p>The LG had a substantive Principal Human Recourse Officer, Ms. Florence Namaganda appointment date 6th April 2018, Min 01/2018(LDSC) (1)</p> | 2 |
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the</p> <p>District/Municipal Council departments. Maximum score is 37.</p> | <p>j. A Senior Environment Officer, score 2 or else 0</p> | <p>The LG had a substantive senior environment officer, Mr. Mutemba Godfrey appointment date 20th October 2018, Min 4/8-9/2014(LDSC) (d)</p> | 2 |

| | | | | |
|---|--|--|---|---|
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p> | <p>k. Senior Land Management Officer /Physical Planner, score 2 or else 0</p> | <p>The LG had a substantive senior land management officer, Ms. Seguya Jackline appointment date 26th June 2013, Min: MIN LDSC/12/JUNE/2013</p> | 2 |
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p> | <p>l. A Senior Accountant, score 2 or else 0</p> | <p>The LG had a substantive senior Accountant, Ms.Asaba Gladys appointment date 8th January 2021, Min no: 31/2020(LDSC)</p> | 2 |
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p> | <p>m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0</p> | <p>The LG had a substantive principal internal auditor, Mr. Atukwase Benard appointment date 4th January 2018, Min 46/2017(LDSC)(B)</p> | 2 |
| 1 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.</p> | <p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p> | <p>The LG had substantive PHRO(Secretary DSC), Kakembo AbudulGafar, appointment date 17/2/2014, Min no LDSC/13/2013</p> | 2 |
| 2 | <p>New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG</p> | <p>a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior</p> | <p>The LG had substantive Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town</p> | 5 |

Maximum score is 15

Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

Clerk (Municipal Divisions) in all LLGS

Mutebi Fredrick, (Kyaazanga SC) appointment 25th July 2007. MIN. dsc/MIN. 25/JULY/2007

Sendagala John Nyanzi, (Lwego SC) appointment date 14th January 2020, Min 52/2019(LDSC) (2)

Nalwada Shabila, (Ndago SC) a16th /2/2012. Min no DSC/MIN 28/JANUARY /2011

Damulira Suzan (Kiseeka SC) 28th June 2019. MIN 15/2019(LDSC) (1)

Kiryia Julius (Malongo SC care taker). 17TH MAY 2018. 10/2018(LDSC) (21) assistant aTC

Nanyonga Jamira, (Kingo SC care taker), 30th may 2022, Min no 26/2022(LDSC) Assistant TC)

Mfutumukiza Muhamed (Lwengo TC), 15th may 2018, Min 07/2018(LDSC) (1)

Ingabire Juliet (Kinoni TC) appointment date 9th December 2019. Min 44/2019(LDSC)(!)

Kyomuhendo Rhona Mariam (Kyazanga TC), appointment date 10th august 2017, Min no 26/2017(LDSC) (2)

Nsereko Moses, (Katovu TC) appointment date 6th April 2018. Min no 01/2018(LDSC)(3)

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The LG had A Community Development Officer/ Senior CDO in case of Town Councils, in all LLGS

Magembe Julius (Ndagwe SC) appointment date 15th may 2018, Min 09/2018(LDSC)

Nagawa Rebecca (Kkingo SC) appointment date 28th June 2019, Min 11/2019(LDSC)(50), (Kinoni TC)

Ampaire Diana Rita(Kisseka SC) appointment date 5th November 2018. Min no 30/2018(LDSC) (5)

Mbazira Charles (Lwengo SC) appointment date 4th January 2018. Min no 47/2017(LDSC) (4)

Niringiye Boniface (Kyazanga SC) appointment date 9th December 2019. Min 46/2019/(LDSC)

Namata Annet (Malongo SC) appointment date 30th may 2022, Min 24/2022(LDSC) (5)

Tulinomubezi Hurmphey (Kyazanga tc) appointment date 23rd June 2017. Min 17/2017(LDSC)

Kigundu abdul salam (Katovu TC) Appointment date 28th June 2019. Min no 11/2019(LDSC)(30)

Kasule Denis (Lwengo TC) appointment date 6th April 2018. Min no

2

New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The LG had substantive Senior Accounts Assistant /an Accounts Assistant in all LLGS

Lubwama Manisul (KkINGO SC) appointment date 5th November 2018. Min no 38/2018(LDSC) (2)

Kamya Ruth (Kyazanga Sc) appointment 4th January 2018. Min no 46/2017(LDSC)(g)(1)

Nanfuka Hadiya (Kiseka SC) appointment date 28th June 2019, Min no 11/2019(LDSC) (43)

Lusiba Robert (Ndagwe SC), appointment date 15th may 2018, min 08/2018(LDSC) (3)

Kategere Fredrick (Lwengo sub county) appointment date 15th may 2018: Min 08/2018(LDSC) (2)

Muyingo Javira (Malongo SC) appointment date 16TH 2011. Min no DSC /MIN 28/JANUARY / 2011

Kisakyamaria Resty (Kinoni TC) appointment date 6th April 2018. Min no 01/2018(LDSC)(6)

Kagumba Geoffrey (Lwengo TC) appointment date 5th November 2019, Min no 44/2018 (LDSC) (1)

Kusiima Caroline (Kyazanga TC) appointment date 5th November 2018, Min no 44/2018(LDSC)(2)

5

Environment and Social Requirements

| | | | | |
|---|--|---|---|---|
| 3 | <p>Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.</p> <p>Maximum score is 4</p> | <p>If the LG has released 100% of funds allocated in the previous FY to:</p> <p>a. Natural Resources department,</p> <p>score 2 or else 0</p> | <p>The LG did not release 100% of funds allocated to natural resources as below:</p> <p>As per the LG final account for the period ended 30th June 2022 page 14 the allocation was UGX 175,230,529 and actual released was UGX 166,516,721 being 95%.</p> | 0 |
| 3 | <p>Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.</p> <p>Maximum score is 4</p> | <p>If the LG has released 100% of funds allocated in the previous FY to:</p> <p>b. Community Based Services department.</p> <p>score 2 or else 0.</p> | <p>The LG did not release 100% of the funds allocated to the CBSD as below.</p> <p>As per the LG Final Account for the period ended 30th June 2022 page 14, the allocation was UGX 642,085,744 and actual released was UGX 528,054,900 being 82%</p> | 0 |

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0

There was evidence to show that LG carried out Environmental, Social and Climate Change screening for projects funded using the Discretionary Development Equalization Grant (DDEG). A total of Seven projects were screened

1) Construction of staff houses in Kyazanga Health Centre IV in central ward Kyazanga Town Council. The project was screened on 08/07/2021, by Mr. Mutemba Godfrey Senior Environment Officer.

2) Construction of Classroom Block, Office and Store at Kikoba Primary School. Project screened on 05/07/2021, by Mr. Mutemba Godfrey Senior Environment Officer.

3) Fencing of Lwengo District Headquarters, Nyenje Village, Church Ward, Lwengo Town Council. Project screened on 27/10/2021, Mr. Mutemba Godfrey Senior Environment Officer.

4) Installation of CCTV Camera at Lwengo District Headquarters, Nyenje Village, Church Ward, Lwengo Town Council. Project screened on 27/10/2021 by Mr. Mutemba Godfrey Senior Environment Officer

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),

score 4 or 0

There was no evidence to show that the LG carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG).

The projects that were implemented are categorized under schedule 4 part 2 of the National Environment Act No. 5 of 2019. They do not require undertaking further studies. No Environment and Social Impact Assessment (ESIAs) was necessary.

1) Construction of staff houses in Kyazanga Health Centre IV in central ward Kyazanga Town Council. The project was screened on 08/07/2021, by Mr. Mutemba Godfrey Senior Environment Officer.

2) Construction of Classroom Block, Office and Store at Kikoba Primary School. Project screened on 05/07/2021, by Mr. Mutemba Godfrey Senior Environment Officer.

3) Fencing of Lwengo District Headquarters, Nyenje Village, Church Ward, Lwengo Town Council. Project screened on 27/10/2021, Mr. Mutemba Godfrey Senior Environment Officer.

Evidence that the LG has carried out Environmental, Social and Climate Change

c. If the LG has a Costed ESMPs for all projects

There was evidence to show that the LG has a Costed ESMPs for all

screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

implemented using the Discretionary Development Equalization Grant (DDEG);;

score 4 or 0

projects implemented using the Discretionary Development Equalization Grant (DDEG). Sampled projects include:

1) Construction of Classroom block and store at Kikoba Primary School, Malongo subcounty. Costed ESMP is dated 13/10/2021, developed by SDEO Mr. Mutemba Godfrey and Mr. Mazinga Joseph is available. Some of the Items costed include tree planting at 300,000 and community sensitization at Ugx200,000.

2) Construction of classroom block and store at Katovu Primary School, in Katovu Town Council. Costed ESMP is dated 13/10/2021, developed by SDEO Mr. Mutemba Godfrey and Mr. Mazinga Joseph. Some of the Items costed include tree planting at 300,000 and community sensitization at Ugx400,000.

3) Construction of 5 stance pit latrine at Katovu Primary school, Katovu Town Council. Costed ESMP is dated 13/10/2021, developed by SDEO Mr. Mutemba Godfrey and Mr. Mazinga Joseph. Some of the Items costed include tree planting at 300,000 and community sensitization at Ugx200,000.

Financial management and reporting

Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.

Maximum score is 10

If a LG has a clean audit opinion, score 10;

If a LG has a qualified audit opinion, score 5

If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0

As per the OAG audit report for the FY 2021/2022, the LG had a clean audit opinion (unqualified report)

6

10

Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).

maximum score is 10

If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),

score 10 or else 0.

The submitted was on Internal auditor general on 02/12/2021 on internal audit report

Issues raised:

Unaccounted for funds UGX 40,894,500;

Diversion of USE funds by the HM of Nashenny SS UGX 10,918,000;

Underutilisation of maternity ward at Kakuma Health Center IV;

Non-repair of district vehicles;

Auditor General report;

Wage estimate not submitted to public service;

Unabsorbed wage of 1.5bn;

Accrued pensions UGX 90,656,783; and

Under performance of local revenue UGX541,868,161.

7

4

Evidence that the LG has submitted an annual performance contract by August 31st of the current FY

Maximum Score 4

If the LG has submitted an annual performance contract by August 31st of the current FY,

score 4 or else 0.

The LG submitted annual performance contract on 25/07/2022.

| | | | | |
|---|---|---|---|---|
| 8 | <p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p> | <p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p> | <p>The LG submitted annual performance report on 29/08/2022.</p> | 4 |
| 9 | <p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p> | <p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p> | <p>The LG submitted the Quarterly Budget Performance Reports as follows:</p> <p>Quarter 1 report was submitted on 30/11/2021;</p> <p>Quarter 2 report was submitted on 28/01/2022;</p> <p>Quarter 3 report was submitted on; 23/05/2022; and</p> <p>Quarter 4 report was submitted on 29/08/2022.</p> | 4 |